

Electronic Excitations in Carbon Nanostructures: Building-Block Approach

Ralf Hambach^{1,3}, Christine Giorgetti^{1,3}, Xochitl Lopez^{1,2},
and Lucia Reining^{1,3}.

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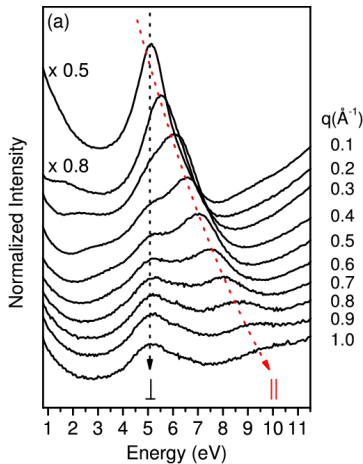
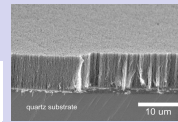
² University of Texas at San Antonio, United States

³ European Theoretical Spectroscopy Facility

15. 10. 2010 — ETSF workshop, Berlin



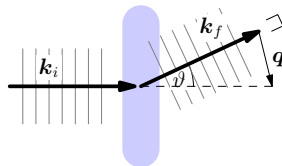
EELS on SWCNTs



specimen

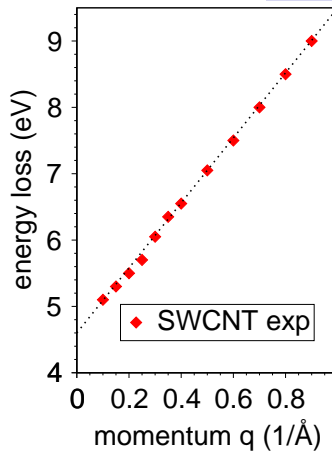
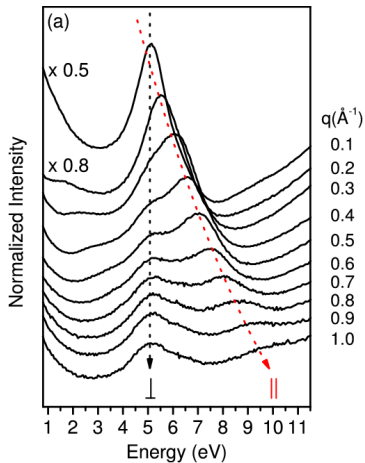
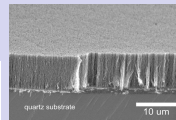
- ▶ oriented SWCNT
- ▶ diameter: 2 nm
- ▶ nearly isolated

spectroscopy



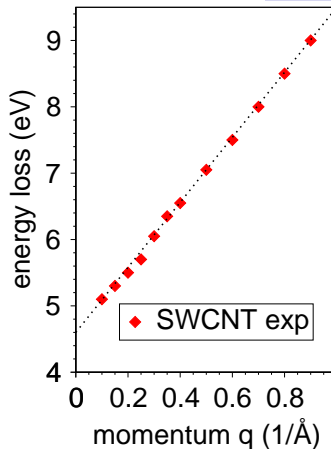
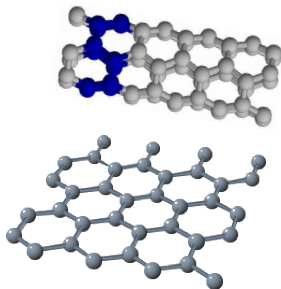
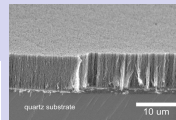
[C. Kramberger, R. H., Ch. Giorgetti, *et.al.*: PRL **101**, 266406 (2008)]

EELS on SWCNTs



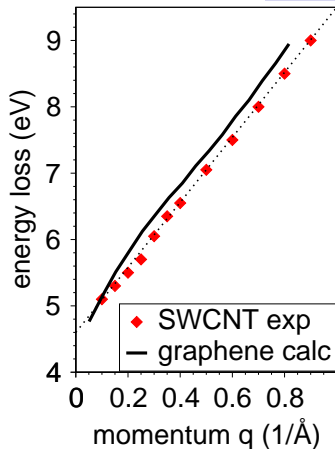
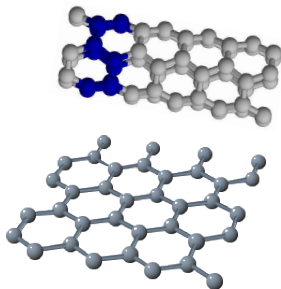
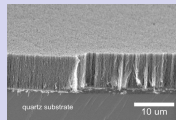
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EELS on SWCNTs



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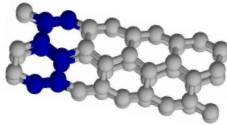
EELS on SWCNTs



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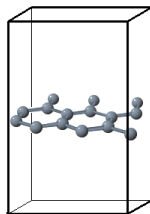
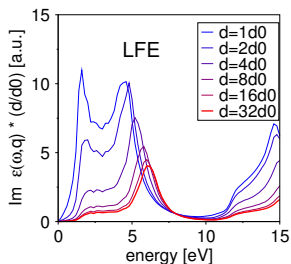
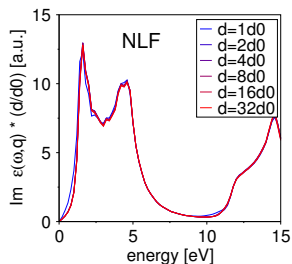
Questions

- ▶ on-axis excitations in SWCNTs (ok)
- ▶ perpendicular excitations in SWCNTs (?)
- ▶ convergence for graphene (?)



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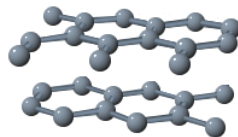
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Outline

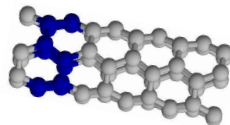
1. Graphite \rightarrow Graphene

- ▶ interpolation method



2. Graphene \rightarrow SWCNTs

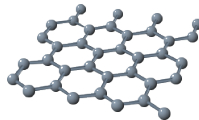
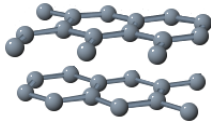
- ▶ zone-folding method



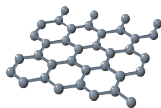
3. Local-response approximation

- ▶ connection with dielectric theory

Graphite \rightarrow Graphene



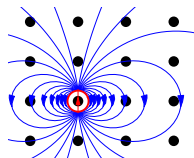
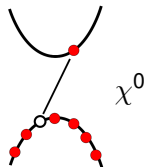
Ab-Initio Calculations for Graphene



full *ab-initio* calculations

1. ground-state calculation gives ϕ_i^{KS}
2. independent-particle polarisability χ^0
3. susceptibility $\chi = \chi^0 + \chi^0 v \chi$
4. electron energy-loss spectrum

$$S(\mathbf{q}, \omega) = -\frac{1}{\pi} \text{Im} \chi(\mathbf{q}, \omega)$$

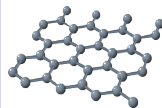


Codes:

ABINIT: X. Gonze *et al.*, *Comp. Mat. Sci.* **25**, 478 (2002)

DP-code: www.dp-code.org; V. Olevano, *et al.*, unpublished.

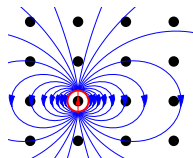
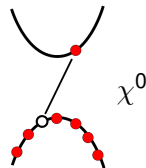
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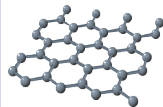


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Polarisability vs Susceptibility

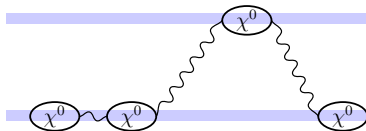
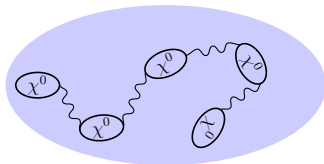


polarisability χ^0 is restricted to sheets and local

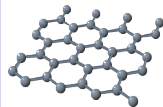
$$\chi^0(\mathbf{r}, \mathbf{r}') \propto \sum_{vc} \frac{\varphi_v^*(\mathbf{r})\varphi_c(\mathbf{r})\varphi_c^*(\mathbf{r}')\varphi_v(\mathbf{r}')}{\hbar\omega + i\eta - (E_c - E_v)} - \text{a.r.}$$

susceptibility χ is restricted to sheets, but nonlocal

$$\chi(\mathbf{r}, \mathbf{r}') = \chi^0 + \chi^0 \mathbf{v} \chi^0 + \chi^0 \mathbf{v} \chi^0 \mathbf{v} \chi^0 + \dots$$



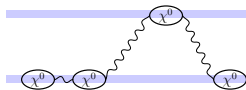
From Graphite to Graphene



- ▶ Coulomb-cutoff method

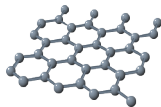
C. A. Rozzi *et al.*, PRB(73) 205119 (2006)

S. Ismail-Beigi, PRB(73) 233103 (2006)



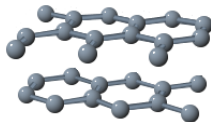
- ▶ Interpolation method





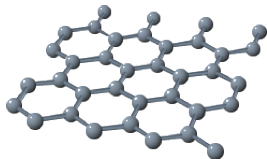
full *ab-initio* for 'graphite' ($d = 2 \cdot d_0$)

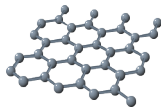
1. ground-state calculation gives ϕ_i^{KS}
 2. independent-particle polarisability χ_{bulk}^0
- + graphite to graphene: $\chi_{\text{bulk}}^0 \rightarrow \chi_{\text{sheet}}^0$



continue for 'graphene' ($d = 2N \cdot d_0$)

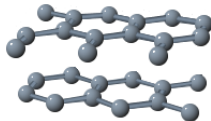
3. susceptibility $\chi = \chi_{\text{sheet}}^0 + \chi_{\text{sheet}}^0 \nu \chi$
4. electron energy-loss spectrum
$$S(\mathbf{q}, \omega) = -\frac{1}{\pi} \text{Im} \chi(\mathbf{q}\mathbf{q}, \omega)$$





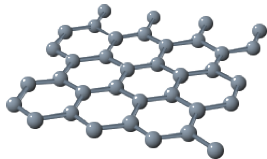
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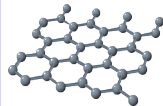


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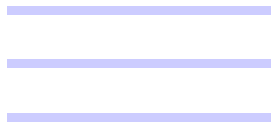
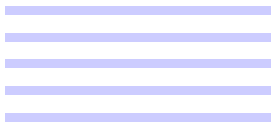
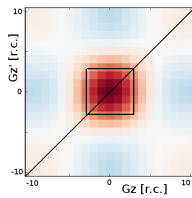
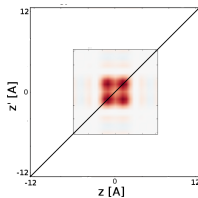
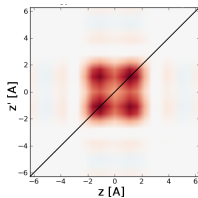
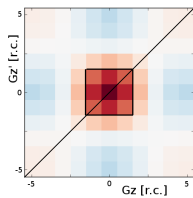
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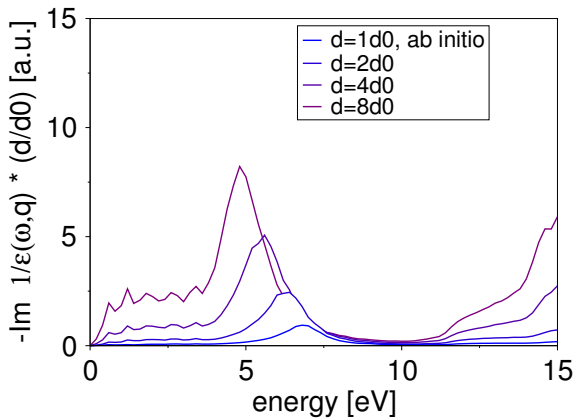
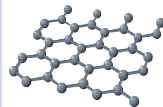
Interpolation Method



$$\chi_{\text{bulk}}^0(G_z, G'_z) \longrightarrow \chi_{\text{bulk}}^0(z, z') \longrightarrow \chi_{\text{sheet}}^0(z, z') \longrightarrow \chi_{\text{sheet}}^0(G_z, G'_z)$$

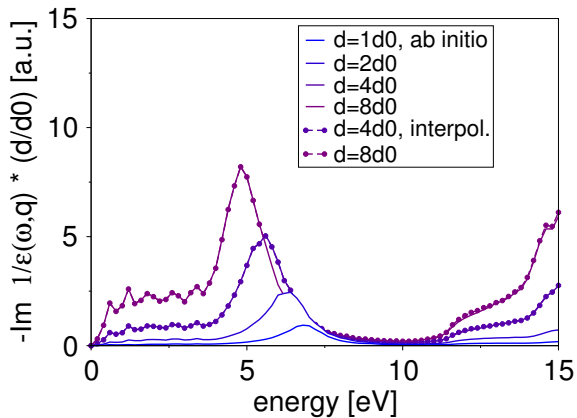
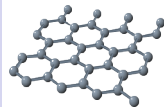


Ab-Initio vs Interpolation



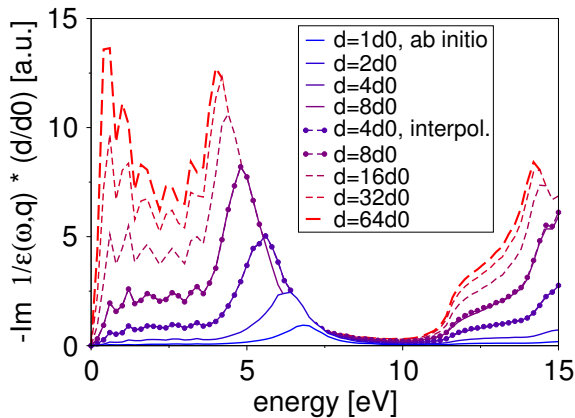
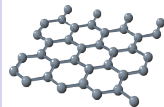
(in-plane momentum transfer $\bar{q} = 0.003\text{\AA}^{-1}$)

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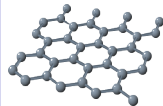


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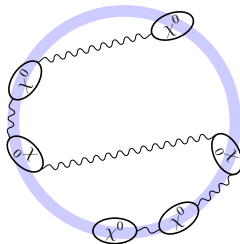
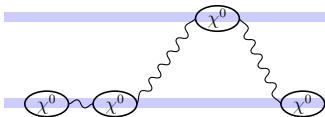


Summary

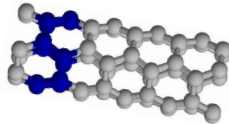
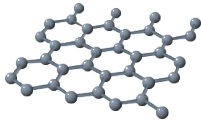
- ▶ we can avoid calculations with large supercells by interpolation χ^0 in $G_z \longleftrightarrow$ zero-padding in z
- ▶ χ^0 is very localized / transferable

Building-Block Approach

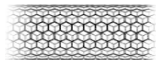
- ▶ assembly of several layers
- ▶ new geometries



Graphene \rightarrow SWCNT

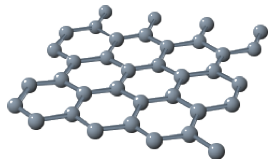


Building-Block Approach for SWCNT



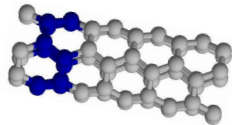
full *ab-initio* for periodic graphene ribbon

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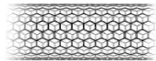


zone-folding model for χ^0

3. polarisability of tube $\chi_{\text{bulk}}^0 \rightarrow \chi_{\text{cnt}}^0$
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5. energy-loss $S = -\frac{1}{\pi} \text{Im} \chi(\mathbf{q}\mathbf{q}, \omega)$

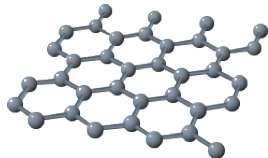


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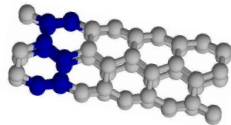
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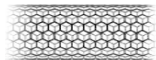


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Zone-Folding for Polarisability



real space: cylinder coordinates (ϱ, φ, z)

$$\chi^0(\varrho, \varrho') \cdot \rho' \approx \chi_{\text{sheet}}^0(\mathbf{r}(\varrho), \mathbf{r}(\varrho')) \cdot R$$

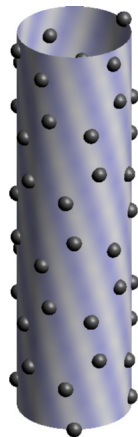
reciprocal space: helical momentum (m, p)

$$\chi^0(mm'pp'; \varrho\varrho', \omega) \cdot \varrho' \approx \chi_{\text{sheet}}^0(q_x q'_x, q_y q'_y; zz', \omega)$$

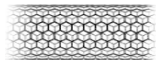
$m/R \leftrightarrow q_x$ azimuthal momentum

$p \leftrightarrow q_y$ on-axis momentum

$\varrho - R \leftrightarrow z$ radial position

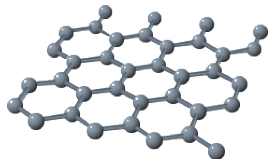


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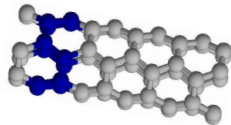
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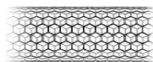


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Dyson Equation in Cylindrical Coordinates



real space:

$$\chi(\underline{\rho}, \underline{\rho}') = \chi^0(\underline{\rho}, \underline{\rho}') + \iint d\underline{\rho}_1 d\underline{\rho}_2 \varrho_1 \varrho_2 \chi^0(\underline{\rho}, \underline{\rho}_1) v(\underline{\rho}_1, \underline{\rho}_2) \chi(\underline{\rho}_2, \underline{\rho}')$$
$$v(\underline{\rho}_1, \underline{\rho}_2) = \frac{e^2}{4\pi\epsilon_0} \frac{1}{|\underline{r}(\underline{\rho}_1) - \underline{r}(\underline{\rho}_2)|}$$

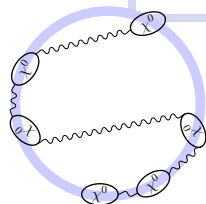
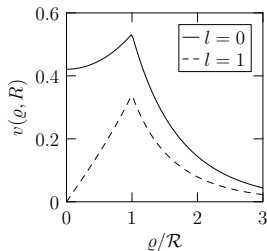
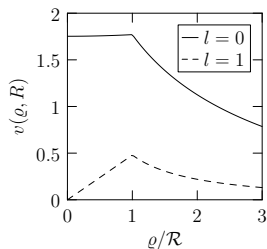
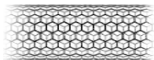
reciprocal space: helical momentum (m, p) [J. D. Jackson]

$$v(m_1 m_2, p_1 p_2; \varrho_1 \varrho_2) = \frac{e^2}{\epsilon_0} I_{m_1}(|p_1| \rho_{<}) K_{m_1}(|p_1| \rho_{>}) \delta_{m_1 m_2} \delta(p_1 - p_2)$$

with the modified Bessel-functions of first kind I_m and K_m

\implies cylinder susceptibility $\chi(mm', pp', \varrho\varrho')$

Dyson Equation in Cylindrical Coordinates



reciprocal space: helical momentum (m, p)

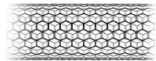
[J. D. Jackson]

$$v(m_1 m_2, p_1 p_2; \varrho_1 \varrho_2) = \frac{e^2}{\epsilon_0} I_{m_1}(|p_1| \rho_{<}) K_{m_1}(|p_1| \rho_{>}) \delta_{m_1 m_2} \delta(p_1 - p_2)$$

with the modified Bessel-functions of first kind I_m and K_m

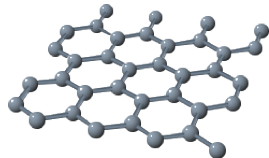
\implies cylinder susceptibility $\chi(mm', pp', \varrho\varrho')$

Building-Block Approach for SWCNT



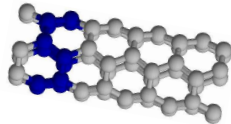
full *ab-initio* for periodic graphene ribbon

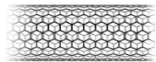
1. ground-state calculation gives ϕ_i^{KS}
2. independent-particle polarisability χ_{bulk}^0



zone-folding model for χ^0

3. polarisability of tube $\chi_{\text{bulk}}^0 \rightarrow \chi_{\text{cnt}}^0$
4. cylinder susceptibility $\chi = \chi_{\text{cnt}}^0 + \chi_{\text{cnt}}^0 \nu \chi$
5. energy-loss $S = -\frac{1}{\pi} \text{Im} \chi(\mathbf{q}, \omega)$





- ▶ expand external pert. in cylinder waves, $\mathbf{q} = (\mathbf{q}_\perp, \rho)$:

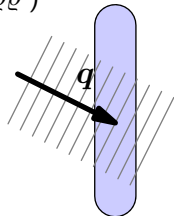
$$e^{i\mathbf{q}\mathbf{r}} = e^{i\mathbf{q}_\perp \cdot \boldsymbol{\rho} \cos \varphi} e^{i\rho z} = \sum_m i^m J_m(|\mathbf{q}_\perp| \rho) e^{im\varphi} e^{i\rho z}$$

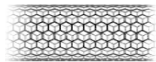
- ▶ susceptibility in Cartesian coord.

$$\chi(\mathbf{q}\mathbf{q}) \approx \frac{2\pi}{L^2} \sum_{m,m'} \iint d\rho d\rho' \rho \rho' (-i)^{m-m'} \cdot J_m(|\mathbf{q}_\perp| \rho) J_{m'}(|\mathbf{q}_\perp| \rho') \chi(mm', \rho\rho, \rho\rho')$$

- ▶ energy-loss function

$$S(\mathbf{q}, \omega) = -\frac{1}{\pi} \text{Im} \chi(\mathbf{q}\mathbf{q}, \omega)$$





- ▶ expand external pert. in cylinder waves, $\mathbf{q} = (\mathbf{q}_\perp, p)$:

$$e^{i\mathbf{q}\mathbf{r}} = e^{i\mathbf{q}_\perp \cdot \boldsymbol{\rho} \cos \varphi} e^{ipz} = \sum_m i^m J_m(|\mathbf{q}_\perp| \rho) e^{im\varphi} e^{ipz}$$

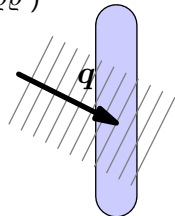
- ▶ susceptibility in Cartesian coord.

$$\chi(\mathbf{q}\mathbf{q}) \approx \frac{2\pi}{L^2} \sum_{m,m'} \iint d\rho d\rho' \rho \rho' (-i)^{m-m'} \cdot J_m(|\mathbf{q}_\perp| \rho) J_{m'}(|\mathbf{q}_\perp| \rho') \chi(mm', pp, \rho \rho')$$

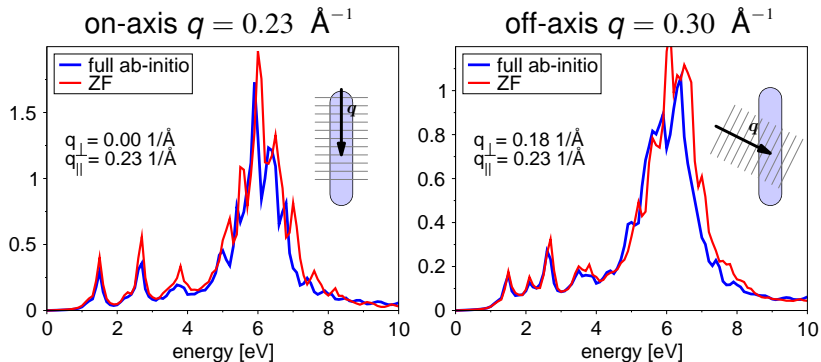
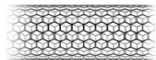
- ▶ energy-loss function

$$S(\mathbf{q}, \omega) = -\frac{1}{\pi} \text{Im} \chi(\mathbf{q}\mathbf{q}, \omega)$$

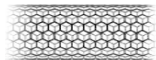
⇒ numerical test for CNT(9,9)



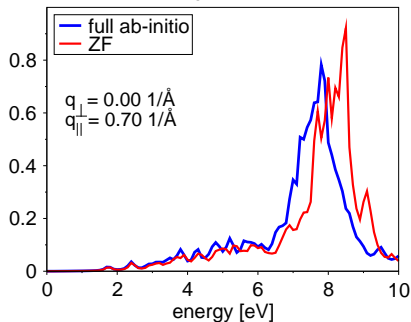
Ab-Initio vs. Zone-Folding: CNT(9,9)



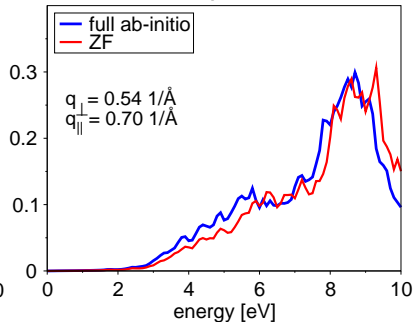
Ab-Initio vs. Zone-Folding: CNT(9,9)

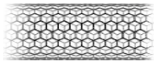


on-axis $q = 0.70 \text{ \AA}^{-1}$



off-axis $q = 0.88 \text{ \AA}^{-1}$





Summary

- ▶ zone-folding: graphene \rightarrow SWCNT
- ▶ computational effort reduced (two-atom unit cell)
- ▶ microscopic dielectric theory for $\epsilon(\mathbf{q}\mathbf{q}', \omega)$

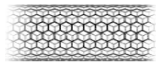
Local-Response Approximation

- ▶ connection with local dielectric theory $\epsilon_M(\mathbf{q}, \omega)$
- ▶ analytic solution for Dyson equation $\chi = \chi^0 + \chi^0 \mathbf{v} \chi$
- ▶ interpretation in terms of normal mode excitations

Local-Response Approximation

connection with dielectric theory

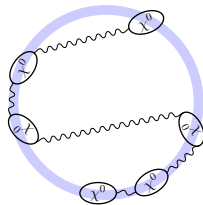
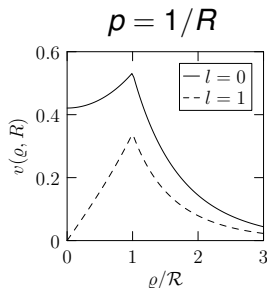
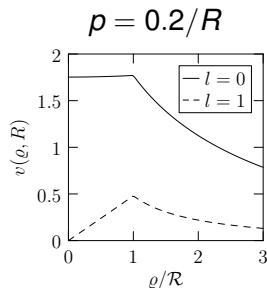
Dyson Equation in Cylindrical Coordinates



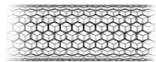
Dyson equation: coordinates (m, ρ, ϱ)

$$\chi(\varrho, \varrho') = \chi^0(\varrho, \varrho') + \iint d\varrho_1 d\varrho_2 \varrho_1 \varrho_2 \chi^0(\varrho, \varrho_1) v(\varrho_1, \varrho_2) \chi(\varrho_2, \varrho')$$

$$v(m, \rho; \varrho_1 \varrho_2) = \frac{e^2}{\varepsilon_0} I_m(|\rho| \rho_<) K_m(|\rho| \rho_>)$$



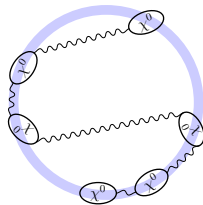
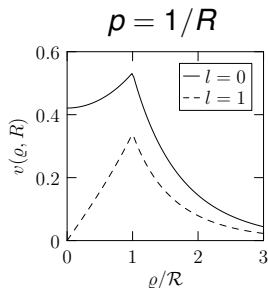
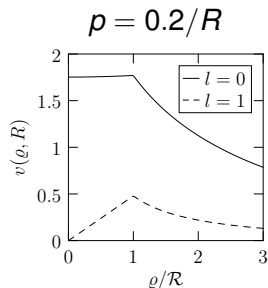
Dyson Equation in Cylindrical Coordinates



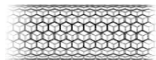
Dyson equation: coordinates (m, p, ϱ)

$$\chi(\varrho, \varrho') = \chi^0(\varrho, \varrho') + \iint d\varrho_1 d\varrho_2 \varrho_1 \varrho_2 \chi^0(\varrho, \varrho_1) v(R, R) \chi(\varrho_2, \varrho')$$

$$v(m, p; R, R) = \frac{e^2}{\varepsilon_0} I_m(|p|R) K_m(|p|R) \equiv v_{\text{cnt}}(m, p)$$



Dyson Equation in Cylindrical Coordinates



Dyson equation: coordinates (m, ρ, ϱ)

$$\chi(\varrho, \varrho') = \chi^0(\varrho, \varrho') + \iint d\varrho_1 d\varrho_2 \varrho_1 \varrho_2 \chi^0(\varrho, \varrho_1) v(\mathbf{R}, \mathbf{R}) \chi(\varrho_2, \varrho')$$

$$v(m, \rho; \mathbf{R}, \mathbf{R}) = \frac{e^2}{\varepsilon_0} I_m(|\rho| \mathbf{R}) K_m(|\rho| \mathbf{R}) \equiv v_{\text{cnt}}(m, \rho)$$

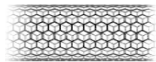
integrated cylinder response functions

$$\bar{\chi}^0(m, \rho) \equiv \iint d\rho_1 d\rho_2 \rho_1 \rho_2 \chi^0(m, \rho; \rho_1, \rho_2)$$

scalar Dyson equation

$$\bar{\chi}(m, \rho) \approx \bar{\chi}^0(m, \rho) + \bar{\chi}^0(m, \rho) v_{\text{cnt}}(m, \rho) \bar{\chi}(m, \rho)$$

[M. F. Lin, *et al.*: PRB, 53, 15493 (1996).]

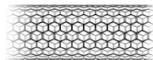


full *ab-initio* for periodic graphene ribbon

1. ground-state calculation gives ϕ_i^{KS}
2. independent-particle polarisability χ_{bulk}^0

zone-folding model for χ^0

3. polarisability of tube $\bar{\chi}^0(m, p) = R \cdot \chi_{\text{bulk}}^0(q_x, q_y)$
4. cylinder susceptibility $\bar{\chi} \approx \bar{\chi}^0 + \bar{\chi}^0 v_{\text{cnt}} \bar{\chi}$
5. energy-loss $\chi(\mathbf{q}\mathbf{q}, \omega) \approx \frac{2\pi}{L^2} \sum_m J_m^2(|\mathbf{q}_\perp| R) \bar{\chi}(m, p)$

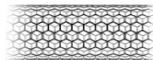


Local limit: assume $v(m, p; \varrho_1, \varrho_2) \approx v(m, p; R, R)$

- ▶ neglect in-plane crystal local-field effects
- ▶ only valid if both m and p are small

zone-folding model for χ^0

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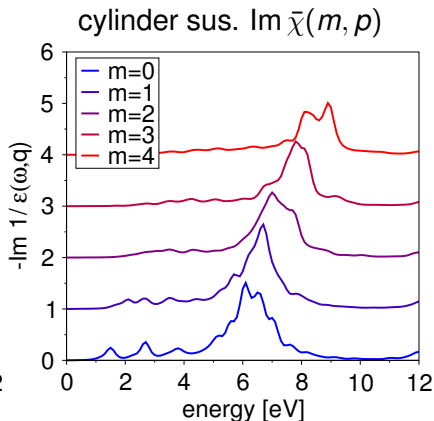
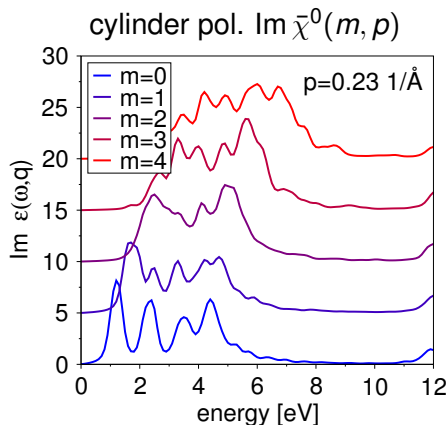
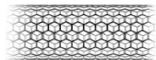
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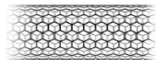
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zone-folding model for χ^0

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5. energy-loss $\chi(\mathbf{q}\mathbf{q}, \omega) \approx \frac{2\pi}{L^2} \sum_m J_m^2(|\mathbf{q}_\perp| R) \bar{\chi}(m, p)$

Cylinder Response for CNT(9,9)





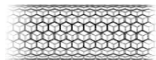
Local limit: assume $v(m, p; \varrho_1, \varrho_2) \approx v(m, p; R, R)$

- ▶ neglect in-plane crystal local-field effects
- ▶ only valid if both m and p are small

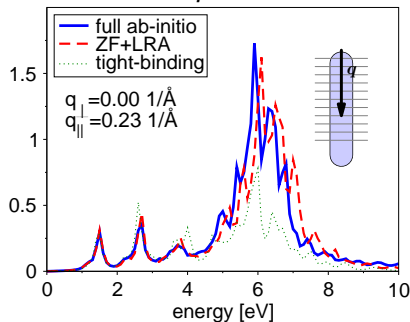
zone-folding model for χ^0

3. polarisability of tube $\bar{\chi}^0(m, p) = R \cdot \chi_{\text{bulk}}^0(q_x, q_y)$
4. cylinder susceptibility $\bar{\chi} \approx \bar{\chi}^0 + \bar{\chi}^0 v_{\text{cnt}} \bar{\chi}$
5. **energy-loss** $\chi(\mathbf{q}, \omega) \approx \frac{2\pi}{L^2} \sum_m J_m^2(|\mathbf{q}_\perp| R) \bar{\chi}(m, p)$

AR-EELS for CNT(9,9): small q

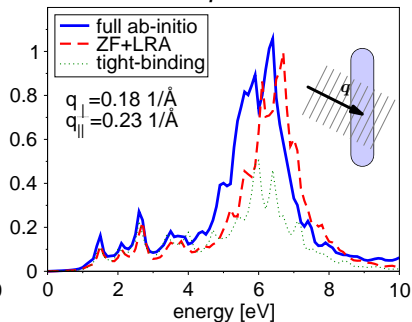


on-axis $q = 0.23 \text{ \AA}^{-1}$



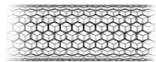
m	0	± 1	± 2	± 3
J_m^2	1	0	0	0

off-axis $q = 0.30 \text{ \AA}^{-1}$

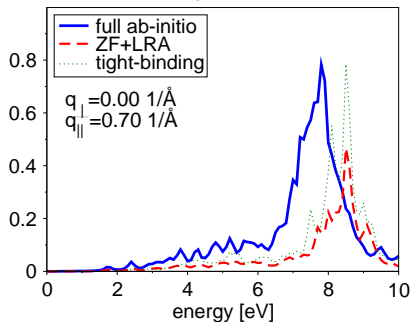


m	0	± 1	± 2	± 3
J_m^2	0.5	0.2	0	0

AR-EELS for CNT(9,9): large q

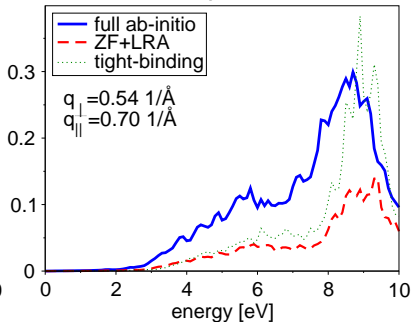


on-axis $q = 0.70 \text{ \AA}^{-1}$



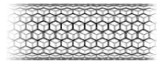
m	0	± 1	± 2	± 3
J_m^2	1	0	0	0

off-axis $q = 0.88 \text{ \AA}^{-1}$

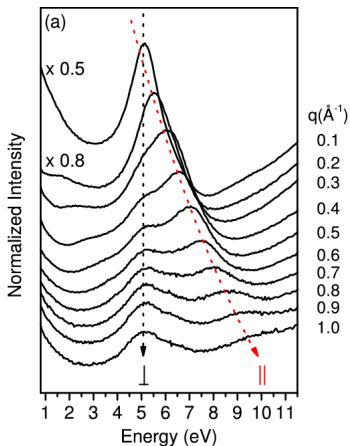


m	0	± 1	± 2	± 3
J_m^2	0.1	0.05	0.2	0.1

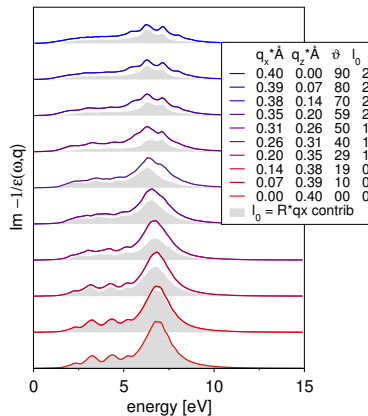
AR-Experiments vs. Tight-Binding



Experiment: oriented SWCNT
(Diameter 20 Å, nearly isolated)



TB-Calculation: (9,9) SWCNT
($|q| = 0.4\text{Å}^{-1}$ varying orientation)



Summary

- ▶ introduced effective, scalar response functions
- ▶ dyson equation can be solved analytically
- ▶ AR-EELS in terms of normal-mode excitations
- ▶ approximation holds for small $|\mathbf{q}| < 0.1 \text{ \AA}$

Outlook

- ▶ effects on tubes: chirality, diameter, orientation
- ▶ exchange-correlation effects
- ▶ different perturbation: spatially-resolved EELS

Conclusions

1. Graphite \rightarrow Graphene

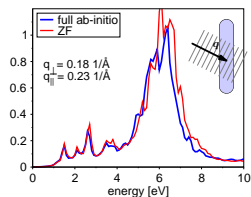
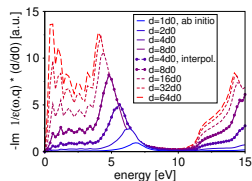
- ▶ interpolation method

2. Graphene \rightarrow SWCNTs

- ▶ zone-folding method

3. Local-response approximation

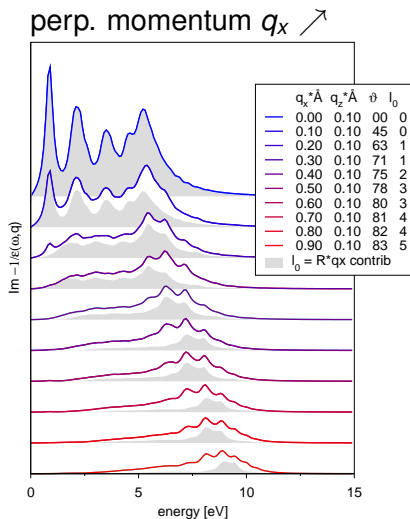
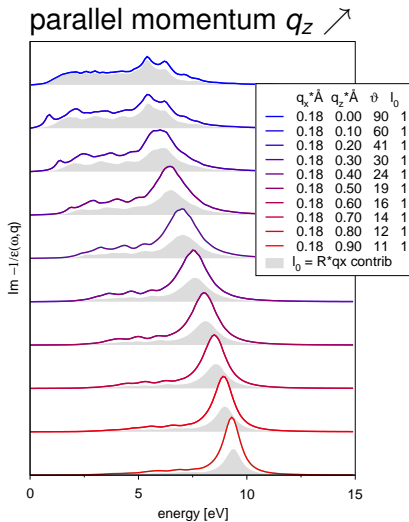
- ▶ connection with dielectric theory
- ▶ analysis using normal modes



$$\bar{\chi} \approx \bar{\chi}^0 + \bar{\chi}^0 \mathbf{v}_{\text{cnt}} \bar{\chi}$$

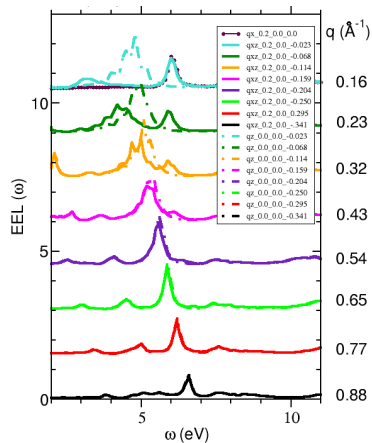
Appendix

Tight-Binding for CNT(9,9)

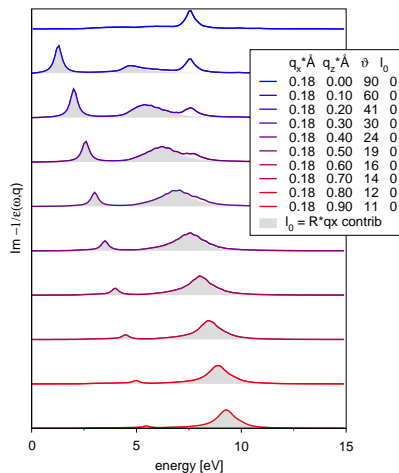


Tight-Binding for (3,3) Nanotube

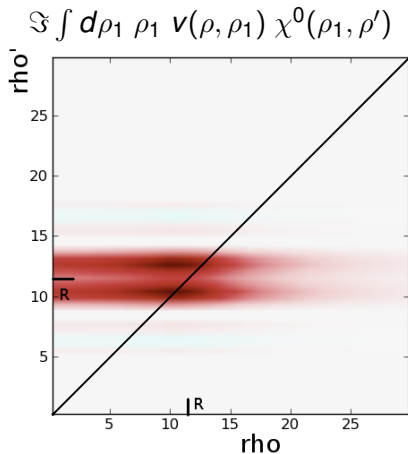
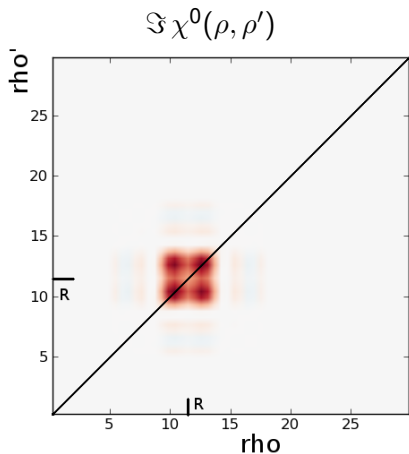
Calculation: (3,3) SWCNT
(Diameter 4 Å, low interaction)



TB-Calculation: (3,3) SWCNT
($|q_x| = 0.18 \text{\AA}^{-1}$, $q_z \nearrow$)



Example: CNT(9,9)



(CNT(9,9), $q_z = q'_z = 0.27 \text{ \AA}^{-1}$, $m = m' = 0$, $\omega = 4 \text{ eV}$)

Dielectric Theory

local dielectric function:

$$\epsilon(\omega) = 1 + v\chi^0(\omega)$$

including nonlocal effects:

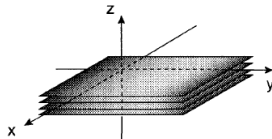
$$\epsilon(\mathbf{q}, \omega) = 1 + v(\mathbf{q})\chi^0(\mathbf{q}, \omega)$$

microscopic dielectric theory:

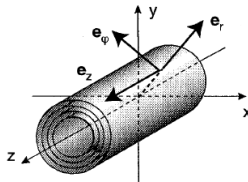
$$\epsilon(\mathbf{q}\mathbf{q}', \omega) = \delta(\mathbf{q}-\mathbf{q}') + v(\mathbf{q})\chi^0(\mathbf{q}\mathbf{q}', \omega)$$

[Stöckli, Phil. Mag. B (79), 1531
(1999)]

Planar graphite:



Carbon nanotube:



3D Dyson Equation

real space

$$\chi(\mathbf{r}, \mathbf{r}') = \chi^0(\mathbf{r}, \mathbf{r}') + \iint d\mathbf{r}_1 d\mathbf{r}_2 \chi^0(\mathbf{r}, \mathbf{r}_1) v(\mathbf{r}_1, \mathbf{r}_2) \chi(\mathbf{r}_2, \mathbf{r}')$$
$$v(\mathbf{r}_1, \mathbf{r}_2) = \frac{e^2}{4\pi\epsilon_0} \frac{1}{|\mathbf{r}_1 - \mathbf{r}_2|}$$

reciprocal space

$$v(\mathbf{q}_1, \mathbf{q}_2) = v_{3D}(q_1) \delta(\mathbf{q}_1 - \mathbf{q}_2), \quad v_{3D} \equiv \frac{e^2}{\epsilon_0} \frac{1}{q^2}$$
$$v(\bar{\mathbf{q}}_1 z_1, \bar{\mathbf{q}}_2 z_2) = v_{2D}(q_1) e^{-|\bar{\mathbf{q}}||z_1 - z_2|} \delta(\bar{\mathbf{q}}_1 - \bar{\mathbf{q}}_2), \quad v_{2D} \equiv \frac{e^2}{2\epsilon_0} \frac{1}{\bar{q}}$$

2D Dyson Equation

Local Response Approximation (1)

We let $e^{-|\bar{q}_1||z_1-z_2|} \approx 1$ for $\bar{q}_1 \ll \frac{1}{2\lambda} \approx 0.5 \text{ \AA}^{-1}$ due to the locality of $\chi^{(0)}$. Introducing the integrated (2D) quantities

$$\bar{\chi}^{(0)}(\bar{\mathbf{q}}_1, \bar{\mathbf{q}}_2) \equiv \iint dz_1 dz_2 \chi^{(0)}(\bar{\mathbf{q}}_1 z_1, \bar{\mathbf{q}}_2 z_2)$$

we find the (2D) Dyson Equation

$$\bar{\chi} = \bar{\chi}^0 + \bar{\chi}^0 v_{2D} \bar{\chi}, \quad \bar{\epsilon} = 1 - v_{2D} \bar{\chi}^0$$

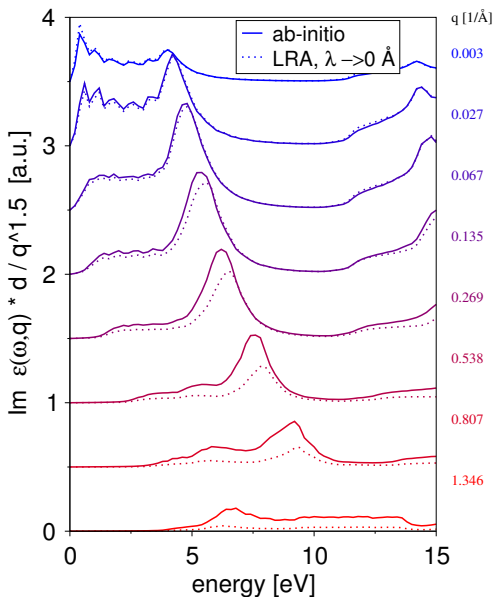
LRA vs Ab-Initio

Ab-Initio calculation

- ▶ Graphene LF-spectrum
 $\bar{\chi} = d \cdot \chi(\bar{\mathbf{q}}\bar{\mathbf{q}}, q_z = 0)$

Calculation using LRA + neglecting in-plane LFE

- ▶ Graphite NLF-spectrum
 $\bar{\chi}^0 = d_0 \cdot \chi^0(\bar{\mathbf{q}}\bar{\mathbf{q}}, q_z = 0)$
- ▶ 2D Dyson equation
 $\bar{\chi} = \bar{\chi}^0 + \bar{\chi}^0 v_{2D} \bar{\chi}$



2D Dyson Equation

Local Response Approximation (2)

We assume separability and an exponential decay in z and z'
 $\chi^{(0)}(\bar{\mathbf{q}}, zz') = e^{-(|z|+|z'|)/\lambda} \chi^{(0)}(\bar{\mathbf{q}})$. By integrating

$$\chi^0 v_{\chi} = \int dz_1 dz_2 d\bar{\mathbf{q}}_1 \chi^0(\bar{\mathbf{q}}z, \bar{\mathbf{q}}_1 z_1) v_{2D} e^{-|\bar{q}_1||z_1-z_2|} \chi(\bar{\mathbf{q}}_1 z_2, \bar{\mathbf{q}}' z')$$

we find the (2D) Dyson Equation

$$\bar{\chi}^{\lambda} = \bar{\chi}^0 + \bar{\chi}^0 \frac{v_{2D}}{\beta_{\lambda}} \bar{\chi}^{\lambda}, \quad \beta_{\lambda} = \frac{(1+|\bar{q}|\lambda)^2}{1+\frac{1}{2}|\bar{q}|\lambda} \approx 1 + \frac{3}{2}|\bar{q}|\lambda + \dots$$

LRA- λ vs Ab-Initio

Ab-Initio calculation

- ▶ Graphene LF-spectrum
 $\bar{\chi} = d \cdot \chi(\bar{\mathbf{q}}\bar{\mathbf{q}}, q_z = 0)$

Calculation using LRA- λ + neglecting in-plane LFE

- ▶ Graphite NLF-spectrum
 $\bar{\chi}^0 = d_0 \cdot \chi^0(\bar{\mathbf{q}}\bar{\mathbf{q}}, q_z = 0)$
- ▶ 2D Dyson eq, $\lambda = 1.5 \text{ \AA}$
 $\bar{\chi}^\lambda = \bar{\chi}^0 + \bar{\chi}^0 \frac{v_{2D}}{\beta_\lambda} \bar{\chi}^\lambda$

