

Time Dependent Density Functional Theory

An introduction

Francesco Sottile

LSI, Ecole Polytechnique, Palaiseau
European Theoretical Spectroscopy Facility (ETSF)

Palaiseau, 26 May 2014

- 1 Introduction: why TD-DFT ?
- 2 (Just) A bit of Formalism - The Boring Part
 - TDDFT: the Foundation
 - Linear Response Formalism
- 3 TDDFT in practice:
 - The ALDA: Achievements and Shortcomings
 - New Frontiers
- 4 Perspectives and Resources

- 1 Introduction: why TD-DFT ?
- 2 (Just) A bit of Formalism - The Boring Part
 - TDDFT: the Foundation
 - Linear Response Formalism
- 3 TDDFT in practice:
 - The ALDA: Achievements and Shortcomings
 - New Frontiers
- 4 Perspectives and Resources

Basic ideas of DFT

- 1 Any observable of a quantum system can be obtained from the density of the system **alone**.
- 2 The density of an interacting-particles system can be calculated as the density of an auxiliary system of **non-interacting** particles.

Importance of the density

Example: atom of Nitrogen (7 electron)

$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_7)$ 21 coordinates

10 entries/coordinate $\Rightarrow 10^{21}$ entries

8 bytes/entry $\Rightarrow 8 \cdot 10^{21}$ bytes

$5 \cdot 10^9$ bytes/DVD $\Rightarrow 10^{12}$ DVDs

Basic ideas of DFT

- 1 Any observable of a quantum system can be obtained from the density of the system **alone**.
- 2 The density of an interacting-particles system can be calculated as the density of an auxiliary system of **non-interacting** particles.

Importance of the density

Example: atom of Nitrogen (7 electron)

$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_7)$ 21 coordinates

10 entries/coordinate $\Rightarrow 10^{21}$ entries

8 bytes/entry $\Rightarrow 8 \cdot 10^{21}$ bytes

$5 \cdot 10^9$ bytes/DVD $\Rightarrow 10^{12}$ DVDs

Basic ideas of DFT

- 1 Any observable of a quantum system can be obtained from the density of the system **alone**.
- 2 The density of an interacting-particles system can be calculated as the density of an auxiliary system of **non-interacting** particles.

Importance of the density

Example: atom of Nitrogen (7 electron)

$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_7)$ 21 coordinates

10 entries/coordinate $\Rightarrow 10^{21}$ entries

8 bytes/entry $\Rightarrow 8 \cdot 10^{21}$ bytes

$5 \cdot 10^9$ bytes/DVD $\Rightarrow 10^{12}$ DVDs

Basic ideas of DFT

- 1 Any observable of a quantum system can be obtained from the density of the system **alone**.
- 2 The density of an interacting-particles system can be calculated as the density of an auxiliary system of **non-interacting** particles.

Importance of the density

Example: atom of Nitrogen (7 electron)

$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_7)$ 21 coordinates

10 entries/coordinate $\Rightarrow 10^{21}$ entries

8 bytes/entry $\Rightarrow 8 \cdot 10^{21}$ bytes

$5 \cdot 10^9$ bytes/DVD $\Rightarrow 10^{12}$ DVDs

Basic ideas of DFT

- 1 Any observable of a quantum system can be obtained from the density of the system **alone**.
- 2 The density of an interacting-particles system can be calculated as the density of an auxiliary system of **non-interacting** particles.

Importance of the density

Example: atom of Nitrogen (7 electron)

$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_7)$ 21 coordinates

10 entries/coordinate $\Rightarrow 10^{21}$ entries

8 bytes/entry $\Rightarrow 8 \cdot 10^{21}$ bytes

$5 \cdot 10^9$ bytes/DVD $\Rightarrow 10^{12}$ DVDs

Basic ideas of DFT

- 1 Any observable of a quantum system can be obtained from the density of the system **alone**.
- 2 The density of an interacting-particles system can be calculated as the density of an auxiliary system of **non-interacting** particles.

Importance of the density

Example: atom of Nitrogen (7 electron)

$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_7)$ 21 coordinates

10 entries/coordinate $\Rightarrow 10^{21}$ entries

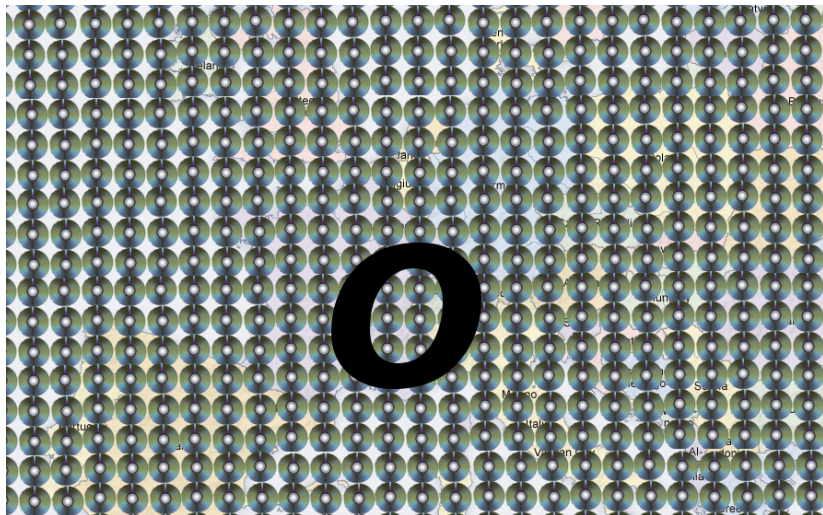
8 bytes/entry $\Rightarrow 8 \cdot 10^{21}$ bytes

$5 \cdot 10^9$ bytes/DVD $\Rightarrow 10^{12}$ DVDs

Density Functional ... Why ?



Density Functional ... Why ?



Density Functional ... Why ?

Basic ideas of DFT

- 1 Any observable of a quantum system can be obtained from the density of the system **alone**.
- 2 The density of an interacting-particles system can be calculated as the density of an auxiliary system of **non-interacting** particles.

Importance of the density

Example: atom of Nitrogen (7 electron)

$$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_7) \quad 21 \text{ coordinates}$$

$$10 \text{ entries/coordinate} \Rightarrow 10^{21} \text{ entries}$$

$$8 \text{ bytes/entry} \Rightarrow 8 \cdot 10^{21} \text{ bytes}$$

$$5 \cdot 10^9 \text{ bytes/DVD} \Rightarrow 10^{12} \text{ DVDs}$$

Importance of non-interacting

The Kohn-Sham one-particle equations

$$H_i(\mathbf{r})\psi_i(\mathbf{r}) = \epsilon_i(\mathbf{r})\psi_i(\mathbf{r})$$

Density Functional ... Why ?

Basic ideas of DFT

- 1 Any observable of a quantum system can be obtained from the density of the system **alone**.
- 2 The density of an interacting-particles system can be calculated as the density of an auxiliary system of **non-interacting** particles.

Importance of the density

Example: atom of Nitrogen (7 electron)

$$\Psi(\mathbf{r}_1, \dots, \mathbf{r}_7) \quad 21 \text{ coordinates}$$

$$10 \text{ entries/coordinate} \Rightarrow 10^{21} \text{ entries}$$

$$8 \text{ bytes/entry} \Rightarrow 8 \cdot 10^{21} \text{ bytes}$$

$$5 \cdot 10^9 \text{ bytes/DVD} \Rightarrow 10^{12} \text{ DVDs}$$

Importance of non-interacting

The Kohn-Sham one-particle equations

$$H_i(\mathbf{r})\psi_i(\mathbf{r}) = \epsilon_i(\mathbf{r})\psi_i(\mathbf{r})$$

Density Functional ... Successful ?

TABLE II: Top-10 cited PR articles. The asterisks denote citation undercut due to citations with missing prepended A/B page numbers – 123 out of 3227 total for item 1 and 120 out of 2640 for item 2.

Impact Rank	Publication		# cites	Av. Age	Impact	Title	Author(s)	
1	PR	140 A1133	1965	3227*	26.64	85972	Self-Consistent Equations...	W. Kohn & L. J. Sham
2	PR	136 B864	1964	2460*	28.70	70604	Inhomogeneous Electron Gas	P. Hohenberg & W. Kohn
3	PRB	23 5048	1981	2079	14.38	29896	Self-Interaction Correction to...	J. P. Perdew & A. Zunger
4	PRL	45 566	1980	1781	15.42	27463	Ground State of the Electron ...	D. M. Ceperley & B. J. Alder
5	PR	108 1175	1957	1364	20.18	27526	Theory of Superconductivity	J. Bardeen, L. N. Cooper, & J. R. Schrieffer
6	PRL	19 1264	1967	1306	15.46	20191	A Model of Leptons	S. Weinberg
7	PRB	12 3060	1975	1259	18.35	23103	Linear Methods in Band Theory	O. K. Andersen
8	PR	124 1866	1961	1178	27.97	32949	Effects of Configuration...	U. Fano
8	RMP	57 287	1985	1055	9.17	9674	Disordered Electronic Systems	P. A. Lee & T. V. Ramakrishnan
9	RMP	54 437	1982	1045	10.82	11307	Electronic Properties of...	T. Ando, A. B. Fowler, & F. Stern
10	PRB	13 5188	1976	1023	20.75	21227	Special Points for Brillouin-...	H. J. Monkhorst & J. D. Pack



S. Redner <http://arxiv.org/abs/physics/0407137>

Large field of research concerned with many-electron systems in time-dependent fields

Different Phenomena

- absorption spectra
- energy loss spectra
- photo-ionization
- high-harmonic generation
- photo-emission

Large field of research concerned with many-electron systems in time-dependent fields

Different Phenomena

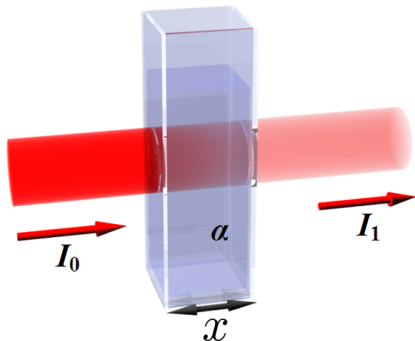
- absorption spectra
- energy loss spectra
- photo-ionization
- high-harmonic generation
- photo-emission

Time Dependent DFT ... Why ?

Large field of research concerned with many-electron systems in time-dependent fields

Different Phenomena

- absorption spectra
- energy loss spectra
- photo-ionization
- high-harmonic generation
- photo-emission

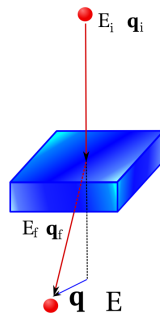


Time Dependent DFT ... Why ?

Large field of research concerned with many-electron systems in time-dependent fields

Different Phenomena

- absorption spectra
- energy loss spectra
- photo-ionization
- high-harmonic generation
- photo-emission

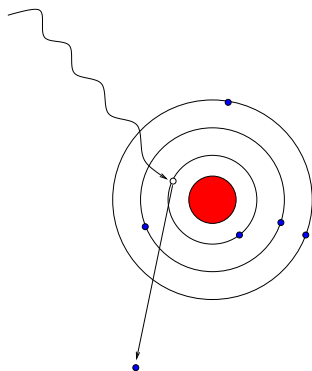


Time Dependent DFT ... Why ?

Large field of research concerned with many-electron systems in time-dependent fields

Different Phenomena

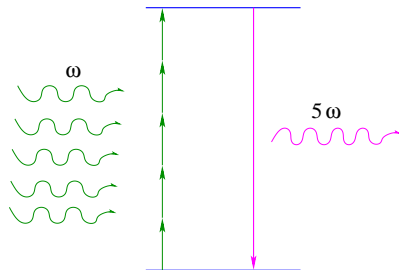
- absorption spectra
- energy loss spectra
- photo-ionization
- high-harmonic generation
- photo-emission



Large field of research concerned with many-electron systems in time-dependent fields

Different Phenomena

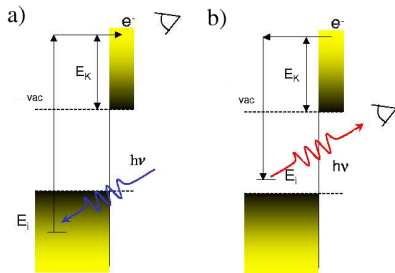
- absorption spectra
- energy loss spectra
- photo-ionization
- high-harmonic generation
- photo-emission



Large field of research concerned with many-electron systems in time-dependent fields

Different Phenomena

- absorption spectra
- energy loss spectra
- photo-ionization
- high-harmonic generation
- photo-emission



We need a time dependent theory



TDDFT is a promising candidate

- 1 Introduction: why TD-DFT ?
- 2 (Just) A bit of Formalism - The Boring Part
 - TDDFT: the Foundation
 - Linear Response Formalism
- 3 TDDFT in practice:
 - The ALDA: Achievements and Shortcomings
 - New Frontiers
- 4 Perspectives and Resources

- 1 Introduction: why TD-DFT ?
- 2 (Just) A bit of Formalism - The Boring Part
 - TDDFT: the Foundation
 - Linear Response Formalism
- 3 TDDFT in practice:
 - The ALDA: Achievements and Shortcomings
 - New Frontiers
- 4 Perspectives and Resources

The name of the game: TDDFT

DFT

Hohenberg-Kohn theorem 1

The ground-state expectation value of any physical observable of a many-electrons system is a unique functional of the electron density $n(\mathbf{r})$

$$\langle \varphi^0 | \hat{O} | \varphi^0 \rangle = O[n]$$



P. Hohenberg and W. Kohn
Phys.Rev. **136**, B864 (1964)
(Fermi, Slater)

TDDFT

Runge-Gross theorem

The expectation value of any physical time-dependent observable of a many-electrons system is a unique functional of the **time-dependent** electron density $n(\mathbf{r}, t)$ and of the **initial state**

$$\varphi^0 = \varphi(t=0)$$

$$\langle \varphi(t) | \hat{O}(t) | \varphi(t) \rangle = O[n, \varphi^0](t)$$



E. Runge and E.K.U. Gross
Phys.Rev.Lett. **52**, 997 (1984)
(Ando, Zangwill and Soven)

DFT

Hohenberg-Kohn theorem 1

The ground-state expectation value of any physical observable of a many-electrons system is a unique functional of the electron density $n(\mathbf{r})$

$$\langle \varphi^0 | \hat{O} | \varphi^0 \rangle = O[n]$$



P. Hohenberg and W. Kohn
Phys.Rev. **136**, B864 (1964)

(Fermi, Slater)

TDDFT

Runge-Gross theorem

The expectation value of any physical time-dependent observable of a many-electrons system is a unique functional of the **time-dependent** electron density $n(\mathbf{r}, t)$ and of **the initial state**

$$\varphi^0 = \varphi(t=0)$$

$$\langle \varphi(t) | \hat{O}(t) | \varphi(t) \rangle = O[n, \varphi^0](t)$$



E. Runge and E.K.U. Gross
Phys.Rev.Lett. **52**, 997 (1984)

(Ando, Zangwill and Soven)

The name of the game: TDDFT

DFT

Static problem

Second-order differential equation

Boundary-value problem.

$$H\varphi(\mathbf{r}_1, \dots, \mathbf{r}_N) = E\varphi(\mathbf{r}_1, \dots, \mathbf{r}_N)$$

TDDFT

Time-dependent problem

First-order differential equation
Initial-value problem

$$H(t)\varphi(\mathbf{r}_1, \dots, \mathbf{r}_N; t) = i\hbar \frac{\partial}{\partial t} \varphi(\mathbf{r}_1, \dots, \mathbf{r}_N; t)$$

The name of the game: TDDFT

DFT

Static problem

Second-order differential equation

Boundary-value problem.

$$H\varphi(\mathbf{r}_1, \dots, \mathbf{r}_N) = E\varphi(\mathbf{r}_1, \dots, \mathbf{r}_N)$$

TDDFT

Time-dependent problem

First-order differential equation
Initial-value problem

$$H(t)\varphi(\mathbf{r}_1, \dots, \mathbf{r}_N; t) = i\hbar \frac{\partial}{\partial t} \varphi(\mathbf{r}_1, \dots, \mathbf{r}_N; t)$$

Runge-Gross theorem

The expectation value of any physical time-dependent observable of a many-electrons system is a unique functional of the **time-dependent** electron density $n(\mathbf{r}, t)$ and of **the initial state** $\varphi^0 = \varphi(t = 0)$

$$\langle \varphi(t) | \hat{O}(t) | \varphi(t) \rangle = O[n, \varphi^0](t)$$



E. Runge and E.K.U. Gross

Phys.Rev.Lett. **52**, 997 (1984)

The name of the game: TDDFT

Runge-Gross theorem

- $V_{\text{ext}}(\mathbf{r}, t) \neq V'_{\text{ext}}(\mathbf{r}, t) \iff \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t)$
- $\nabla \cdot [n \nabla V_{\text{ext}}] \neq \nabla \cdot [n \nabla V'_{\text{ext}}] \iff n(\mathbf{r}, t) \neq n'(\mathbf{r}, t)$
 $n(\mathbf{r}, t) \longrightarrow V_{\text{ext}}(\mathbf{r}, t) + c(t) \longrightarrow \varphi e^{ic(t)}$

$$\langle \varphi(t) | \hat{O}(t) | \varphi(t) \rangle = O[n, \varphi^0](t)$$

What about infinite systems?

The name of the game: TDDFT

Runge-Gross theorem

- $V_{\text{ext}}(\mathbf{r}, t) \neq V'_{\text{ext}}(\mathbf{r}, t) \iff \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t)$
- $\nabla \cdot [n \nabla V_{\text{ext}}] \neq \nabla \cdot [n \nabla V'_{\text{ext}}] \iff n(\mathbf{r}, t) \neq n'(\mathbf{r}, t)$
 $n(\mathbf{r}, t) \longrightarrow V_{\text{ext}}(\mathbf{r}, t) + c(t) \longrightarrow \varphi e^{ic(t)}$

$$\langle \varphi(t) | \hat{O}(t) | \varphi(t) \rangle = O[n, \varphi^0](t)$$

What about infinite systems?

The name of the game: TDDFT

Runge-Gross theorem

- $V_{\text{ext}}(\mathbf{r}, t) \neq V'_{\text{ext}}(\mathbf{r}, t) \iff \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t)$
- $\nabla \cdot [n \nabla V_{\text{ext}}] \neq \nabla \cdot [n \nabla V'_{\text{ext}}] \iff n(\mathbf{r}, t) \neq n'(\mathbf{r}, t)$

$$n(\mathbf{r}, t) \longrightarrow V_{\text{ext}}(\mathbf{r}, t) + c(t) \longrightarrow \varphi e^{ic(t)}$$

$$\langle \varphi(t) | \hat{O}(t) | \varphi(t) \rangle = O[n, \varphi^0](t)$$

What about infinite systems?

The name of the game: TDDFT

Runge-Gross theorem

- $V_{\text{ext}}(\mathbf{r}, t) \neq V'_{\text{ext}}(\mathbf{r}, t) \iff \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t)$
- $\nabla \cdot [n \nabla V_{\text{ext}}] \neq \nabla \cdot [n \nabla V'_{\text{ext}}] \iff n(\mathbf{r}, t) \neq n'(\mathbf{r}, t)$
 $n(\mathbf{r}, t) \longrightarrow V_{\text{ext}}(\mathbf{r}, t) + c(t) \longrightarrow \varphi e^{ic(t)}$

$$\langle \varphi(t) | \hat{O}(t) | \varphi(t) \rangle = O[n, \varphi^0](t)$$

What about infinite systems?

The name of the game: TDDFT

Runge-Gross theorem

- $V_{\text{ext}}(\mathbf{r}, t) \neq V'_{\text{ext}}(\mathbf{r}, t) \iff \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t)$
- $\nabla \cdot [n\nabla V_{\text{ext}}] \neq \nabla \cdot [n\nabla V'_{\text{ext}}] \iff n(\mathbf{r}, t) \neq n'(\mathbf{r}, t)$
 $n(\mathbf{r}, t) \longrightarrow V_{\text{ext}}(\mathbf{r}, t) + c(t) \longrightarrow \varphi e^{ic(t)}$

$$\langle \varphi(t) | \hat{O}(t) | \varphi(t) \rangle = O[n, \varphi^0](t)$$

What about infinite systems?

The name of the game: TDDFT

Runge-Gross theorem

- $V_{\text{ext}}(\mathbf{r}, t) \neq V'_{\text{ext}}(\mathbf{r}, t) \iff \mathbf{j}(\mathbf{r}, t) \neq \mathbf{j}'(\mathbf{r}, t)$
- $\nabla \cdot [n \nabla V_{\text{ext}}] \neq \nabla \cdot [n \nabla V'_{\text{ext}}] \iff n(\mathbf{r}, t) \neq n'(\mathbf{r}, t)$
 $n(\mathbf{r}, t) \longrightarrow V_{\text{ext}}(\mathbf{r}, t) + c(t) \longrightarrow \varphi e^{ic(t)}$

$$\langle \varphi(t) | \hat{O}(t) | \varphi(t) \rangle = O[n, \varphi^0](t)$$

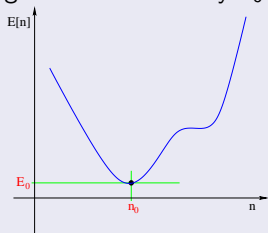
What about infinite systems?

The name of the game: TDDFT

DFT

Hohenberg-Kohn theorem 2

The total energy functional has a minimum, the ground-state energy E_0 , corresponding to the ground-state density n_0 .



TDDFT

Runge-Gross theorem - No minimum

Time-dependent Schrödinger eq. (initial condition $\varphi(t=0) = \varphi_0$), corresponds to a **stationary point** of the Hamiltonian action

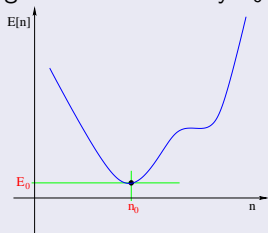
$$A = \int_{t_0}^{t_1} dt \langle \varphi(t) | i \frac{\partial}{\partial t} - H(t) | \varphi(t) \rangle$$

The name of the game: TDDFT

DFT

Hohenberg-Kohn theorem 2

The total energy functional has a minimum, the ground-state energy E_0 , corresponding to the ground-state density n_0 .

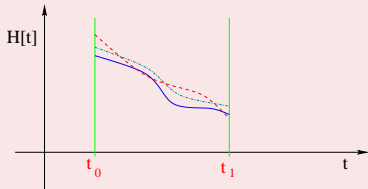


TDDFT

Runge-Gross theorem - No minimum

Time-dependent Schrödinger eq. (initial condition $\varphi(t=0) = \varphi_0$), corresponds to a **stationary** point of the Hamiltonian action

$$A = \int_{t_0}^{t_1} dt \langle \varphi(t) | i \frac{\partial}{\partial t} - H(t) | \varphi(t) \rangle$$



The name of the game: TDDFT

DFT

Kohn-Sham equations

$$\left[-\frac{1}{2} \cdot \nabla_i^2 + V_{\text{tot}}(\mathbf{r}) \right] \phi_i(\mathbf{r}) = \epsilon_i \phi_i(\mathbf{r})$$

$$V_{\text{tot}}(\mathbf{r}) = V_{\text{ext}}(\mathbf{r}) + \int d\mathbf{r}' v(\mathbf{r}, \mathbf{r}') n(\mathbf{r}') + V_{\text{xc}}([n], \mathbf{r})$$

$$V_{\text{xc}}([n], \mathbf{r}) = \frac{\delta E_{\text{xc}}[n]}{\delta n(\mathbf{r})}$$

Unknown exchange-correlation potential.

V_{xc} functional of the density.

TDDFT

Time-dependent Kohn-Sham equations

$$\left[-\frac{1}{2} \nabla^2 + V_{\text{tot}}(\mathbf{r}, t) \right] \phi_i(\mathbf{r}, t) = i \frac{\partial}{\partial t} \phi_i(\mathbf{r}, t)$$

$$V_{\text{tot}}(\mathbf{r}, t) = V_{\text{ext}}(\mathbf{r}, t) + \int v(\mathbf{r}, \mathbf{r}') n(\mathbf{r}', t) d\mathbf{r}' + V_{\text{xc}}([n], \mathbf{r}, t)$$

$$V_{\text{xc}}([n], \mathbf{r}, t) = \frac{\delta A_{\text{xc}}[n]}{\delta n(\mathbf{r}, t)}$$

Unknown exchange-correlation time-dependent potential.

V_{xc} functional of the density **at all times** and of the **initial state**.

The name of the game: TDDFT

DFT

Kohn-Sham equations

$$\left[-\frac{1}{2} \cdot \nabla_i^2 + V_{\text{tot}}(\mathbf{r}) \right] \phi_i(\mathbf{r}) = \epsilon_i \phi_i(\mathbf{r})$$

$$V_{\text{tot}}(\mathbf{r}) = V_{\text{ext}}(\mathbf{r}) + \int d\mathbf{r}' v(\mathbf{r}, \mathbf{r}') n(\mathbf{r}') + V_{\text{xc}}([n], \mathbf{r})$$

$$V_{\text{xc}}([n], \mathbf{r}) = \frac{\delta E_{\text{xc}}[n]}{\delta n(\mathbf{r})}$$

Unknown exchange-correlation potential.

V_{xc} functional of the density.

TDDFT

Time-dependent Kohn-Sham equations

$$\left[-\frac{1}{2} \nabla^2 + V_{\text{tot}}(\mathbf{r}, t) \right] \phi_i(\mathbf{r}, t) = i \frac{\partial}{\partial t} \phi_i(\mathbf{r}, t)$$

$$V_{\text{tot}}(\mathbf{r}, t) = V_{\text{ext}}(\mathbf{r}, t) + \int v(\mathbf{r}, \mathbf{r}') n(\mathbf{r}', t) d\mathbf{r}' + V_{\text{xc}}([n], \mathbf{r}, t)$$

$$V_{\text{xc}}([n], \mathbf{r}, t) = \frac{\delta A_{\text{xc}}[n]}{\delta n(\mathbf{r}, t)}$$

Unknown exchange-correlation time-dependent potential.
 V_{xc} functional of the density **at all times** and of the **initial state**.

Demonstrations, further readings, etc.



R. van Leeuwen

Int.J.Mod.Phys. **B15**, 1969 (2001)

$$V_{xc}([n], \mathbf{r}, t) = \frac{\delta A_{xc}[n]}{\delta n(\mathbf{r}, t)}$$

$$\frac{\delta V_{xc}([n], \mathbf{r}, t)}{\delta n(\mathbf{r}', t')} = \frac{\delta^2 A_{xc}[n]}{\delta n(\mathbf{r}, t) \delta n(\mathbf{r}', t')}$$

Causality-Symmetry dilemma

Demonstrations, further readings, etc.



R. van Leeuwen

Int.J.Mod.Phys. **B15**, 1969 (2001)

$$V_{xc}([n], \mathbf{r}, t) = \frac{\delta A_{xc}[n]}{\delta n(\mathbf{r}, t)}$$

$$\frac{\delta V_{xc}([n], \mathbf{r}, t)}{\delta n(\mathbf{r}', t')} = \frac{\delta^2 A_{xc}[n]}{\delta n(\mathbf{r}, t) \delta n(\mathbf{r}', t')}$$

Causality-Symmetry dilemma

Demonstrations, further readings, etc.



R. van Leeuwen

Int.J.Mod.Phys. **B15**, 1969 (2001)

$$V_{xc}([n], \mathbf{r}, t) = \frac{\delta A_{xc}[n]}{\delta n(\mathbf{r}, t)}$$

$$\frac{\delta V_{xc}([n], \mathbf{r}, t)}{\delta n(\mathbf{r}', t')} = \frac{\delta^2 A_{xc}[n]}{\delta n(\mathbf{r}, t) \delta n(\mathbf{r}', t')}$$

Causality-Symmetry dilemma

Demonstrations, further readings, etc.



R. van Leeuwen

Int.J.Mod.Phys. **B15**, 1969 (2001)

$$V_{xc}([n], \mathbf{r}, t) = \frac{\delta A_{xc}[n]}{\delta n(\mathbf{r}, t)}$$

$$\frac{\delta V_{xc}([n], \mathbf{r}, t)}{\delta n(\mathbf{r}', t')} = \frac{\delta^2 A_{xc}[n]}{\delta n(\mathbf{r}, t) \delta n(\mathbf{r}', t')}$$

Causality-Symmetry dilemma

The name of the game: TDDFT

DFT

Hohenberg-Kohn theorem

The ground-state expectation value of any physical observable of a many-electrons system is a unique functional of the electron density $n(\mathbf{r})$

$$\langle \varphi^0 | \hat{O} | \varphi^0 \rangle = O[n]$$

Kohn-Sham equations

$$\left[-\frac{1}{2} \nabla_i^2 + V_{\text{tot}}(\mathbf{r}) \right] \phi_i(\mathbf{r}) = \epsilon_i \phi_i(\mathbf{r})$$

TDDFT

Runge-Gross theorem

The expectation value of any physical time-dependent observable of a many-electrons system is a unique functional of the **time-dependent** electron density $n(\mathbf{r})$ and of the **initial state** $\varphi^0 = \varphi(t=0)$

$$\langle \varphi(t) | \hat{O}(t) | \varphi(t) \rangle = O[n, \varphi^0](t)$$

Kohn-Sham equations

$$\left[-\frac{1}{2} \nabla^2 + V_{\text{tot}}(\mathbf{r}, t) \right] \phi_i(\mathbf{r}, t) = i \frac{\partial}{\partial t} \phi_i(\mathbf{r}, t)$$

First Approach: Time Evolution of KS equations

$$[H_{KS}(t)] \phi_i(\mathbf{r}, t) = i \frac{\partial}{\partial t} \phi_i(\mathbf{r}, t)$$

$$n(\mathbf{r}, t) = \sum_i^{\text{occ}} |\phi_i(\mathbf{r}, t)|^2$$

$$\phi(t) = \hat{U}(t, t_0) \phi(t_0)$$

$$U(t, t_0) = 1 - i \int_{t_0}^t d\tau H(\tau) \hat{U}(\tau, t_0)$$

 A. Castro *et al.* J.Chem.Phys. **121**, 3425 (2004)

First Approach: Time Evolution of KS equations

$$[H_{KS}(t)] \phi_i(\mathbf{r}, t) = i \frac{\partial}{\partial t} \phi_i(\mathbf{r}, t)$$

$$n(\mathbf{r}, t) = \sum_i^{occ} |\phi_i(\mathbf{r}, t)|^2$$

$$\phi(t) = \hat{U}(t, t_0) \phi(t_0)$$

$$U(t, t_0) = 1 - i \int_{t_0}^t d\tau H(\tau) \hat{U}(\tau, t_0)$$

 A. Castro *et al.* J.Chem.Phys. **121**, 3425 (2004)

First Approach: Time Evolution of KS equations

$$[H_{KS}(t)] \phi_i(\mathbf{r}, t) = i \frac{\partial}{\partial t} \phi_i(\mathbf{r}, t)$$

$$n(\mathbf{r}, t) = \sum_i^{\text{occ}} |\phi_i(\mathbf{r}, t)|^2$$

$$\phi(t) = \hat{U}(t, t_0) \phi(t_0)$$

$$U(t, t_0) = 1 - i \int_{t_0}^t d\tau H(\tau) \hat{U}(\tau, t_0)$$

 A. Castro *et al.* J.Chem.Phys. **121**, 3425 (2004)

Photo-absorption cross section σ

$$\sigma(\omega) = \frac{4\pi\omega}{c} \text{Im}\alpha(\omega)$$

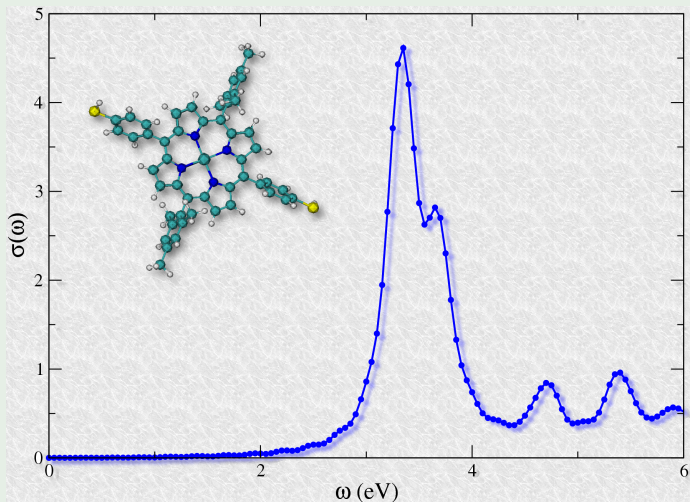
$$\alpha(t) = - \int d\mathbf{r} V_{\text{ext}}(\mathbf{r}, t) n(\mathbf{r}, t)$$

in dipole approximation ($\lambda \gg \gg$ dimension of the system)

$$\sigma_{zz}(\omega) = -\frac{4\pi\omega}{c} \text{Im} \alpha(\omega) = -\frac{4\pi\omega}{c} \text{Im} \int d\mathbf{r} z n(\mathbf{r}, \omega)$$

First Approach: Time Evolution of KS equations

Photo-absorption cross section σ : porphyrin



Other observables

Multipoles

$$M_{lm}(t) = \int d\mathbf{r} r^l Y_{lm}(r) n(\mathbf{r}, t)$$

Angular momentum

$$L_z(t) = - \sum_i \int d\mathbf{r} \phi_i(\mathbf{r}, t) i (\mathbf{r} \times \nabla)_z \phi_i(\mathbf{r}, t)$$

First Approach: Time Evolution of KS equations

Advantages

- Direct application of KS equations
- Advantageous scaling
- Optimal scheme for finite systems
- All orders automatically included

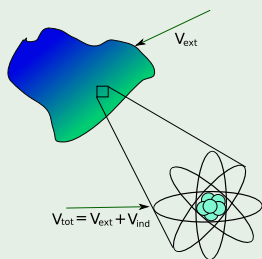
Shortcomings

- Difficulties in approximating the $V_{xc}[n](\mathbf{r}, t)$ functional of the history of the density
- Real space not necessarily suitable for solids
- Does not explicitly take into account a “small” perturbation. Interesting quantities (excitation energies) are contained in the linear response function!

- 1 Introduction: why TD-DFT ?
- 2 (Just) A bit of Formalism - The Boring Part
 - TDDFT: the Foundation
 - Linear Response Formalism
- 3 TDDFT in practice:
 - The ALDA: Achievements and Shortcomings
 - New Frontiers
- 4 Perspectives and Resources

Linear Response Approach

System submitted to an external perturbation

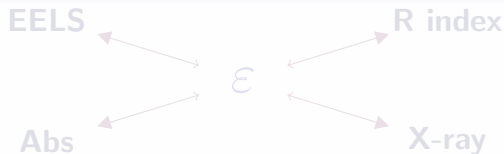


$$V_{tot} = \epsilon^{-1} V_{ext}$$

$$V_{tot} = V_{ext} + V_{ind}$$

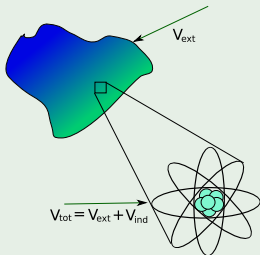
$$\mathbf{E} = \epsilon^{-1} \mathbf{D}$$

Dielectric function ϵ



Linear Response Approach

System submitted to an external perturbation



$$V_{tot} = \epsilon^{-1} V_{ext}$$

$$V_{tot} = V_{ext} + V_{ind}$$

$$\mathbf{E} = \epsilon^{-1} \mathbf{D}$$

Dielectric function ϵ

EELS

R index

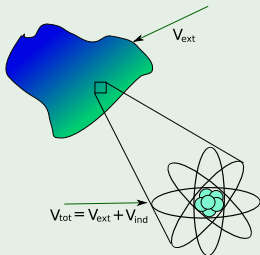
ϵ

Abs

X-ray

Linear Response Approach

System submitted to an external perturbation



$$V_{tot} = \epsilon^{-1} V_{ext}$$

$$V_{tot} = V_{ext} + V_{ind}$$

$$\mathbf{E} = \epsilon^{-1} \mathbf{D}$$

Dielectric function ϵ

EELS

R index

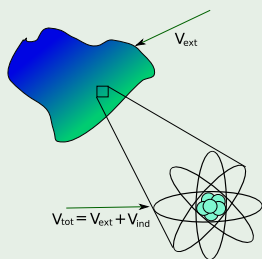
ϵ

Abs

X-ray

Linear Response Approach

System submitted to an external perturbation



$$V_{tot} = \epsilon^{-1} V_{ext}$$

$$V_{tot} = V_{ext} + V_{ind}$$

$$\mathbf{E} = \epsilon^{-1} \mathbf{D}$$

Dielectric function ϵ

EELS

R index

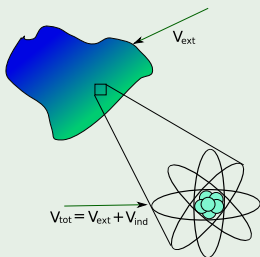
ϵ

Abs

X-ray

Linear Response Approach

System submitted to an external perturbation



$$V_{tot} = \epsilon^{-1} V_{ext}$$

$$V_{tot} = V_{ext} + V_{ind}$$

$$\mathbf{E} = \epsilon^{-1} \mathbf{D}$$

Dielectric function ϵ

EELS

R index

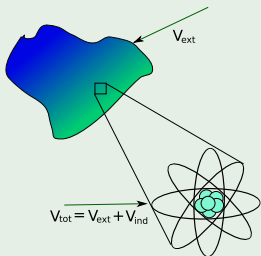
ϵ

Abs

X-ray

Linear Response Approach

System submitted to an external perturbation



$$V_{tot} = \epsilon^{-1} V_{ext}$$

$$V_{tot} = V_{ext} + V_{ind}$$

$$\mathbf{E} = \epsilon^{-1} \mathbf{D}$$

Dielectric function ϵ

EELS

Abs

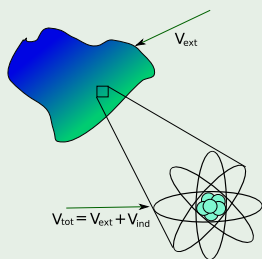
ϵ

R index

X-ray

Linear Response Approach

System submitted to an external perturbation



$$V_{tot} = \epsilon^{-1} V_{ext}$$

$$V_{tot} = V_{ext} + V_{ind}$$

$$\mathbf{E} = \epsilon^{-1} \mathbf{D}$$

Dielectric function ϵ

EELS

R index

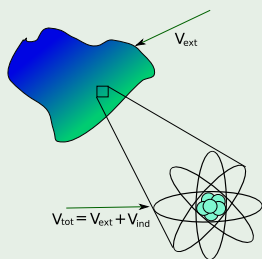
ϵ

Abs

X-ray

Linear Response Approach

System submitted to an external perturbation



$$V_{tot} = \epsilon^{-1} V_{ext}$$

$$V_{tot} = V_{ext} + V_{ind}$$

$$\mathbf{E} = \epsilon^{-1} \mathbf{D}$$

Dielectric function ϵ

EELS

R index

ϵ

Abs

X-ray

Definition of polarizability

$$\text{not polarizable} \Rightarrow V_{tot} = V_{ext} \Rightarrow \epsilon^{-1} = 1$$

$$\text{polarizable} \Rightarrow V_{tot} \neq V_{ext} \Rightarrow \epsilon^{-1} \neq 1$$

$$\epsilon^{-1} = 1 + v\chi$$

χ is the polarizability of the system

Definition of polarizability

$$\text{not polarizable} \Rightarrow V_{tot} = V_{ext} \Rightarrow \varepsilon^{-1} = 1$$

$$\text{polarizable} \Rightarrow V_{tot} \neq V_{ext} \Rightarrow \varepsilon^{-1} \neq 1$$

$$\varepsilon^{-1} = 1 + v\chi$$

χ is the polarizability of the system

Definition of polarizability

$$\begin{array}{llll} \text{not polarizable} & \Rightarrow & V_{tot} = V_{ext} & \Rightarrow & \varepsilon^{-1} = 1 \\ \text{polarizable} & \Rightarrow & V_{tot} \neq V_{ext} & \Rightarrow & \varepsilon^{-1} \neq 1 \\ & & & & \varepsilon^{-1} = 1 + v\chi \end{array}$$

χ is the polarizability of the system

Definition of polarizability

$$\begin{array}{llll} \text{not polarizable} & \Rightarrow & V_{tot} = V_{ext} & \Rightarrow & \varepsilon^{-1} = 1 \\ \text{polarizable} & \Rightarrow & V_{tot} \neq V_{ext} & \Rightarrow & \varepsilon^{-1} \neq 1 \\ & & & & \varepsilon^{-1} = 1 + v\chi \end{array}$$

χ is the polarizability of the system

Polarizability

interacting system $\delta n = \chi \delta V_{ext}$

non-interacting system $\delta n_{n-i} = \chi^0 \delta V_{tot}$

Polarizability

$$\text{interacting system} \quad \delta n = \chi \delta V_{\text{ext}}$$

$$\text{non-interacting system} \quad \delta n_{n-i} = \chi^0 \delta V_{\text{tot}}$$

Single-particle polarizability

$$\chi^0 = \sum_{ij} \frac{\phi_i(\mathbf{r})\phi_j^*(\mathbf{r})\phi_i^*(\mathbf{r}')\phi_j(\mathbf{r}')}{\omega - (\epsilon_i - \epsilon_j)}$$

hartree, hartree-fock, dft, etc.

 G.D. Mahan *Many Particle Physics* (Plenum, New York, 1990)

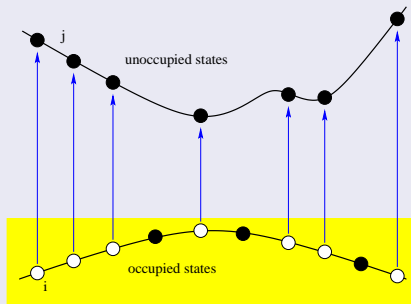
Linear Response Approach

Polarizability

interacting system $\delta n = \chi \delta V_{ext}$

non-interacting system $\delta n_{n-i} = \chi^0 \delta V_{tot}$

$$\chi^0 = \sum_{ij} \frac{\phi_i(\mathbf{r})\phi_j^*(\mathbf{r})\phi_i^*(\mathbf{r}')\phi_j(\mathbf{r}')}{\omega - (\epsilon_i - \epsilon_j)}$$



Polarizability

interacting system $\delta n = \chi \delta V_{\text{ext}}$

non-interacting system $\delta n_{n-i} = \chi^0 \delta V_{\text{tot}}$



Density Functional Formalism

$$\delta n = \delta n_{n-i}$$

$$\delta V_{\text{tot}} = \delta V_{\text{ext}} + \delta V_H + \delta V_{\text{xc}}$$

Polarizability

$$\chi \delta V_{\text{ext}} = \chi^0 (\delta V_{\text{ext}} + \delta V_H + \delta V_{\text{xc}})$$

$$\chi = \chi^0 \left(1 + \frac{\delta V_H}{\delta V_{\text{ext}}} + \frac{\delta V_{\text{xc}}}{\delta V_{\text{ext}}} \right)$$

$$\frac{\delta V_H}{\delta V_{\text{ext}}} = \frac{\delta V_H}{\delta n} \frac{\delta n}{\delta V_{\text{ext}}} = v\chi$$

$$\frac{\delta V_{\text{xc}}}{\delta V_{\text{ext}}} = \frac{\delta V_{\text{xc}}}{\delta n} \frac{\delta n}{\delta V_{\text{ext}}} = f_{\text{xc}}\chi$$

with $f_{\text{xc}} = \text{exchange-correlation kernel}$

Polarizability

$$\chi \delta V_{\text{ext}} = \chi^0 (\delta V_{\text{ext}} + \delta V_H + \delta V_{\text{xc}})$$

$$\chi = \chi^0 \left(1 + \frac{\delta V_H}{\delta V_{\text{ext}}} + \frac{\delta V_{\text{xc}}}{\delta V_{\text{ext}}} \right)$$

$$\frac{\delta V_H}{\delta V_{\text{ext}}} = \frac{\delta V_H}{\delta n} \frac{\delta n}{\delta V_{\text{ext}}} = v\chi$$

$$\frac{\delta V_{\text{xc}}}{\delta V_{\text{ext}}} = \frac{\delta V_{\text{xc}}}{\delta n} \frac{\delta n}{\delta V_{\text{ext}}} = f_{\text{xc}}\chi$$

with $f_{\text{xc}} = \text{exchange-correlation kernel}$

Polarizability

$$\chi \delta V_{\text{ext}} = \chi^0 (\delta V_{\text{ext}} + \delta V_H + \delta V_{\text{xc}})$$

$$\chi = \chi^0 \left(1 + \frac{\delta V_H}{\delta V_{\text{ext}}} + \frac{\delta V_{\text{xc}}}{\delta V_{\text{ext}}} \right)$$

$$\frac{\delta V_H}{\delta V_{\text{ext}}} = \frac{\delta V_H}{\delta n} \frac{\delta n}{\delta V_{\text{ext}}} = v \chi$$

$$\frac{\delta V_{\text{xc}}}{\delta V_{\text{ext}}} = \frac{\delta V_{\text{xc}}}{\delta n} \frac{\delta n}{\delta V_{\text{ext}}} = f_{\text{xc}} \chi$$

$$\chi = \chi^0 + \chi^0 (v + f_{\text{xc}}) \chi$$

with f_{xc} = exchange-correlation kernel

Polarizability

$$\chi \delta V_{\text{ext}} = \chi^0 (\delta V_{\text{ext}} + \delta V_H + \delta V_{\text{xc}})$$

$$\chi = \chi^0 \left(1 + \frac{\delta V_H}{\delta V_{\text{ext}}} + \frac{\delta V_{\text{xc}}}{\delta V_{\text{ext}}} \right)$$

$$\frac{\delta V_H}{\delta V_{\text{ext}}} = \frac{\delta V_H}{\delta n} \frac{\delta n}{\delta V_{\text{ext}}} = v \chi$$

$$\frac{\delta V_{\text{xc}}}{\delta V_{\text{ext}}} = \frac{\delta V_{\text{xc}}}{\delta n} \frac{\delta n}{\delta V_{\text{ext}}} = f_{\text{xc}} \chi$$

$$\chi = \left[1 - \chi^0 (v + f_{\text{xc}}) \right]^{-1} \chi^0$$

with f_{xc} = exchange-correlation kernel

Polarizability

$$\chi \delta V_{\text{ext}} = \chi^0 (\delta V_{\text{ext}} + \delta V_H + \delta V_{\text{xc}})$$

$$\chi = \chi^0 \left(1 + \frac{\delta V_H}{\delta V_{\text{ext}}} + \frac{\delta V_{\text{xc}}}{\delta V_{\text{ext}}} \right)$$

$$\frac{\delta V_H}{\delta V_{\text{ext}}} = \frac{\delta V_H}{\delta n} \frac{\delta n}{\delta V_{\text{ext}}} = v \chi$$

$$\frac{\delta V_{\text{xc}}}{\delta V_{\text{ext}}} = \frac{\delta V_{\text{xc}}}{\delta n} \frac{\delta n}{\delta V_{\text{ext}}} = f_{\text{xc}} \chi$$

$$\chi = \left[1 - \chi^0 (v + f_{\text{xc}}) \right]^{-1} \chi^0$$

with $f_{\text{xc}} =$ **exchange-correlation kernel**

Polarizability χ in TDDFT

① DFT ground-state calc. $\rightarrow \phi_i, \epsilon_i$ [V_{xc}]

② $\phi_i, \epsilon_i \rightarrow \chi^0 = \sum_{ij} \frac{\phi_i(\mathbf{r})\phi_j^*(\mathbf{r})\phi_i^*(\mathbf{r}')\phi_j(\mathbf{r}')}{\omega - (\epsilon_i - \epsilon_j)}$

③ $\left. \begin{array}{l} \frac{\delta V_H}{\delta n} = v \\ \frac{\delta V_{xc}}{\delta n} = f_{xc} \end{array} \right\} \text{variation of the potentials}$

④ $\chi = \chi^0 + \chi^0 (v + f_{xc}) \chi$

A comment

• $f_{xc} = \left\{ \begin{array}{l} \frac{\delta V_{xc}}{\delta n} \\ \text{"any" other function} \end{array} \right.$

Polarizability χ in TDDFT

1 DFT ground-state calc. $\rightarrow \phi_i, \epsilon_i$ [V_{xc}]

2 $\phi_i, \epsilon_i \rightarrow \chi^0 = \sum_{ij} \frac{\phi_i(\mathbf{r})\phi_j^*(\mathbf{r})\phi_i^*(\mathbf{r}')\phi_j(\mathbf{r}')}{\omega - (\epsilon_i - \epsilon_j)}$

3 $\left. \begin{aligned} \frac{\delta V_H}{\delta n} &= v \\ \frac{\delta V_{xc}}{\delta n} &= f_{xc} \end{aligned} \right\} \text{variation of the potentials}$

4 $\chi = \chi^0 + \chi^0 (v + f_{xc}) \chi$

A comment

- $f_{xc} = \begin{cases} \frac{\delta V_{xc}}{\delta n} \\ \text{"any" other function} \end{cases}$

Polarizability χ in TDDFT

① DFT ground-state calc. $\rightarrow \phi_i, \epsilon_i$ [V_{xc}]

② $\phi_i, \epsilon_i \rightarrow \chi^0 = \sum_{ij} \frac{\phi_i(\mathbf{r})\phi_j^*(\mathbf{r})\phi_i^*(\mathbf{r}')\phi_j(\mathbf{r}')}{\omega - (\epsilon_i - \epsilon_j)}$

③ $\left. \begin{aligned} \frac{\delta V_H}{\delta n} &= v \\ \frac{\delta V_{xc}}{\delta n} &= f_{xc} \end{aligned} \right\} \text{variation of the potentials}$

④ $\chi = \chi^0 + \chi^0 (v + f_{xc}) \chi$

A comment

• $f_{xc} = \left\{ \begin{array}{l} \frac{\delta V_{xc}}{\delta n} \\ \text{"any" other function} \end{array} \right.$

Polarizability χ in TDDFT

1 DFT ground-state calc. $\rightarrow \phi_i, \epsilon_i$ [V_{xc}]

2 $\phi_i, \epsilon_i \rightarrow \chi^0 = \sum_{ij} \frac{\phi_i(\mathbf{r})\phi_j^*(\mathbf{r})\phi_i^*(\mathbf{r}')\phi_j(\mathbf{r}')}{\omega - (\epsilon_i - \epsilon_j)}$

3 $\left. \begin{aligned} \frac{\delta V_H}{\delta n} &= v \\ \frac{\delta V_{xc}}{\delta n} &= f_{xc} \end{aligned} \right\} \text{variation of the potentials}$

4 $\chi = \chi^0 + \chi^0 (v + f_{xc}) \chi$

A comment

• $f_{xc} = \left\{ \begin{aligned} &\frac{\delta V_{xc}}{\delta n} \\ &\text{"any" other function} \end{aligned} \right.$

Polarizability χ in TDDFT

① DFT ground-state calc. $\rightarrow \phi_i, \epsilon_i$ [V_{xc}]

② $\phi_i, \epsilon_i \rightarrow \chi^0 = \sum_{ij} \frac{\phi_i(\mathbf{r})\phi_j^*(\mathbf{r})\phi_i^*(\mathbf{r}')\phi_j(\mathbf{r}')}{\omega - (\epsilon_i - \epsilon_j)}$

③ $\left. \begin{aligned} \frac{\delta V_H}{\delta n} &= v \\ \frac{\delta V_{xc}}{\delta n} &= f_{xc} \end{aligned} \right\} \text{variation of the potentials}$

④ $\chi = \chi^0 + \chi^0 (v + f_{xc}) \chi$

A comment

• $f_{xc} = \left\{ \begin{aligned} &\frac{\delta V_{xc}}{\delta n} \\ &\text{"any" other function} \end{aligned} \right.$

Polarizability χ in TDDFT

① DFT ground-state calc. $\rightarrow \phi_i, \epsilon_i$ [V_{xc}]

② $\phi_i, \epsilon_i \rightarrow \chi^0 = \sum_{ij} \frac{\phi_i(\mathbf{r})\phi_j^*(\mathbf{r})\phi_i^*(\mathbf{r}')\phi_j(\mathbf{r}')}{\omega - (\epsilon_i - \epsilon_j)}$

③ $\left. \begin{aligned} \frac{\delta V_H}{\delta n} &= v \\ \frac{\delta V_{xc}}{\delta n} &= f_{xc} \end{aligned} \right\} \text{variation of the potentials}$

④ $\chi = \chi^0 + \chi^0 (v + f_{xc}) \chi$

A comment

• $f_{xc} = \left\{ \begin{array}{l} \frac{\delta V_{xc}}{\delta n} \\ \text{"any" other function} \end{array} \right.$

Photo-absorption cross spectrum

$$\sigma(\omega) = \frac{4\pi\omega}{c} \text{Im}\alpha(\omega)$$

$$\alpha(\omega) = - \int d\mathbf{r}d\mathbf{r}' V_{\text{ext}}(\mathbf{r}, \omega) \chi(\mathbf{r}, \mathbf{r}', \omega) V_{\text{ext}}(\mathbf{r}', \omega)$$

$$\sigma_{zz}(\omega) = -\frac{4\pi\omega}{c} \text{Im} \int d\mathbf{r}d\mathbf{r}' z \chi(\mathbf{r}, \mathbf{r}', \omega) z'$$

$$\sigma_{zz}(\omega) = -\frac{4\pi\omega}{c} \text{Im} \int drz n(r, \omega)$$

Photo-absorption cross spectrum

$$\sigma(\omega) = \frac{4\pi\omega}{c} \text{Im}\alpha(\omega)$$

$$\alpha(\omega) = - \int d\mathbf{r}d\mathbf{r}' V_{\text{ext}}(\mathbf{r}, \omega) \chi(\mathbf{r}, \mathbf{r}', \omega) V_{\text{ext}}(\mathbf{r}', \omega)$$

$$\sigma_{zz}(\omega) = -\frac{4\pi\omega}{c} \text{Im} \int d\mathbf{r}d\mathbf{r}' z \chi(\mathbf{r}, \mathbf{r}', \omega) z'$$

$$\sigma_{zz}(\omega) = -\frac{4\pi\omega}{c} \text{Im} \int d\mathbf{r} z n(\mathbf{r}, \omega)$$

A better representation: Fourier space

$$\mathbf{E}(\mathbf{r}, t) = \sum_{\mathbf{G}} \int \frac{d\mathbf{q}d\omega}{(2\pi)^4} \mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}-i\omega t}$$

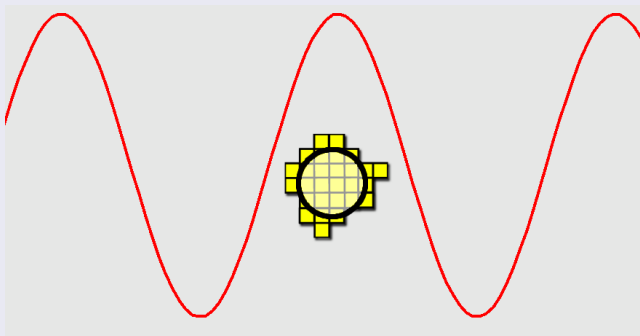
$$\epsilon(\mathbf{r}, \mathbf{r}', t, t') = \sum_{\mathbf{G}\mathbf{G}'} \int \frac{d\mathbf{q}d\omega}{(2\pi)^4} \epsilon_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}-i(\mathbf{q}+\mathbf{G}')\cdot\mathbf{r}'-i\omega(t-t')}$$

A better representation: Fourier space

$$\mathbf{E}(\mathbf{r}, t) = \sum_{\mathbf{G}} \int \frac{d\mathbf{q}d\omega}{(2\pi)^4} \mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}-i\omega t}$$

$$\varepsilon(\mathbf{r}, \mathbf{r}', t, t') = \sum_{\mathbf{G}\mathbf{G}'} \int \frac{d\mathbf{q}d\omega}{(2\pi)^4} \varepsilon_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) e^{i(\mathbf{q}+\mathbf{G})\cdot\mathbf{r}-i(\mathbf{q}+\mathbf{G}')\cdot\mathbf{r}'-i\omega(t-t')}$$

Macroscopic average



average over distance d :

- $d \gg \Omega_R$
- $d \ll \lambda$

Macroscopic average

$$\begin{aligned}\langle f(\mathbf{r}, \omega) \rangle_{\mathbf{R}} &= \frac{1}{\Omega_R} \int d\mathbf{r} f(\mathbf{r}, \omega) \\ &= \frac{1}{\Omega_R} \int d\mathbf{r} \left[\int d\mathbf{q} e^{i\mathbf{q}\cdot\mathbf{r}} \sum_{\mathbf{G}} f(\mathbf{q} + \mathbf{G}, \omega) e^{i\mathbf{G}\cdot\mathbf{r}} \right] \\ &= \int d\mathbf{q} e^{i\mathbf{q}\cdot\mathbf{r}} f(\mathbf{q} + \mathbf{G}, \omega) \frac{1}{\Omega_R} \sum_{\mathbf{G}} \int d\mathbf{r} e^{i\mathbf{G}\cdot\mathbf{r}} \\ &= \int d\mathbf{q} e^{i\mathbf{q}\cdot\mathbf{r}} f(\mathbf{q} + \mathbf{0}, \omega)\end{aligned}$$

macroscopic electric field $\mathbf{E}(\mathbf{q} + \mathbf{0}, \omega) = \mathbf{E}(\mathbf{q}, \omega)$

macroscopic inverse dielectric function $\epsilon_{00}^{-1}(\mathbf{q}, \omega)$

Macroscopic average

$$\begin{aligned}\langle f(\mathbf{r}, \omega) \rangle_{\mathbf{R}} &= \frac{1}{\Omega_R} \int d\mathbf{r} f(\mathbf{r}, \omega) \\ &= \frac{1}{\Omega_R} \int d\mathbf{r} \left[\int d\mathbf{q} e^{i\mathbf{q}\cdot\mathbf{r}} \sum_{\mathbf{G}} f(\mathbf{q} + \mathbf{G}, \omega) e^{i\mathbf{G}\cdot\mathbf{r}} \right] \\ &= \int d\mathbf{q} e^{i\mathbf{q}\cdot\mathbf{r}} f(\mathbf{q} + \mathbf{G}, \omega) \frac{1}{\Omega_R} \sum_{\mathbf{G}} \int d\mathbf{r} e^{i\mathbf{G}\cdot\mathbf{r}} \\ &= \int d\mathbf{q} e^{i\mathbf{q}\cdot\mathbf{r}} f(\mathbf{q} + \mathbf{0}, \omega)\end{aligned}$$

macroscopic electric field $\mathbf{E}(\mathbf{q} + \mathbf{0}, \omega) = \mathbf{E}(\mathbf{q}, \omega)$

macroscopic inverse dielectric function $\epsilon_{00}^{-1}(\mathbf{q}, \omega)$

Macroscopic average

$$\begin{aligned}\langle f(\mathbf{r}, \omega) \rangle_{\mathbf{R}} &= \frac{1}{\Omega_R} \int d\mathbf{r} f(\mathbf{r}, \omega) \\ &= \frac{1}{\Omega_R} \int d\mathbf{r} \left[\int d\mathbf{q} e^{i\mathbf{q}\cdot\mathbf{r}} \sum_{\mathbf{G}} f(\mathbf{q} + \mathbf{G}, \omega) e^{i\mathbf{G}\cdot\mathbf{r}} \right] \\ &= \int d\mathbf{q} e^{i\mathbf{q}\cdot\mathbf{r}} f(\mathbf{q} + \mathbf{G}, \omega) \frac{1}{\Omega_R} \sum_{\mathbf{G}} \int d\mathbf{r} e^{i\mathbf{G}\cdot\mathbf{r}} \\ &= \int d\mathbf{q} e^{i\mathbf{q}\cdot\mathbf{r}} f(\mathbf{q} + \mathbf{0}, \omega)\end{aligned}$$

macroscopic electric field $\mathbf{E}(\mathbf{q} + \mathbf{0}, \omega) = \mathbf{E}(\mathbf{q}, \omega)$

macroscopic inverse dielectric function $\epsilon_{00}^{-1}(\mathbf{q}, \omega)$

General solution of Maxwell's equation

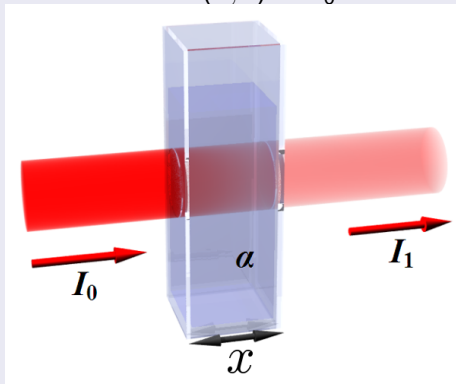
in vacuum $\mathbf{E}(x, t) = \mathbf{E}_0 e^{i\omega(x/c - t)}$

in a medium $\mathbf{E}(x, t) = \mathbf{E}_0 e^{i\omega(Nx/c - t)}$

General solution of Maxwell's equation

in vacuum $\mathbf{E}(x, t) = \mathbf{E}_0 e^{i\omega(x/c - t)}$

in a medium $\mathbf{E}(x, t) = \mathbf{E}_0 e^{i\omega(Nx/c - t)}$



General solution of Maxwell's equation

$$\text{in vacuum} \quad \mathbf{E}(x, t) = \mathbf{E}_0 e^{i\omega(x/c - t)}$$

$$\text{in a medium} \quad \mathbf{E}(x, t) = \mathbf{E}_0 e^{i\omega(Nx/c - t)}$$

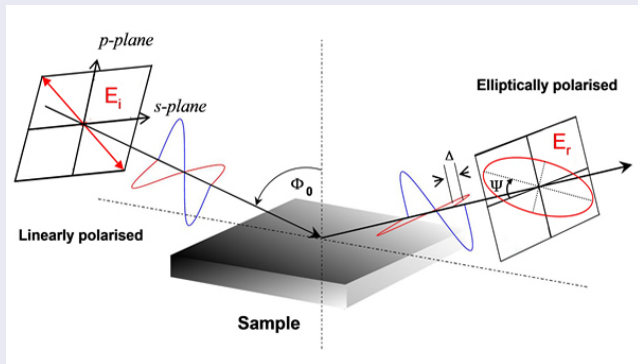
complex (macroscopic) refractive index N

$$N = \sqrt{\epsilon_M} = \nu + i\kappa \quad ; \quad \mathbf{D} = \epsilon_M \mathbf{E}$$

absorption coefficient α (inverse distance $\left| \frac{|\mathbf{E}(x)|^2}{|\mathbf{E}_0|^2} = \frac{1}{e} \right.$)

$$\alpha = \frac{\omega \text{Im} \epsilon_M}{\nu c}$$

Ellipsometry Experiment



$$\varepsilon_M = \sin^2\Phi + \sin^2\Phi \tan^2\Phi \left(\frac{1 - \frac{E_r}{E_i}}{1 + \frac{E_r}{E_i}} \right)$$

Let's calculate ϵ_M

$$\mathbf{D} = \epsilon_M \mathbf{E}$$

WRONG!

Let's calculate ϵ_M

$$\mathbf{D} = \epsilon_M \mathbf{E}$$

$$\mathbf{D}(\mathbf{q} + \mathbf{G}, \omega) = \epsilon_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q} + \mathbf{G}', \omega)$$

WRONG!

Let's calculate ϵ_M

$$\mathbf{D} = \epsilon_M \mathbf{E}$$

$$\mathbf{D}(\mathbf{q}, \omega) = \epsilon_{00}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q}, \omega)$$

WRONG!

Let's calculate ϵ_M

$$\mathbf{D} = \epsilon_M \mathbf{E}$$

$$\mathbf{D}(\mathbf{q}, \omega) = \epsilon_{00}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q}, \omega)$$

WRONG!

Let's calculate ϵ_M

$$\mathbf{D} = \epsilon_M \mathbf{E}$$

$$\mathbf{D}(\mathbf{q}, \omega) = \epsilon_{00}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q}, \omega)$$

WRONG!

Let's calculate ε_M

$$\mathbf{D}(\mathbf{q} + \mathbf{G}, \omega) = \varepsilon_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q} + \mathbf{G}', \omega)$$

$$\begin{aligned} \mathbf{D}(\mathbf{q}, \omega) &= \varepsilon_{\mathbf{0}\mathbf{G}'}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q} + \mathbf{G}', \omega) \\ &\neq \varepsilon_{\mathbf{0}\mathbf{0}}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q}, \omega) \end{aligned}$$

The average of the product is not the product of the averages

Let's calculate ε_M

$$\mathbf{D}(\mathbf{q} + \mathbf{G}, \omega) = \varepsilon_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q} + \mathbf{G}', \omega)$$

$$\mathbf{D}(\mathbf{q}, \omega) = \varepsilon_{\mathbf{0}\mathbf{G}'}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q} + \mathbf{G}', \omega)$$

$$\neq \varepsilon_{\mathbf{0}\mathbf{0}}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q}, \omega)$$

The average of the product is not the product of the averages

Let's calculate ε_M

$$\mathbf{D}(\mathbf{q} + \mathbf{G}, \omega) = \varepsilon_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q} + \mathbf{G}', \omega)$$

$$\begin{aligned} \mathbf{D}(\mathbf{q}, \omega) &= \varepsilon_{\mathbf{0}\mathbf{G}'}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q} + \mathbf{G}', \omega) \\ &\neq \varepsilon_{\mathbf{0}\mathbf{0}}(\mathbf{q}, \omega) \mathbf{E}(\mathbf{q}, \omega) \end{aligned}$$

The average of the product is not the product of the averages

Dielectric Function in Crystals

Let's calculate ϵ_M

$$\mathbf{D} = \epsilon_M \mathbf{E}$$

$$\mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) = \epsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q} + \mathbf{G}', \omega)$$

$$\mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) = \epsilon_{\mathbf{G}\mathbf{0}}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q}, \omega)$$

$$\mathbf{E}(\mathbf{q}, \omega) = \epsilon_{\mathbf{0}\mathbf{0}}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q}, \omega)$$

$$\epsilon_M = \frac{1}{\epsilon_{\mathbf{0}\mathbf{0}}^{-1}}$$

Dielectric Function in Crystals

Let's calculate ε_M

$$\mathbf{D} = \varepsilon_M \mathbf{E}$$

$$\mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) = \varepsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q} + \mathbf{G}', \omega)$$

$$\mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) = \varepsilon_{\mathbf{G}\mathbf{0}}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q}, \omega)$$

$$\mathbf{E}(\mathbf{q}, \omega) = \varepsilon_{\mathbf{0}\mathbf{0}}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q}, \omega)$$

$$\varepsilon_M = \frac{1}{\varepsilon_{\mathbf{0}\mathbf{0}}^{-1}}$$

Dielectric Function in Crystals

Let's calculate ε_M

$$\mathbf{D} = \varepsilon_M \mathbf{E}$$

$$\mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) = \varepsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q} + \mathbf{G}', \omega)$$

$$\mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) = \varepsilon_{\mathbf{G}\mathbf{0}}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q}, \omega)$$

$$\mathbf{E}(\mathbf{q}, \omega) = \varepsilon_{\mathbf{0}\mathbf{0}}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q}, \omega)$$

$$\varepsilon_M = \frac{1}{\varepsilon_{\mathbf{0}\mathbf{0}}^{-1}}$$

Dielectric Function in Crystals

Let's calculate ϵ_M

$$\mathbf{D} = \epsilon_M \mathbf{E}$$

$$\mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) = \epsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q} + \mathbf{G}', \omega)$$

$$\mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) = \epsilon_{\mathbf{G}\mathbf{0}}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q}, \omega)$$

$$\mathbf{E}(\mathbf{q}, \omega) = \epsilon_{\mathbf{0}\mathbf{0}}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q}, \omega)$$

$$\epsilon_M = \frac{1}{\epsilon_{\mathbf{0}\mathbf{0}}^{-1}}$$

Dielectric Function in Crystals

Let's calculate ε_M

$$\mathbf{D} = \varepsilon_M \mathbf{E}$$

$$\mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) = \varepsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q} + \mathbf{G}', \omega)$$

$$\mathbf{E}(\mathbf{q} + \mathbf{G}, \omega) = \varepsilon_{\mathbf{G}\mathbf{0}}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q}, \omega)$$

$$\mathbf{E}(\mathbf{q}, \omega) = \varepsilon_{\mathbf{0}\mathbf{0}}^{-1}(\mathbf{q}, \omega) \mathbf{D}(\mathbf{q}, \omega)$$

$$\varepsilon_M = \frac{1}{\varepsilon_{\mathbf{0}\mathbf{0}}^{-1}}$$

The Energy Loss Spectra

Imaginary part of the macroscopic inverse dielectric function

$$\text{ELS} = \text{Im}\epsilon_{00}^{-1}$$

$$\frac{2\pi}{q} = \lambda \gg \Omega_R$$

The Energy Loss Spectra

Imaginary part of the macroscopic inverse dielectric function

$$\text{ELS} = \text{Im}\epsilon_{00}^{-1}$$

$$\frac{2\pi}{\mathbf{q}} = \lambda \gg \gg \Omega_R$$

Absorption Spectra

$$\text{abs} = \text{Im}\epsilon_M = \text{Im}\frac{1}{\epsilon_{00}^{-1}}$$

Energy Loss Spectra

$$\text{ELS} = \text{Im}\epsilon_{00}^{-1} = \text{Im}\frac{1}{\epsilon_M}$$

Question

ϵ_{00} is **not** the macroscopic dielectric function

What is it then ?

ϵ_{00} **is** the macroscopic dielectric function ...
without local fields.

Question

ϵ_{00} is **not** the macroscopic dielectric function
What is it then ?

ϵ_{00} **is** the macroscopic dielectric function ...
without local fields.

Question

ϵ_{00} is **not** the macroscopic dielectric function
What is it then ?

ϵ_{00} **is** the macroscopic dielectric function ...
without local fields.

Reciprocal space

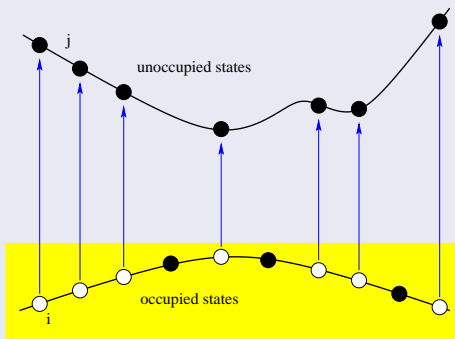
$$\chi^0(\mathbf{r}, \mathbf{r}', \omega) \longrightarrow \chi_{\mathbf{G}\mathbf{G}'}^0(\mathbf{q}, \omega)$$

\mathbf{G} =reciprocal lattice vector

\mathbf{q} =momentum transfer of the perturbation

Reciprocal space

$$\chi_{\mathbf{G}\mathbf{G}'}^0(\mathbf{q}, \omega) = \sum_{\mathbf{v}\mathbf{k}} \frac{\langle \phi_{\mathbf{v}\mathbf{k}} | e^{i(\mathbf{q}+\mathbf{G})\mathbf{r}} | \phi_{\mathbf{c}\mathbf{k}+\mathbf{q}}^* \rangle \langle \phi_{\mathbf{c}\mathbf{k}+\mathbf{q}} | e^{-i(\mathbf{q}+\mathbf{G}')\mathbf{r}'} | \phi_{\mathbf{v}\mathbf{k}}^* \rangle}{\omega - (\epsilon_{\mathbf{c}\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{v}\mathbf{k}}) + i\eta}$$



Reciprocal space

$$\chi_{\mathbf{G}\mathbf{G}'}^0(\mathbf{q}, \omega) = \sum_{\mathbf{v}\mathbf{c}\mathbf{k}} \frac{\langle \phi_{\mathbf{v}\mathbf{k}} | e^{i(\mathbf{q}+\mathbf{G})\mathbf{r}} | \phi_{\mathbf{c}\mathbf{k}+\mathbf{q}}^* \rangle \langle \phi_{\mathbf{c}\mathbf{k}+\mathbf{q}} | e^{-i(\mathbf{q}+\mathbf{G}')\mathbf{r}'} | \phi_{\mathbf{v}\mathbf{k}}^* \rangle}{\omega - (\epsilon_{\mathbf{c}\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{v}\mathbf{k}}) + i\eta}$$

$$\chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) = \chi^0 + \chi^0 (\mathbf{v} + \mathbf{f}_{\mathbf{x}\mathbf{c}}) \chi$$

$$\varepsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q}, \omega) = \delta_{\mathbf{G}\mathbf{G}'} + \mathbf{v}_{\mathbf{G}}(\mathbf{q}) \chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega)$$

Reciprocal space

$$\chi_{\mathbf{G}\mathbf{G}'}^0(\mathbf{q}, \omega) = \sum_{\mathbf{v}\mathbf{c}\mathbf{k}} \frac{\langle \phi_{\mathbf{v}\mathbf{k}} | e^{i(\mathbf{q}+\mathbf{G})\mathbf{r}} | \phi_{\mathbf{c}\mathbf{k}+\mathbf{q}}^* \rangle \langle \phi_{\mathbf{c}\mathbf{k}+\mathbf{q}} | e^{-i(\mathbf{q}+\mathbf{G}')\mathbf{r}'} | \phi_{\mathbf{v}\mathbf{k}}^* \rangle}{\omega - (\epsilon_{\mathbf{c}\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{v}\mathbf{k}}) + i\eta}$$

$$\chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) = \chi^0 + \chi^0 (\mathbf{v} + \mathbf{f}_{\text{xc}}) \chi$$

$$\varepsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q}, \omega) = \delta_{\mathbf{G}\mathbf{G}'} + \mathbf{v}_{\mathbf{G}}(\mathbf{q}) \chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega)$$

$$\text{ELS}(\mathbf{q}, \omega) = -\text{Im} \left\{ \varepsilon_{00}^{-1}(\mathbf{q}, \omega) \right\} ; \text{Abs}(\omega) = \lim_{\mathbf{q} \rightarrow 0} \text{Im} \left\{ \frac{1}{\varepsilon_{00}^{-1}(\mathbf{q}, \omega)} \right\}$$



S.L.Adler, Phys.Rev **126**, 413 (1962); N.Wiser Phys.Rev **129**, 62 (1963)

Reciprocal space

$$\chi_{\mathbf{G}\mathbf{G}'}^0(\mathbf{q}, \omega) = \sum_{\mathbf{v}\mathbf{c}\mathbf{k}} \frac{\langle \phi_{\mathbf{v}\mathbf{k}} | e^{i(\mathbf{q}+\mathbf{G})\mathbf{r}} | \phi_{\mathbf{c}\mathbf{k}+\mathbf{q}}^* \rangle \langle \phi_{\mathbf{c}\mathbf{k}+\mathbf{q}} | e^{-i(\mathbf{q}+\mathbf{G}')\mathbf{r}'} | \phi_{\mathbf{v}\mathbf{k}}^* \rangle}{\omega - (\epsilon_{\mathbf{c}\mathbf{k}+\mathbf{q}} - \epsilon_{\mathbf{v}\mathbf{k}}) + i\eta}$$

$$\chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) = \chi^0 + \chi^0 (\mathbf{v} + \mathbf{f}_{\text{xc}}) \chi$$

$$\epsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q}, \omega) = \delta_{\mathbf{G}\mathbf{G}'} + \mathbf{v}_{\mathbf{G}}(\mathbf{q}) \chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega)$$

$$\text{ELS}(\omega) = -\lim_{\mathbf{q} \rightarrow 0} \text{Im} \{ \epsilon_{00}^{-1}(\mathbf{q}, \omega) \} ; \text{Abs}(\omega) = \lim_{\mathbf{q} \rightarrow 0} \text{Im} \left\{ \frac{1}{\epsilon_{00}^{-1}(\mathbf{q}, \omega)} \right\}$$



S.L.Adler, Phys.Rev **126**, 413 (1962); N.Wiser Phys.Rev **129**, 62 (1963)

Absorption and Energy Loss Spectra $\mathbf{q} \rightarrow 0$

$$\text{ELS}(\omega) = -\text{Im} \{ \varepsilon_{00}^{-1}(\omega) \} \quad ; \quad \text{Abs}(\omega) = \text{Im} \left\{ \frac{1}{\varepsilon_{00}^{-1}(\omega)} \right\}$$

Absorption and Energy Loss Spectra $\mathbf{q} \rightarrow 0$

$$\text{ELS}(\omega) = -\text{Im} \{ \varepsilon_{00}^{-1}(\omega) \} \quad ; \quad \text{Abs}(\omega) = \text{Im} \left\{ \frac{1}{\varepsilon_{00}^{-1}(\omega)} \right\}$$

$$\varepsilon_{00}^{-1}(\omega) = 1 + v_0 \chi_{00}(\omega)$$

Absorption and Energy Loss Spectra $\mathbf{q} \rightarrow 0$

$$\text{ELS}(\omega) = -\text{Im} \{ \varepsilon_{00}^{-1}(\omega) \} \quad ; \quad \text{Abs}(\omega) = \text{Im} \left\{ \frac{1}{\varepsilon_{00}^{-1}(\omega)} \right\}$$

$$\text{ELS}(\omega) = -v_0 \text{Im} \{ \chi_{00}(\omega) \} \quad ; \quad \text{Abs}(\omega) = -v_0 \text{Im} \left\{ \frac{1}{1 + v_0 \chi_{00}(\omega)} \right\}$$

Absorption and Energy Loss Spectra $\mathbf{q} \rightarrow 0$

$$\text{ELS}(\omega) = -\text{Im} \{ \varepsilon_{00}^{-1}(\omega) \} \quad ; \quad \text{Abs}(\omega) = \text{Im} \left\{ \frac{1}{\varepsilon_{00}^{-1}(\omega)} \right\}$$

$$\text{ELS}(\omega) = -v_0 \text{Im} \{ \chi_{00}(\omega) \} \quad ; \quad \text{Abs}(\omega) = -v_0 \text{Im} \left\{ \frac{1}{1 + v_0 \chi_{00}(\omega)} \right\}$$

$$\text{ELS}(\omega) = -v_0 \text{Im} \{ \chi_{00}(\omega) \} \quad ; \quad \text{Abs}(\omega) = -v_0 \text{Im} \{ \bar{\chi}_{00}(\omega) \}$$

Absorption and Energy Loss Spectra $\mathbf{q} \rightarrow 0$

$$\text{ELS}(\omega) = -\text{Im} \{ \varepsilon_{00}^{-1}(\omega) \} \quad ; \quad \text{Abs}(\omega) = \text{Im} \left\{ \frac{1}{\varepsilon_{00}^{-1}(\omega)} \right\}$$

$$\text{ELS}(\omega) = -v_0 \text{Im} \{ \chi_{00}(\omega) \} \quad ; \quad \text{Abs}(\omega) = -v_0 \text{Im} \left\{ \frac{1}{1 + v_0 \chi_{00}(\omega)} \right\}$$

$$\text{ELS}(\omega) = -v_0 \text{Im} \{ \chi_{00}(\omega) \} \quad ; \quad \text{Abs}(\omega) = -v_0 \text{Im} \{ \bar{\chi}_{00}(\omega) \}$$

$$\chi = \chi^0 + \chi^0 (v + f_{xc}) \chi$$

$$\bar{\chi} = \chi^0 + \chi^0 (\bar{v} + f_{xc}) \bar{\chi}$$

$$\bar{v}_{\mathbf{G}} = \begin{cases} v_{\mathbf{G}} & \forall \mathbf{G} \neq 0 \\ 0 & \mathbf{G} = 0 \end{cases}$$

Absorption and Energy Loss Spectra $\mathbf{q} \rightarrow 0$

$$\text{ELS}(\omega) = -\text{Im} \{ \varepsilon_{00}^{-1}(\omega) \} \quad ; \quad \text{Abs}(\omega) = \text{Im} \left\{ \frac{1}{\varepsilon_{00}^{-1}(\omega)} \right\}$$

$$\text{ELS}(\omega) = -v_0 \text{Im} \{ \chi_{00}(\omega) \} \quad ; \quad \text{Abs}(\omega) = -v_0 \text{Im} \left\{ \frac{1}{1 + v_0 \chi_{00}(\omega)} \right\}$$

$$\text{ELS}(\omega) = -v_0 \text{Im} \{ \chi_{00}(\omega) \} \quad ; \quad \text{Abs}(\omega) = -v_0 \text{Im} \{ \bar{\chi}_{00}(\omega) \}$$

Exercise

$$\text{Im} \left\{ \frac{1}{\varepsilon_{00}^{-1}} \right\} = -v_0 \text{Im} \{ \bar{\chi}_{00} \}$$

Abs and ELS ($\mathbf{q} \rightarrow 0$) differs **only** by v_0

$$\text{ELS}(\omega) = -\text{Im} \{ \varepsilon_{00}^{-1}(\omega) \} \quad ; \quad \text{Abs}(\omega) = \text{Im} \left\{ \frac{1}{\varepsilon_{00}^{-1}(\omega)} \right\}$$

$$\text{ELS}(\omega) = -v_0 \text{Im} \{ \chi_{00}(\omega) \} \quad ; \quad \text{Abs}(\omega) = -v_0 \text{Im} \{ \bar{\chi}_{00}(\omega) \}$$

$$\chi = \chi^0 + \chi^0 (v + f_{xc}) \chi$$

$$\bar{\chi} = \chi^0 + \chi^0 (\bar{v} + f_{xc}) \bar{\chi}$$

$$\bar{v}_{\mathbf{G}} = \begin{cases} v_{\mathbf{G}} & \forall \mathbf{G} \neq 0 \\ 0 & \mathbf{G} = 0 \end{cases} \quad \text{microscopic components}$$

Microscopic components \bar{v}

\bar{v} = local field effects

$$\bar{\chi}^{\text{NLF}} = \chi^0 + \chi^0 (\cancel{\chi} + f_{xc}) \bar{\chi}^{\text{NLF}}$$

Microscopic components \bar{v}

\bar{v} = local field effects

$$\bar{\chi}^{\text{NLF}} = \chi^0 + \chi^0 (\cancel{\chi} + f_{xc}) \bar{\chi}^{\text{NLF}}$$

$$\text{Abs}^{\text{NLF}} = -v_0 \text{Im} \left\{ \bar{\chi}^{\text{NLF}} \right\}$$

Microscopic components \bar{v}

\bar{v} = local field effects

$$\bar{\chi}^{\text{NLF}} = \chi^0 + \chi^0 (\cancel{\chi} + f_{xc}) \bar{\chi}^{\text{NLF}}$$

$$\text{Abs}^{\text{NLF}} = -v_0 \text{Im} \left\{ \bar{\chi}^{\text{NLF}} \right\}$$

$$\text{Abs}^{\text{NLF}} = \text{Im} \{ \epsilon_{00} \}$$

Microscopic components \bar{v}

\bar{v} = local field effects

$$\bar{\chi}^{\text{NLF}} = \chi^0 + \chi^0 (\cancel{\chi} + f_{xc}) \bar{\chi}^{\text{NLF}}$$

$$\text{Abs}^{\text{NLF}} = -v_0 \text{Im} \left\{ \bar{\chi}^{\text{NLF}} \right\}$$

$$\text{Abs}^{\text{NLF}} = \text{Im} \left\{ \varepsilon_{00} \right\}$$

$$\text{Abs} = \text{Im} \left\{ \frac{1}{\varepsilon_{00}^{-1}} \right\}$$

Microscopic components \bar{v} \bar{v} = local field effects

$$\bar{\chi}^{\text{NLF}} = \chi^0 + \chi^0 (\cancel{\chi} + f_{xc}) \bar{\chi}^{\text{NLF}}$$

$$\text{Abs}^{\text{NLF}} = -v_0 \text{Im} \left\{ \bar{\chi}^{\text{NLF}} \right\}$$

$$\text{Abs}^{\text{NLF}} = \text{Im} \{ \varepsilon_{00} \}$$

Exercise

$$\text{Abs}^{\text{NLF}} = -v_0 \text{Im} \left\{ \bar{\chi}^{\text{NLF}} \right\} = \text{Im} \{ \varepsilon_{00} \}$$

- 1 Introduction: why TD-DFT ?
- 2 (Just) A bit of Formalism - The Boring Part
 - TDDFT: the Foundation
 - Linear Response Formalism
- 3 TDDFT in practice:
 - The ALDA: Achievements and Shortcomings
 - New Frontiers
- 4 Perspectives and Resources

Practical schema and approximations

- Ground-state calculation $\rightarrow \phi_i, \epsilon_i$ [V_{xc} LDA]
- $\chi^0(\mathbf{q}, \omega)$
- $\chi = \chi^0 + \chi^0(v + f_{xc})\chi$

$$f_{xc} = 0 \quad \text{RPA}$$

$$f_{xc}^{\text{ALDA}}(\mathbf{r}, \mathbf{r}') = \frac{\delta V_{xc}(\mathbf{r})}{\delta n(\mathbf{r}')} \delta(\mathbf{r} - \mathbf{r}') \quad \text{ALDA}$$

- 1 Introduction: why TD-DFT ?
- 2 (Just) A bit of Formalism - The Boring Part
 - TDDFT: the Foundation
 - Linear Response Formalism
- 3 TDDFT in practice:
 - The ALDA: Achievements and Shortcomings
 - New Frontiers
- 4 Perspectives and Resources

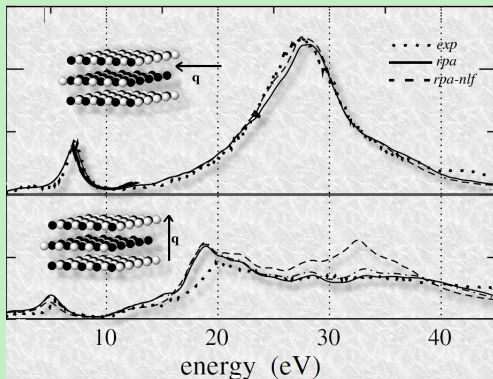
Electron Energy Loss Spectrum of Graphite

RPA vs EXP

$$\chi^{\text{NLF}} = \chi^0 + \chi^0 v_0 \chi^{\text{NLF}}$$

$$\chi = \chi^0 + \chi^0 v \chi$$

$$\text{ELS} = -v_0 \text{Im} \{ \chi_{00} \}$$

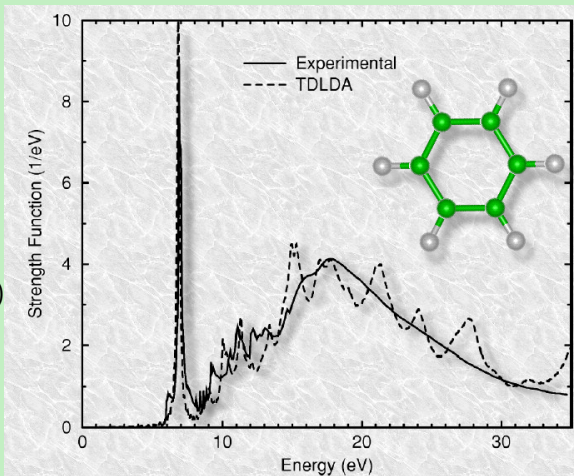


A. Marinopoulos *et al.* Phys.Rev.Lett **89**, 76402 (2002)

Photo-absorption cross section of Benzene

ALDA vs EXP

$$\text{Abs} = -\frac{4\pi\omega}{c} \text{Im} \int dr z n(r, \omega)$$

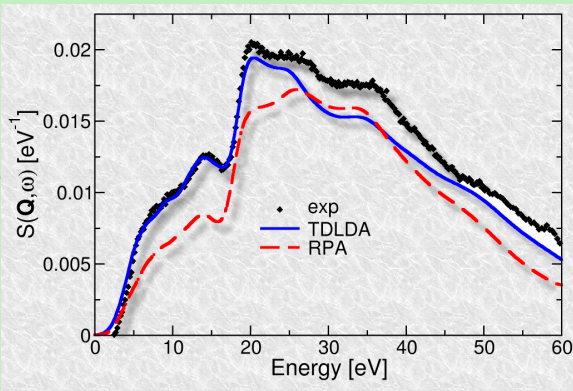


K.Yabana and G.F.Bertsch Int.J.Mod.Phys.**75**, 55 (1999)

Inelastic X-ray scattering of Silicon

ALDA vs RPA vs EXP

$$S(\mathbf{q}, \omega) = -\frac{\hbar^2 q^2}{4\pi^2 e^2 n} \text{Im}\epsilon_{00}^{-1}$$



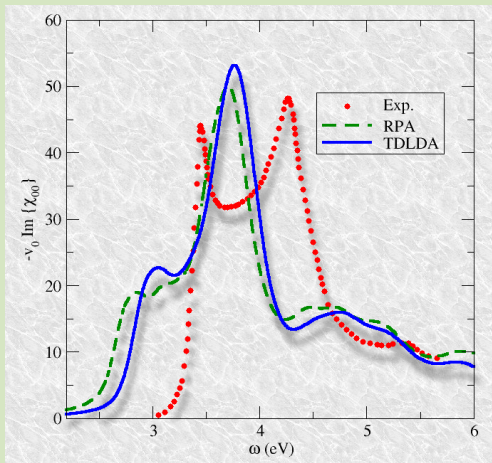
H-C.Weissker *et al.* submitted

Absorption Spectrum of Silicon

ALDA vs RPA vs EXP

$$\bar{\chi} = \chi^0 + \chi^0 (\bar{v} + f_{xc}^{ALDA}) \bar{\chi}$$

$$\text{Abs} = -v_0 \text{Im} \{ \bar{\chi}_{00} \}$$

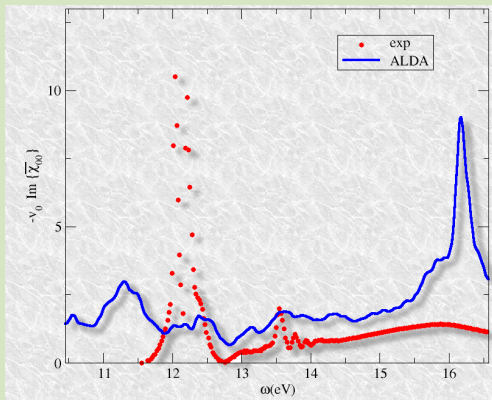


Absorption Spectrum of Argon

ALDA vs EXP

$$\bar{\chi} = \chi^0 + \chi^0 (\bar{v} + f_{xc}^{\text{ALDA}}) \bar{\chi}$$

$$\text{Abs} = -v_0 \text{Im} \{ \bar{\chi}_{00} \}$$



ALDA: Achievements and Shortcomings

Good results

- Photo-absorption of small molecules
- ELS of solids

Bad results

- Absorption of solids

Why?

f_{xc}^{ALDA} is short-range

$$f_{xc}(\mathbf{q} \rightarrow 0) \sim \frac{1}{q^2}$$

ALDA: Achievements and Shortcomings

Good results

- Photo-absorption of small molecules
- ELS of solids

Bad results

- Absorption of solids

Why?

f_{xc}^{ALDA} is short-range

$$f_{xc}(\mathbf{q} \rightarrow 0) \sim \frac{1}{q^2}$$

ALDA: Achievements and Shortcomings

Good results

- Photo-absorption of small molecules
- ELS of solids

Bad results

- Absorption of solids

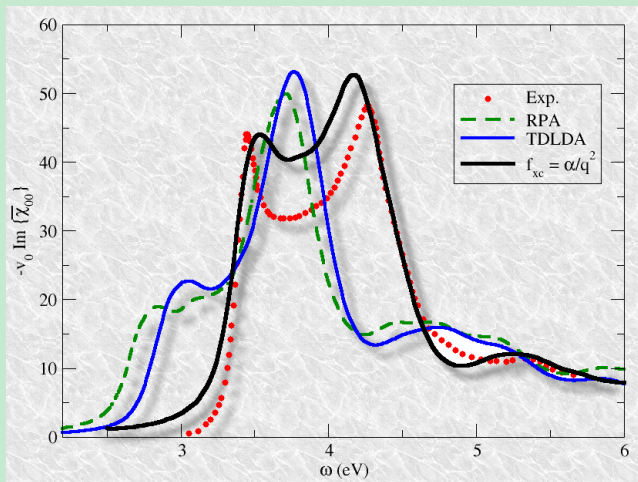
Why?

f_{xc}^{ALDA} is short-range

$$f_{xc}(\mathbf{q} \rightarrow 0) \sim \frac{1}{q^2}$$

ALDA: Achievements and Shortcomings

Absorption of Silicon $f_{xc} = \frac{\alpha}{q^2}$



 L.Reining *et al.* Phys.Rev.Lett. **88**, 66404 (2002)

- 1 Introduction: why TD-DFT ?
- 2 (Just) A bit of Formalism - The Boring Part
 - TDDFT: the Foundation
 - Linear Response Formalism
- 3 TDDFT in practice:
 - The ALDA: Achievements and Shortcomings
 - New Frontiers
- 4 Perspectives and Resources

TDDFT concept into MBPT



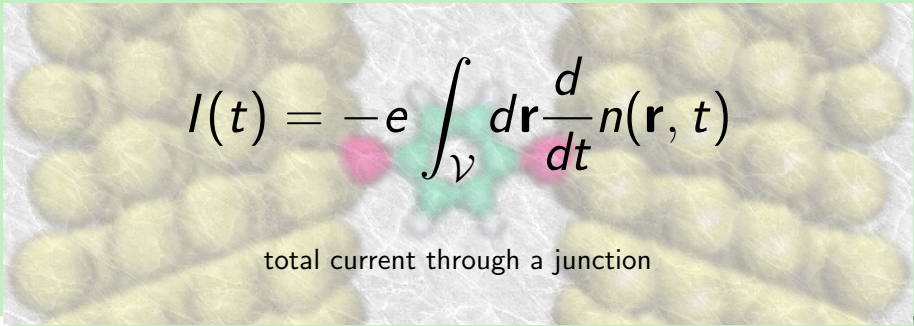
The background of the slide features several Feynman diagrams illustrating the many-body perturbation theory (MBPT) expansion of the self-energy Σ . On the left, a diagram shows a self-energy loop with a vertex Γ and a fermion line, labeled with '1' and '2'. In the center, the equation $\Sigma = GW\Gamma$ is displayed. To the right, a more complex diagram shows a self-energy loop with a vertex Γ and a fermion line, with a shaded region representing a many-body diagram. This diagram is labeled with '1', '2', '3', '4', '6', and '7'. A derivative $\frac{\partial \Sigma_M}{\partial G}$ is also indicated near the diagram.

$$\Sigma = GW\Gamma$$

i.e. a promising path to go beyond GW approx through TDDFT

 F.Bruneval *et al.* Phys.Rev.Lett **94**, 186402 (2005)

Quantum Transport in TDDFT


$$I(t) = -e \int_V d\mathbf{r} \frac{d}{dt} n(\mathbf{r}, t)$$

total current through a junction

 G.Stefanucci *et al.* *Europhys.Lett.* **67**, 14 (2004)

Let's go back to Ground-State

Total energies calculations via TDDFT

$$E = T_{KS} + V_{ext} + E_H + E_{xc}$$

$$E_{xc} \propto \int d\mathbf{r} d\mathbf{r}' \int_0^1 d\lambda \int_0^\infty du \chi^\lambda(\mathbf{r}, \mathbf{r}', iu)$$

adiabatic connection fluctuation-dissipation theorem



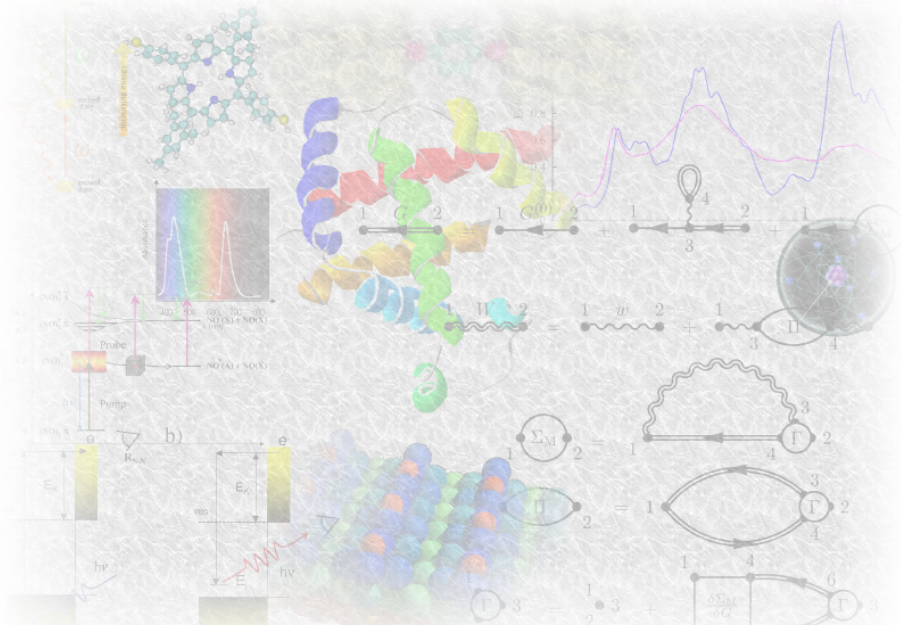
D.C.Langreth *et al.* Solid State Comm. **17**, 1425 (1975)



M.Lein *et al.* **61**, 13431 (2000)

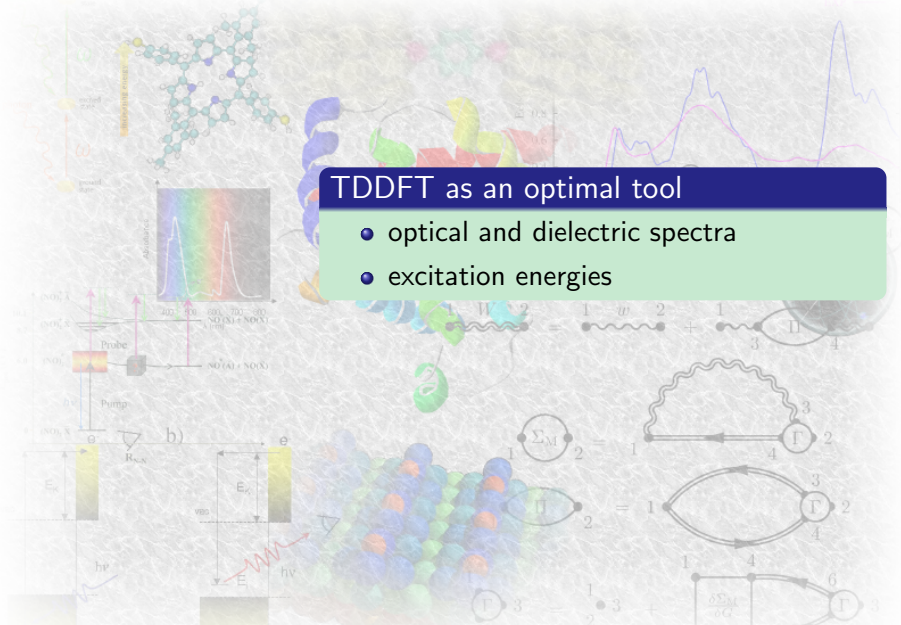
- 1 Introduction: why TD-DFT ?
- 2 (Just) A bit of Formalism - The Boring Part
 - TDDFT: the Foundation
 - Linear Response Formalism
- 3 TDDFT in practice:
 - The ALDA: Achievements and Shortcomings
 - New Frontiers
- 4 Perspectives and Resources

Perspectives



TDDFT as an optimal tool

- optical and dielectric spectra
- excitation energies



TDDFT as an optimal tool

- optical and dielectric spectra
- excitation energies

Non-perturbative regimes

- atoms and molecules in strong laser fields
- excited-state dynamics

TDDFT as an optimal tool

- optical and dielectric spectra
- excitation energies

Non-perturbative regimes

- atoms and molecules in strong laser fields
- excited-state dynamics

New frontiers application

- ground-state total energy
- quantum transport

TDDFT as an optimal tool

- optical and dielectric spectra
- excitation energies

Non-perturbative regimes

- atoms and molecules in strong laser fields
- excited-state dynamics

New frontiers application

- ground-state total energy
- quantum transport



Time-Dependent Density Functional Theory Springer (2006)

Long road ahead

Formalization of problems in term of density functionals

Search for better and more efficient $V_{xc}([n], t)$ approx

Formalization of problems in term of density functionals

Search for better and more efficient $V_{xc}([n], t)$ approx

Problems

- charge transfer systems
- double excitations
- efficient calculation for solids

Codes (more or less) available for TDDFT

- DP (Olevano,Reining,Sottile) - (reciprocal space, frequency domain) - solides and finite systems - Academic Free License
http://etsf.polytechnique.fr/Software/Ab_Initio
- Octopus (Marques,Castro,Rubio) -(real space, real time) - finite systems
- Yambo (Marini Grüning Attaccalite Varsano Hogan) - (reciprocal space, frequency domain)
- Fiesta (Blase) - (Gaussian based, frequency domain) - finite systems
- Fleszar code
- Rehr (core excitations)
- TDDFT (Bertsch)
- VASP, SIESTA, ADF, TURBOMOLE
- TD-DFPT (Baroni)