TDDFT and BSE in the HEG: some analytic considerations

Jaakko, Matteo, Lucia





TDDFT and BSE in the HEG: some analytic considerations

Motivation:

- \rightarrow Understand more about TDDFT versus BSE
- \rightarrow Understand more about electron-hole correlation functions
- \rightarrow Insert analytical results into ab initio calculations

TDDFT and BSE in the HEG: some analytic considerations

- \rightarrow TDDFT and BSE
- \rightarrow TDDFT and BSE in the HEG
- \rightarrow Analyical solutions and approximations
- \rightarrow Some results

\rightarrow TDDFT and BSE

$$\epsilon^{-1}(\mathbf{r}_1, \mathbf{r}_2; \omega) = \delta(\mathbf{r}_1 - \mathbf{r}_2) + \int d\mathbf{r}_3 v_c(|\mathbf{r}_1 - \mathbf{r}_3|) \chi(\mathbf{r}_3, \mathbf{r}_2; \omega)$$

$$\frac{\delta n(\mathbf{r}, t; [n])}{\delta v_{\text{ext}}(\mathbf{r}', t')} = \chi(\mathbf{r}, \mathbf{r}', t, t')$$

$$n(\mathbf{r}) = -iG(\mathbf{r}, t, \mathbf{r}t^+) \qquad \delta G/\delta v = -G \left[\delta G^{-1}/\delta v\right] G$$

$$\chi(1, 2) = -iG(1, \bar{3}) \frac{\delta G^{-1}(\bar{3}, \bar{4})}{\delta v_{\text{ext}}(2)} G(\bar{4}, 1^+)$$

Note: by definition, G can be either the exact G or the KS one! $G^{-1} = G_0^{-1} - v_{\text{ext}} - v_H - v_{xc}^{\text{eff}}$

 v_{xc}^{eff} is either the KS xc potential or the xc self-energy



$$-i\frac{\delta G}{\delta v_{\rm ext}} = {}^{3}\chi_{0} + {}^{3}\chi_{0}v_{c}\chi + {}^{4}\chi_{0}\frac{\delta v_{xc}^{\rm eff}}{\delta G}\frac{\delta G}{\delta v_{\rm ext}}$$

BSE yields variation of G wrt non-local potential

LR-TDDFT yields variation of *n* wrt local potential

But could we get more also out of LR-TDDFT, at least approx?

Usual strategy: 1. open the bubble 2. insert a few delta-functions

3. postulate that the results L

Is this shaky or the thing to do?

$$\chi = \chi_0 + \chi_0 v_c \chi + \chi_0 \frac{\delta v_{xc}^{\text{eff}}}{\delta n} \frac{\delta n}{\delta v_{\text{ext}}}$$
$$- i \frac{\delta G^{\text{KS}}}{\delta v_{\text{ext}}} = {}^3\chi_0 + {}^3\chi_0 v_c \chi + {}^3\chi_0 \frac{\delta v_{xc}^{\text{KS}}}{\delta n} \frac{\delta n}{\delta v_{\text{ext}}}$$
$$- i \frac{\delta G^{\text{KS}}}{\delta v_{\text{ext}}} = {}^3\chi_0 + {}^3\chi_0 v_c \chi + {}^3\chi_0 \frac{\delta v_{xc}^{\text{KS}}}{\delta G^{\text{KS}}} \frac{\delta G^{\text{KS}}}{\delta v_{\text{ext}}}$$
$$- i \frac{\delta G^{\text{KS}}}{\delta v_{\text{ext}}} = {}^4\chi_0 + {}^4\chi_0 v_c \chi + {}^4\chi_0 \delta \frac{\delta v_{xc}^{\text{KS}}}{\delta G^{\text{KS}}} \frac{\delta G^{\text{KS}}}{\delta v_{\text{ext}}}$$
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$$-i\frac{\delta G^{\mathrm{KS}}}{\delta v_{\mathrm{ext}}} = {}^{4}\chi_{0} + {}^{4}\chi_{0}v_{c}\chi + {}^{4}\chi_{0}\,\delta\frac{\delta v_{xc}^{\mathrm{KS}}}{\delta G^{\mathrm{KS}}}\frac{\delta G^{\mathrm{KS}}}{\delta v_{\mathrm{ext}}}$$
$$L^{\mathrm{KS}} = {}^{4}\chi_{0} + {}^{4}\chi_{0}\,\delta\,v_{c}\,\delta\,L^{\mathrm{KS}} + {}^{4}\chi_{0}\,\delta\frac{\delta v_{xc}^{\mathrm{KS}}}{\delta G^{\mathrm{KS}}}L^{\mathrm{KS}}$$
$$L^{\mathrm{ks}} = {}^{4}\chi_{0} + {}^{4}\chi_{0}\,\delta\,v_{c}\,\delta\,L^{\mathrm{ks}} + {}^{4}\chi_{0}\,\delta\frac{\delta v_{xc}^{\mathrm{KS}}}{\delta n}\,\delta\,L^{\mathrm{ks}}$$

Still another one possible with $f_{\rm xc}^{\rm mb}$

$$L^{-1} = L_0^{-1} - \delta \delta v_c - \Xi$$

Some elements of kernel not important in inversion, Or exact BSE kernel has delta-functions?



$$L \approx \sum_{\lambda} \sum_{s} A^s_{\lambda} \Phi_s(r_1, r_2) \sum_{s'} A^{s'*}_{\lambda} \Phi^*_{s'}(r_3, r_4) e^{-iE_{\lambda}(t-t')}$$







HEG, L^{ks} ("Casida"): $(\varepsilon_{\mathbf{k}+\mathbf{q}} - \varepsilon_{\mathbf{k}})A_{\lambda}^{\mathbf{k}\mathbf{k}+\mathbf{q}} + f_{Hxc}(\mathbf{q}) \left(\sum A_{\lambda}^{\mathbf{k}'\mathbf{k}'+\mathbf{q}} + \sum A_{\lambda}^{\mathbf{k}'-\mathbf{q}\mathbf{k}'}\right)$ $=E_{\lambda}A_{\lambda}^{\mathbf{kk+q}}$ $(\varepsilon_{\mathbf{k}} - \varepsilon_{\mathbf{k}-\mathbf{q}})A_{\lambda}^{\mathbf{k}-\mathbf{qk}} - f_{Hxc}(\mathbf{q}) \left(\sum_{\mathbf{k},\mathbf{k}} A_{\lambda}^{\mathbf{k}'\mathbf{k}'+\mathbf{q}} + \sum_{\mathbf{k},\mathbf{k}} A_{\lambda}^{\mathbf{k}'-\mathbf{qk}'}\right)$ $=E_{\lambda}A_{\lambda}^{\mathbf{k}-\mathbf{qk}},$

"Simple", because f does not depend on kk" $(\varepsilon_{\mathbf{k}+\mathbf{q}} - \varepsilon_{\mathbf{k}}) = q^2/2 + \mathbf{kq}$ $(\varepsilon_{\mathbf{k}} - \varepsilon_{\mathbf{k}-\mathbf{q}}) = -q^2/2 + \mathbf{kq}$ $\varepsilon_{-\mathbf{k}} - \varepsilon_{-\mathbf{k}-\mathbf{q}} = -q^2 - \mathbf{kq}$

$$a_{\mathbf{k}} \equiv A_{\lambda}^{\mathbf{k}\mathbf{k}+\mathbf{q}}$$
$$b_{\mathbf{k}} \equiv A_{\lambda}^{-\mathbf{k}-\mathbf{q}-\mathbf{k}}$$
$$s \equiv \sum_{\mathbf{k}} a_{\mathbf{k}}$$
$$z \equiv \sum_{\mathbf{k}} b_{\mathbf{k}}.$$

$$(q^2/2 + \mathbf{kq}) a_{\mathbf{k}} + f_{H_{xc}}(\mathbf{q}) \left(s + z\right) = E a_{\mathbf{k}}$$
$$-(q^2/2 + \mathbf{kq}) b_{\mathbf{k}} - f_{H_{xc}}(\mathbf{q}) \left(s + z\right) = E b_{\mathbf{k}}$$

$$(a_{\mathbf{k}} + b_{\mathbf{k}}) = -\frac{2f_{Hxc}(\mathbf{q})(s+z)}{(q^2/2 + \mathbf{kq}) - E^2/(q^2/2 + \mathbf{kq})}$$

$$(s+z) = -\sum_{\mathbf{k}} \frac{2(q^2/2 + \mathbf{kq}) f_{Hxc}(\mathbf{q}) \left(s+z\right)}{(q^2/2 + \mathbf{kq})^2 - E^2}$$

$$1 = -\sum_{\mathbf{k}} \frac{2(q^2/2 + \mathbf{kq})f_{Hxc}(\mathbf{q})}{(q^2/2 + \mathbf{kq})^2 - E^2}$$
 Max:
$$Q_{\mathbf{k}} = +E$$

$$Q_{\mathbf{k}} \equiv q^2/2 + \mathbf{k}\mathbf{q}$$

$$a_{\mathbf{k}} = -\frac{f_{H_{\mathrm{xc}}}(\mathbf{q})\left(s+z\right)}{Q_{\mathbf{k}}-E} \qquad b_{\mathbf{k}} = -\frac{f_{H_{\mathrm{xc}}}(\mathbf{q})\left(s+z\right)}{Q_{\mathbf{k}}+E}$$

$$\begin{aligned} (\varepsilon_{\mathbf{k}+\mathbf{q}} - \varepsilon_{\mathbf{k}})A_{\lambda}^{\mathbf{k}\mathbf{k}+\mathbf{q}} - \left(\sum_{\mathbf{k}'} W(k - k')A_{\lambda}^{\mathbf{k}'\mathbf{k}'+\mathbf{q}} + \sum_{\mathbf{k}'} W(k - k' + q)(A_{\lambda}^{\mathbf{k}'-\mathbf{q}\mathbf{k}'}\right) &= E_{\lambda}A_{\lambda}^{\mathbf{k}\mathbf{k}+\mathbf{q}} \\ (\varepsilon_{\mathbf{k}} - \varepsilon_{\mathbf{k}-\mathbf{q}})A_{\lambda}^{\mathbf{k}-\mathbf{q}\mathbf{k}} + \left(\sum_{\mathbf{k}'} W(k - k' - q)A_{\lambda}^{\mathbf{k}'\mathbf{k}'+\mathbf{q}} + \sum_{\mathbf{k}'} W(k - k')A_{\lambda}^{\mathbf{k}'-\mathbf{q}\mathbf{k}'}\right) &= E_{\lambda}A_{\lambda}^{\mathbf{k}-\mathbf{q}\mathbf{k}} . \end{aligned}$$
$$\begin{aligned} Q_{\mathbf{k}}a_{\mathbf{k}} - \sum_{\mathbf{k}'} \left(W(\mathbf{k} - \mathbf{k}')a_{\mathbf{k}'} + W(\mathbf{k} + \mathbf{k}' + \mathbf{q})b_{\mathbf{k}'}\right) \\ &= E_{\lambda}a_{\mathbf{k}} \\ -Q_{\mathbf{k}}b_{\mathbf{k}} + \sum_{\mathbf{k}'} \left(W(\mathbf{k} + \mathbf{k}' + \mathbf{q})a_{\mathbf{k}'} + W(\mathbf{k} - \mathbf{k}')b_{\mathbf{k}'}\right) \end{aligned}$$
$$((11)$$

Solution on the way (for certain *W*)







BSE seems to priviledge similar k as TDDFT HEG can be (partially) solved analytically Write real material as HEG+ corrections?

$$1 = -\sum_{\mathbf{k}} \frac{2(q^2/2 + \mathbf{k}\mathbf{q})f_{Hxc}(\mathbf{q})}{(q^2/2 + \mathbf{k}\mathbf{q})^2 - E^2}$$
$$a_{\mathbf{k}} = -\frac{f_{Hxc}(\mathbf{q})\left(s+z\right)}{Q_{\mathbf{k}} - E} \qquad b_{\mathbf{k}} = -\frac{f_{Hxc}(\mathbf{q})\left(s+z\right)}{Q_{\mathbf{k}} + E}$$

 \rightarrow Work with true gap and corrections to diagonal kernel?

 \rightarrow Compare TDDFT and BSE in case both are exact

We encounter the 2-body correlation function in the BSE

$$\begin{split} L(1,2,3,4) &= L^0(1,2,3,4) \\ &+ L^0(1,2,\bar{5},\bar{6}) \left[v(\bar{5},\bar{7})\delta(\bar{5},\bar{6})\delta(\bar{7},\bar{8}) \right] \\ &- W(\bar{5},\bar{6})\delta(\bar{5},\bar{7})\delta(\bar{6},\bar{8}) \right] L(\bar{7},\bar{8},3,4). \end{split}$$

 $\chi(\mathbf{r}_1, \mathbf{r}_2; t_1 - t_2) = -iL(\mathbf{r}_1, t_1, \mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_2, t_2)$

Analysis: Travelling electron and hole

 $L(\mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_3, t_3, \mathbf{r}_4, t_4)$



 $L(\mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_3, t_3, \mathbf{r}_4, t_4)$

Here, two particles (an electron and a hole) are travelling



Analysis \rightarrow physical consequences:

Travelling electron and hole

 $L(\mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_3, t_3, \mathbf{r}_4, t_4)$

Electron and hole travelling together

$$\chi(\mathbf{r}_1, \mathbf{r}_2; t_1 - t_2) = -iL(\mathbf{r}_1, t_1, \mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_2, t_2)$$





Analysis \rightarrow physical consequences:

Travelling electron and hole

$$L(\mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_3, t_3, \mathbf{r}_4, t_4)$$

Density (probability) of electron in presence of hole

$$L(\mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_2, t_2^-, \mathbf{r}_1, t_1^+)$$

Electron and hole travelling together

$$\chi(\mathbf{r}_1, \mathbf{r}_2; t_1 - t_2) = -iL(\mathbf{r}_1, t_1, \mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_2, t_2)$$



Analysis: Travelling electron and hole

 $L(\mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_3, t_3, \mathbf{r}_4, t_4)$

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 $L(\mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_2, t_2^-, \mathbf{r}_1, t_1^+)$



Note: have to check +/-)

Non-interacting: $G(11^+)G(22^-)$

Analysis: Travelling electron and hole

 $L(\mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_3, t_3, \mathbf{r}_4, t_4)$

Density (probability) of electron in presence of hole

 $L(\mathbf{r}_1, t_1, \mathbf{r}_2, t_2, \mathbf{r}_2, t_2^-, \mathbf{r}_1, t_1^+)$



This tells us about e-h correlation

$$\frac{q^2}{2}a_{\lambda} + N_{\mathbf{k}}f_{H_{\mathrm{xc}}}(\mathbf{q})(a_{\lambda} + b_{\lambda}) = E_{\lambda}a_{\lambda}$$
$$-\frac{q^2}{2}b_{\lambda} - N_{\mathbf{k}}f_{H_{\mathrm{xc}}}(\mathbf{q})(a_{\lambda} + b_{\lambda}) = E_{\lambda}b_{\lambda}$$

$$\rightarrow \quad E_{\lambda}^2 = \frac{q^4}{4} + 2\frac{q^2}{2}N_{\mathbf{k}}f_{H\mathbf{x}\mathbf{c}}(\mathbf{q})$$

Note: * plasmon energy determined by 1/q² in f (Hartree- RPA!!!!) * without resonant-antiresonant coupling, divergent P.E.

$$A_{\lambda}^{\mathbf{k}\mathbf{k}+\mathbf{q}} = \frac{f_{H\mathbf{x}\mathbf{c}}(\mathbf{q})(a+b)}{E_{\lambda} - (\varepsilon_{\mathbf{k}+\mathbf{q}} - \varepsilon_{\mathbf{k}})}$$
$$A_{\lambda}^{\mathbf{k}-\mathbf{q}\mathbf{k}} = \frac{-f_{H\mathbf{x}\mathbf{c}}(\mathbf{q})(a+b)}{E_{\lambda} - (\varepsilon_{\mathbf{k}} - \varepsilon_{\mathbf{k}-\mathbf{q}})}$$

approximately indep. of k

$$\begin{aligned} |\Psi_{\lambda}(\mathbf{r}_{e},\mathbf{r}_{h})|^{2} &= |\sum_{\mathbf{k}=occ} A_{\lambda}^{\mathbf{kk}+\mathbf{q}} e^{-i(\mathbf{kr}_{h}-(\mathbf{k}+\mathbf{q})\mathbf{r}_{e})} \\ &+ A_{\lambda}^{\mathbf{k}-\mathbf{qk}} e^{-i(\mathbf{kr}_{h}-(\mathbf{k}-\mathbf{q})\mathbf{r}_{e})}|^{2} \end{aligned}$$

$$\begin{aligned} |\Psi_{\lambda}(\mathbf{r}_{e},0)|^{2} &= |\frac{a_{\lambda}}{N_{\mathbf{k}}} \sum_{\mathbf{k}=occ} e^{i(\mathbf{k}+\mathbf{q})\mathbf{r}_{e}} + \frac{b_{\lambda}}{N_{\mathbf{k}}} \sum_{\mathbf{k}=occ} e^{i(\mathbf{k}-\mathbf{q})\mathbf{r}_{e}}|^{2} \\ &= |\frac{a_{\lambda}}{N_{\mathbf{k}}}s_{+}|^{2} + |\frac{b_{\lambda}}{N_{\mathbf{k}}}s_{-}|^{2} + 2\operatorname{Re}\frac{a_{\lambda}}{N_{\mathbf{k}}}\frac{b_{\lambda}^{*}}{N_{\mathbf{k}}}s_{+}s_{-}e^{2i\mathbf{q}\mathbf{r}_{e}} \end{aligned}$$

The (restricted, therefore s+,s- q-dep.) sums over k lead to localization. Note: we did not use the sign of f

Question: Details (e.g., is coupling crucial), and Interpretation!

Use this to study charge dynamics in silver chloride, AgCl



Arnaud Lorin, Matteo Gatti, Lucia Reining, Francesco Sottile, arXiv:2009.08699





$$n(\mathbf{r},t) = \int d\mathbf{r}' dt' \,\chi(\mathbf{r},\mathbf{r}',t-t') v_{\text{ext}}(\mathbf{r}',t')$$

In a periodic system:

$$n(\mathbf{q} + \mathbf{G}, \omega) = \sum_{\mathbf{G}'} \chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) v_{\text{ext}}(\mathbf{q} + \mathbf{G}', \omega)$$

Coupling of Fourier components

 \rightarrow the induced density looks different from the potential

The information is contained in the full response matrix

Frequency in gap:





Frequency in gap:

t= 0.000 fs



Frequency on exciton:





Electronic excitations: describing couplings in space and time

- \rightarrow What do I mean by coupling
- → Coupling of spatial modes: what happens in an excitation?
- → Coupling in time:

Coupling of elementary excitations in photoemission

.....and in excitation spectra

- → Excursion to TDDFT
- \rightarrow Conclusions

Example excitons: $H = H^{el} + H^{h} + H^{el-h} \rightarrow mixing of transitions$



Absorption coefficient silver chloride

Electronic excitations: describing couplings in space and time

 \rightarrow What do I mean by coupling

→ Coupling of spatial modes: what happens in an excitation?

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Coupling of elementary excitations in photoemission

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- → Excursion to TDDFT
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Coupling of Fourier components

 \rightarrow the induced density looks different from the potential

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Theo: A. Lorin et al., PhD thesis 2020 https://arxiv.org/abs/2009.08699

Exp: M. Yanagihara, Y. Kondo, H. Kanzaki, J. Phys. Soc. Jpn. 52, 4397 (1983)





Excitonic spectra are calculated most often for $q \rightarrow 0$, Up to recently only for G=G'=0 (macroscopic experiments)



Excitonic spectra are calculated most often for $q \rightarrow 0$, Up to recently only for G=G'=0 (macroscopic experiments) How to get off-diagonal elements? What do they look like? Igor Reshetnyak, Matteo Gatti, Francesco Sottile, and Lucia Reining, Phys. Rev. Research 1, 032010(R) (2019)

 $\mathbf{H} = \mathbf{H}^{\mathrm{el}} + \mathbf{H}^{\mathrm{h}} + \mathbf{H}^{\mathrm{el}-\mathrm{h}}$

$$\chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q},\omega) = \sum_{\lambda,\lambda'} \left[\sum_{t} A_{\lambda}^{*t}(\mathbf{q}) \tilde{\rho}_{t}^{*}(\mathbf{q} + \mathbf{G}) \\ \times \frac{O_{\lambda,\lambda'}^{-1}}{\omega - E_{\lambda}(\mathbf{q}) + i\eta} \sum_{t'} A_{\lambda'}^{t'}(\mathbf{q}) \tilde{\rho}_{t'}(\mathbf{q} + \mathbf{G}') \right]$$

in terms of eigenvectors *A* and eigenvalues *E* of e-h hamiltonian building on M. Gatti and F. Sottile, Phys. Rev. B 88, 155113 (2013)



Martin, Reining, Ceperley Cambridge 2016



IXS and CIXS Silicon



H. Weissker et al, Phys. Rev. Lett. 97, 237602 (2006), Phys. Rev. B 81, 085104 (2010).

Igor Reshetnyak, Matteo Gatti, Francesco Sottile, and Lucia Reining, Phys. Rev. Research 1, 032010(R) (2019)

 ω (eV)







Exp diago: Fields, Gibbons, Schnatterly, Phys. Rev. Lett. 38, 430 (1977)



 \rightarrow Bound excitons with electron localized close to hole

→ These resonances give a very strong and long-ranged density response

→ More than beautiful pictures: Charge dynamics for photovoltaics, photocatalysis & more How important is this? Look simply at the HEG..... Jaakko Koskelo, Matteo Gatti

1. A matter of consistency: Plasmon frequency

2. Intriguing effects: Negative screening and more cf J. Perdew





COPD: M. Corradini, et al., PRB 57, 14569 (1998) S. Moroni, D. M. Ceperley, and G. Senatore, PRL 75, 6 (1995)

- \rightarrow Lousy "BSE" results in low density HEG
- \rightarrow Simple ALDA much better some NL folded in
- → Better: 2p2h Panholzer, Gatti, Reining, PRL 120, 166402 (2018)

