

# Exciton Dispersion from Theoretical Spectroscopy

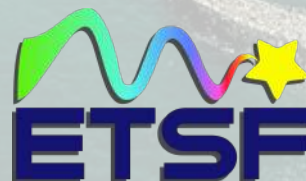
## Complementarity with EELS and IXS

Francesco Sottile  
LSI, Ecole Polytechnique, Palaiseau

JEELS 2014  
23-26 Juin 2014 Roscoff (France)

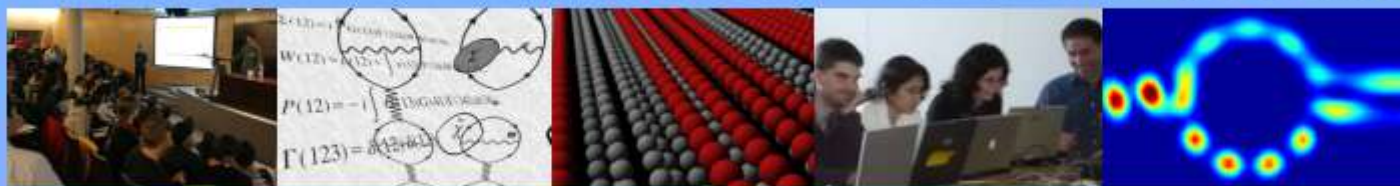


European Research Council  
Established by the European Commission

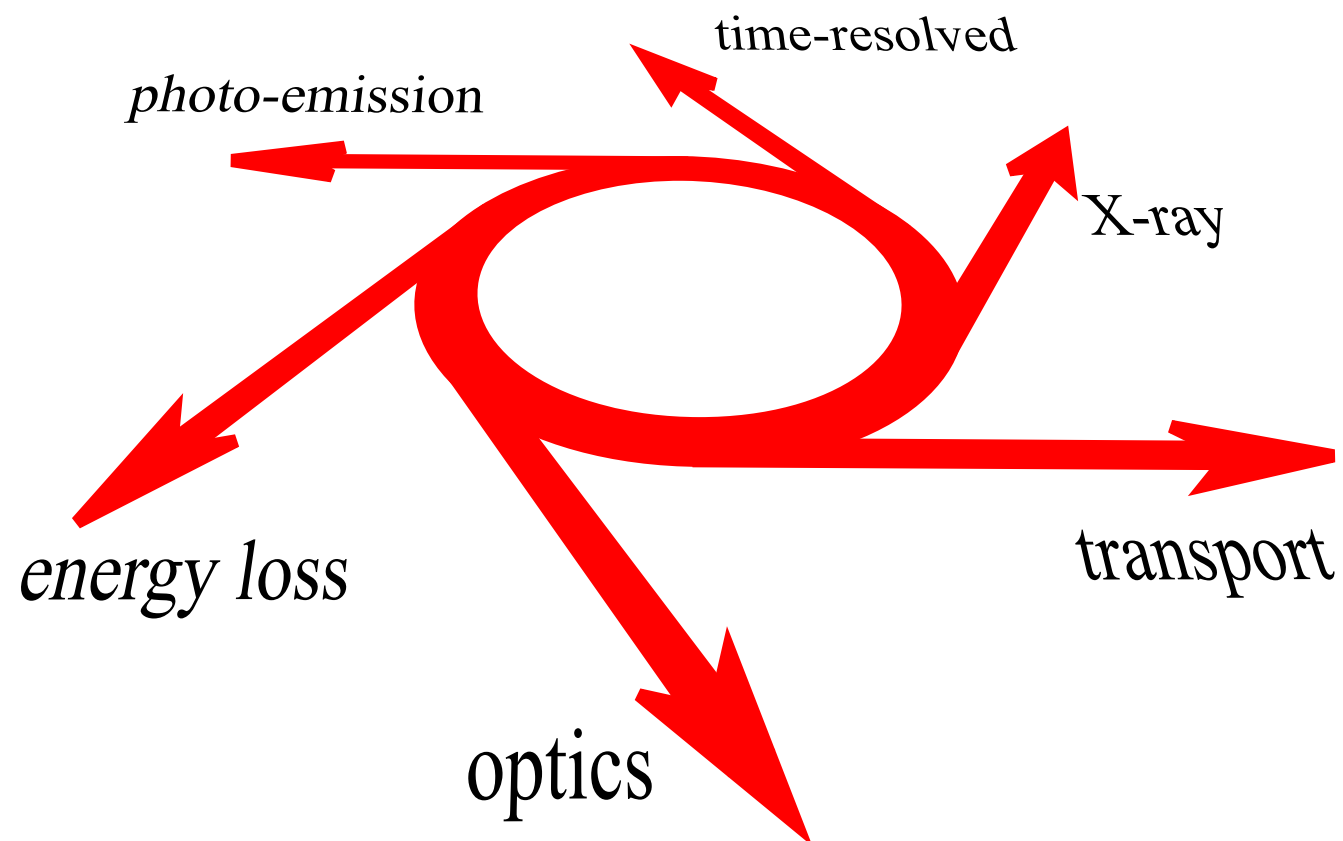




European Theoretical Spectroscopy Facility



<http://www.etsf.eu/>



# Outline

- **Exciton Dispersion**

Analysis and Predictions

- **Visualization of orbitals**

Visualization and Proposition

- **Nanotubes from Graphene**

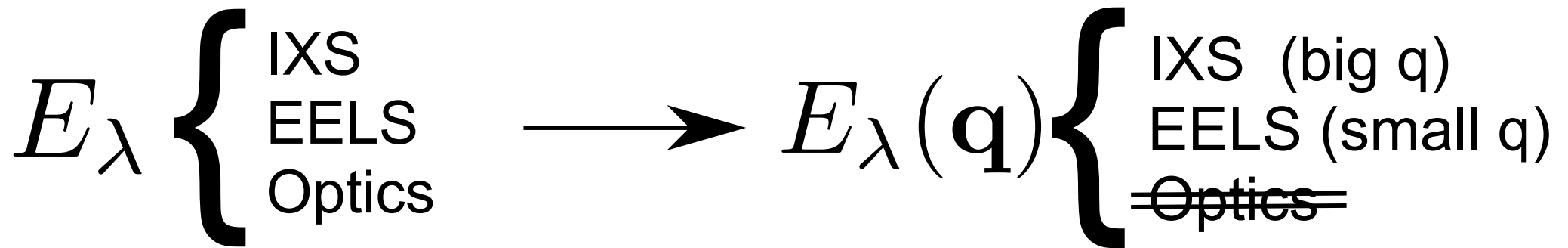
Tools and Tricks

- **EELS for Photoemission**

Exp. Complementarity

# <sup>4</sup> Towards Exciton Dynamics

First step :: exciton dispersion (with momentum transfer)



~~Wannier  
Frenkel  
Charge Transfer~~

Ab initio theory

# Time Dependent Density Functional Theory

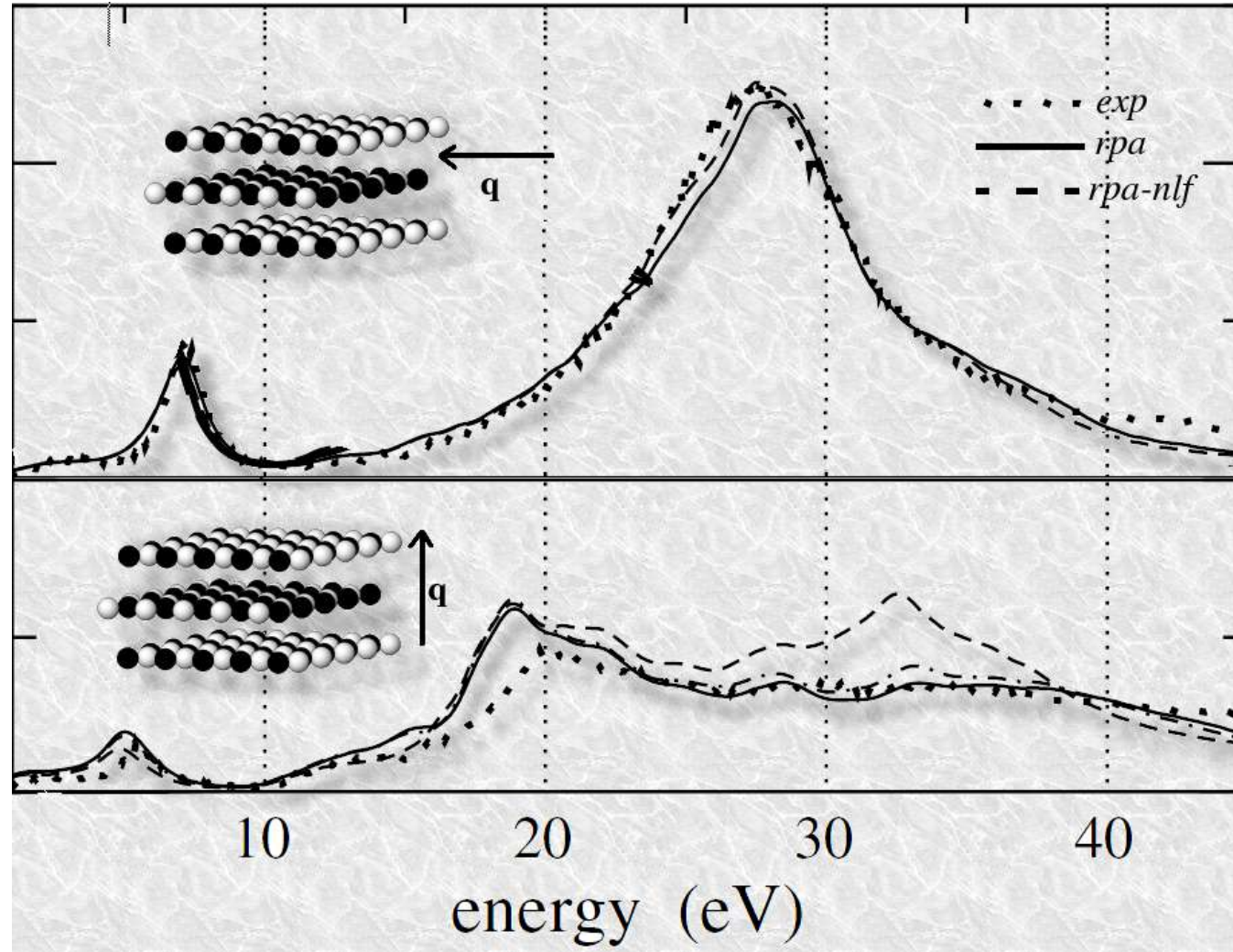
DFT + Linear response (q)

ground  
state

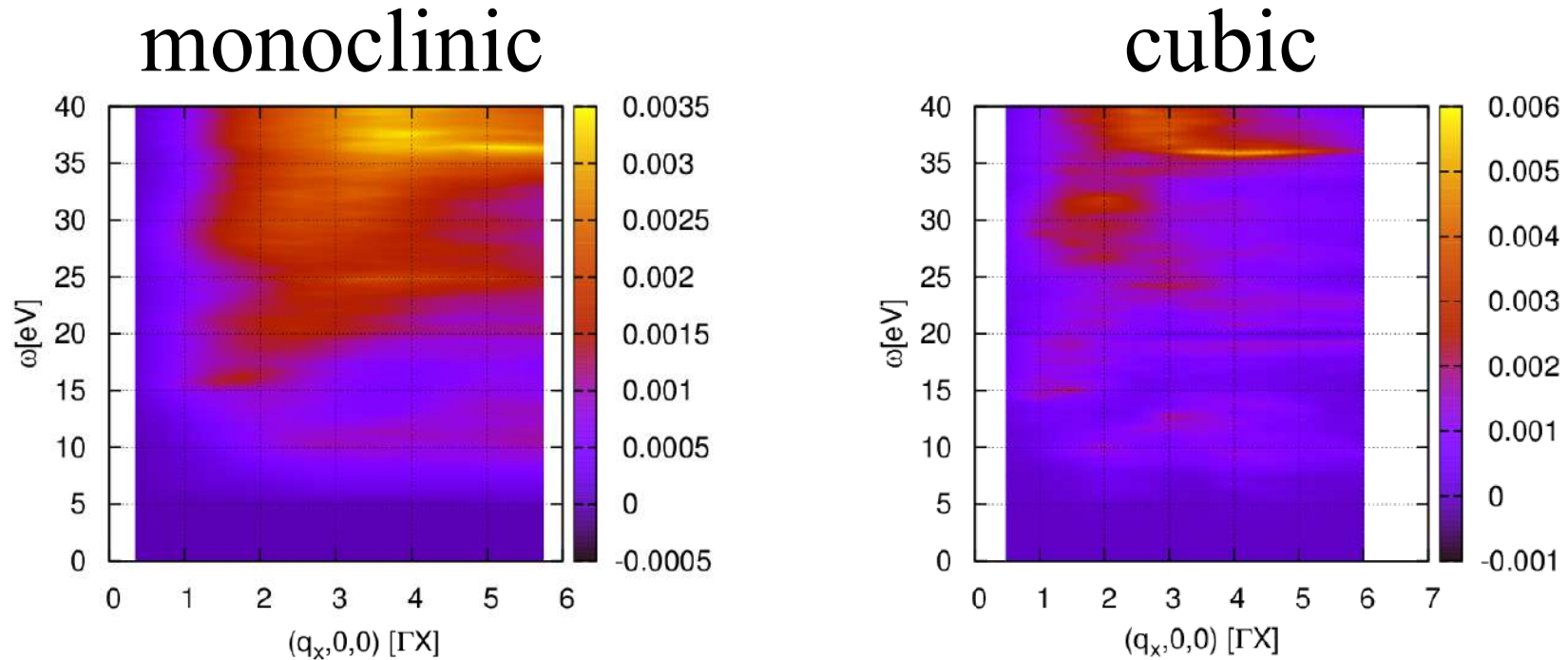
density fluctuations  
and local fields

$\epsilon$  { IXS EELS  
Optical Absorption  
Refraction index  
Photoemission spectroscopy

# $\sigma$ and $\pi$ Plasmons of Graphite

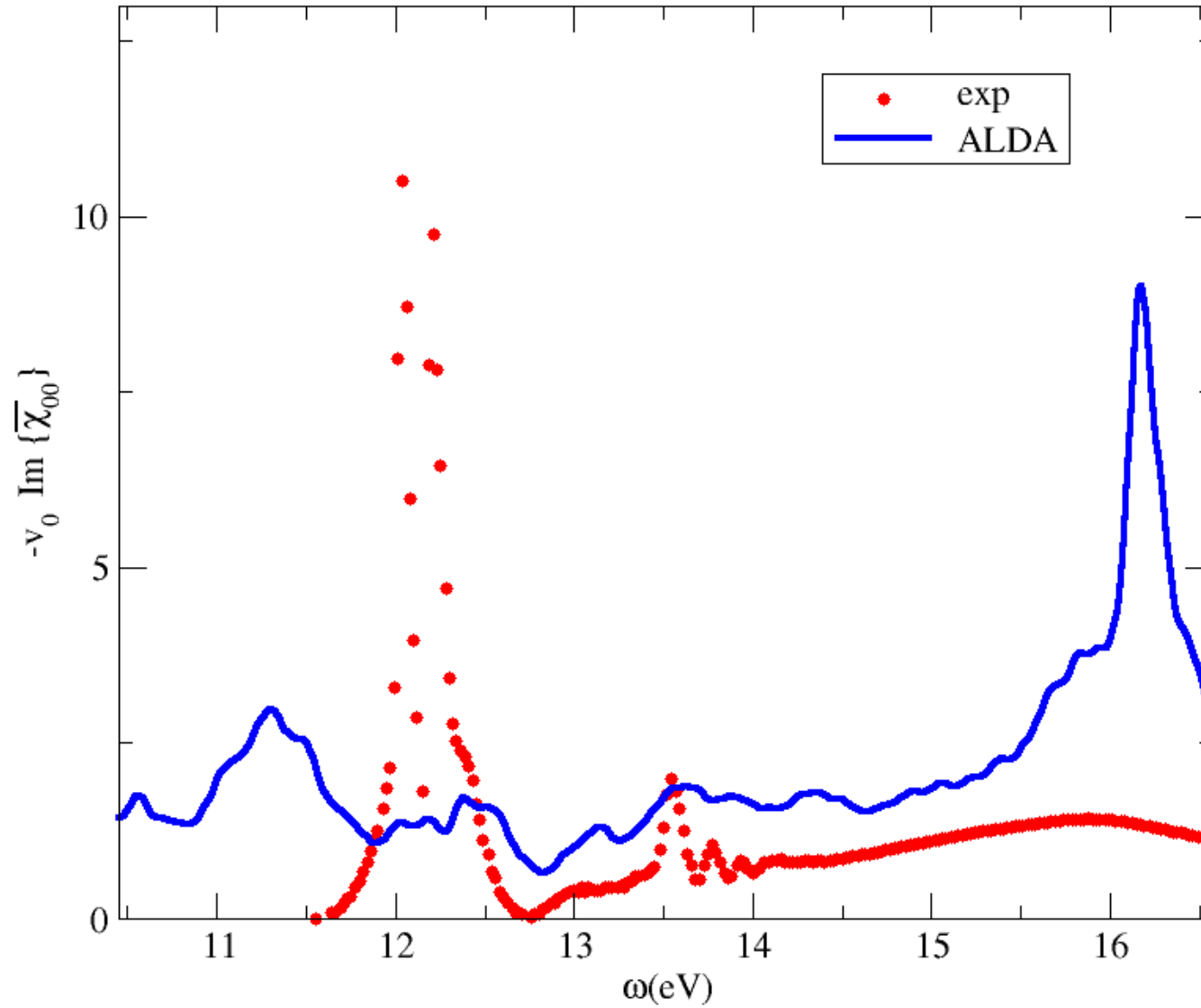


# Plasmon Dispersion of Hafnia



Collaboration with LETI-Grenoble  
See Cyril Guedj's talk

# Optical spectrum of Argon



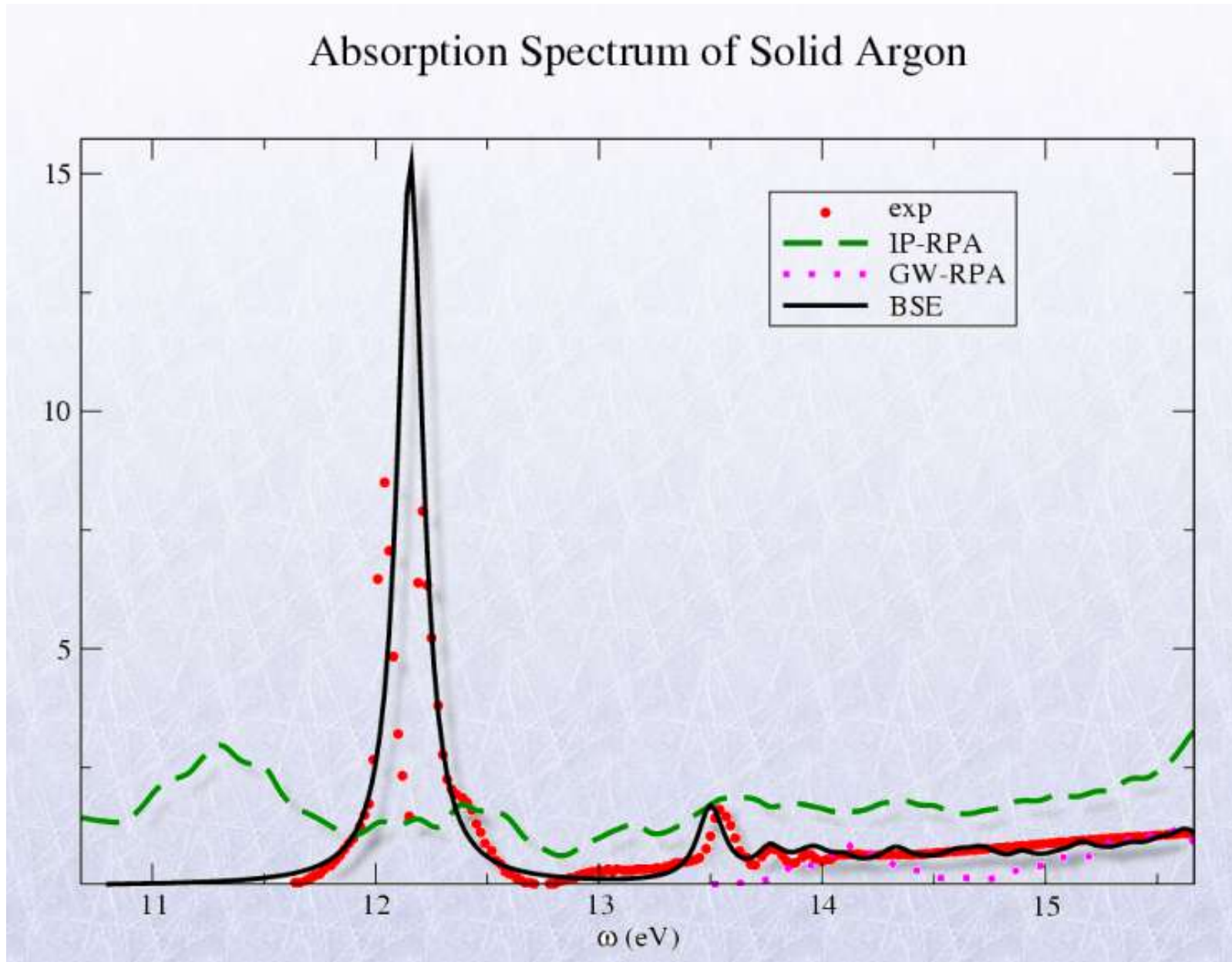


# Many Body Perturbation Theory

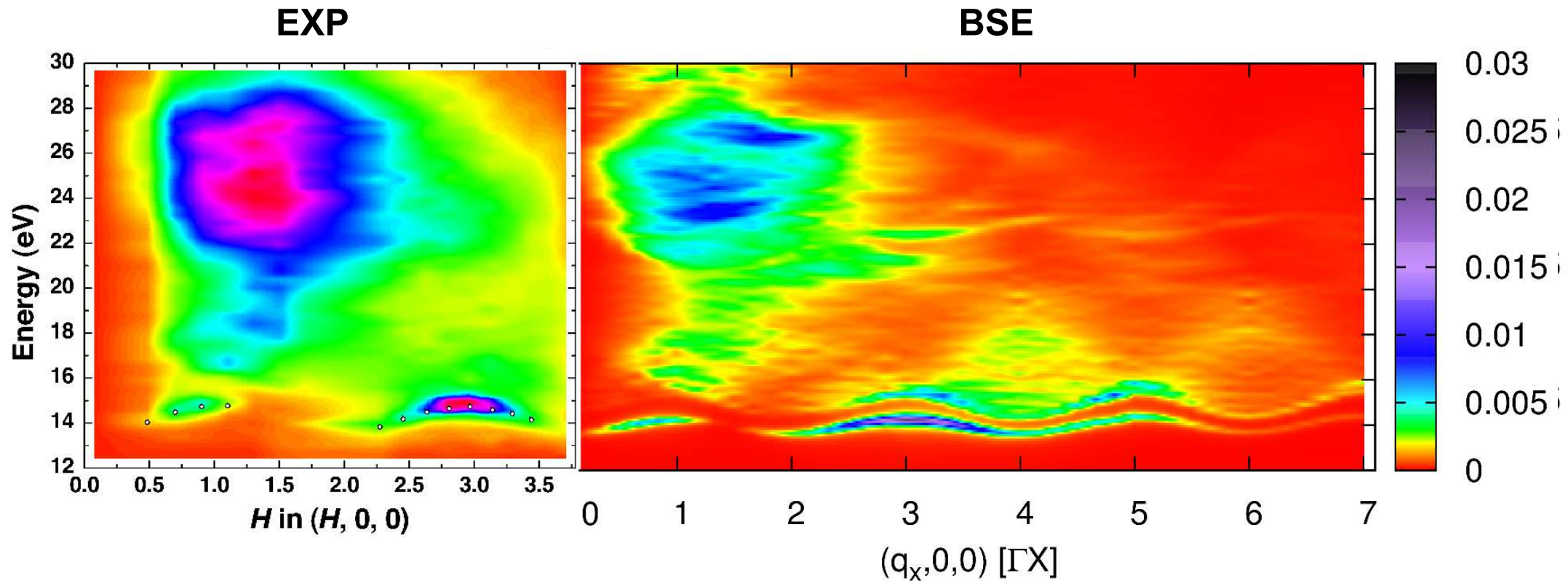
**DFT** + **GW** + **BSE (q)**  
ground state      electronic band-structure      e-h (excitonic) interaction


$\epsilon$  { IXS      EELS  
Optical Absorption  
Refraction index  
Photoemission spectroscopy

# Optical spectrum of Argon

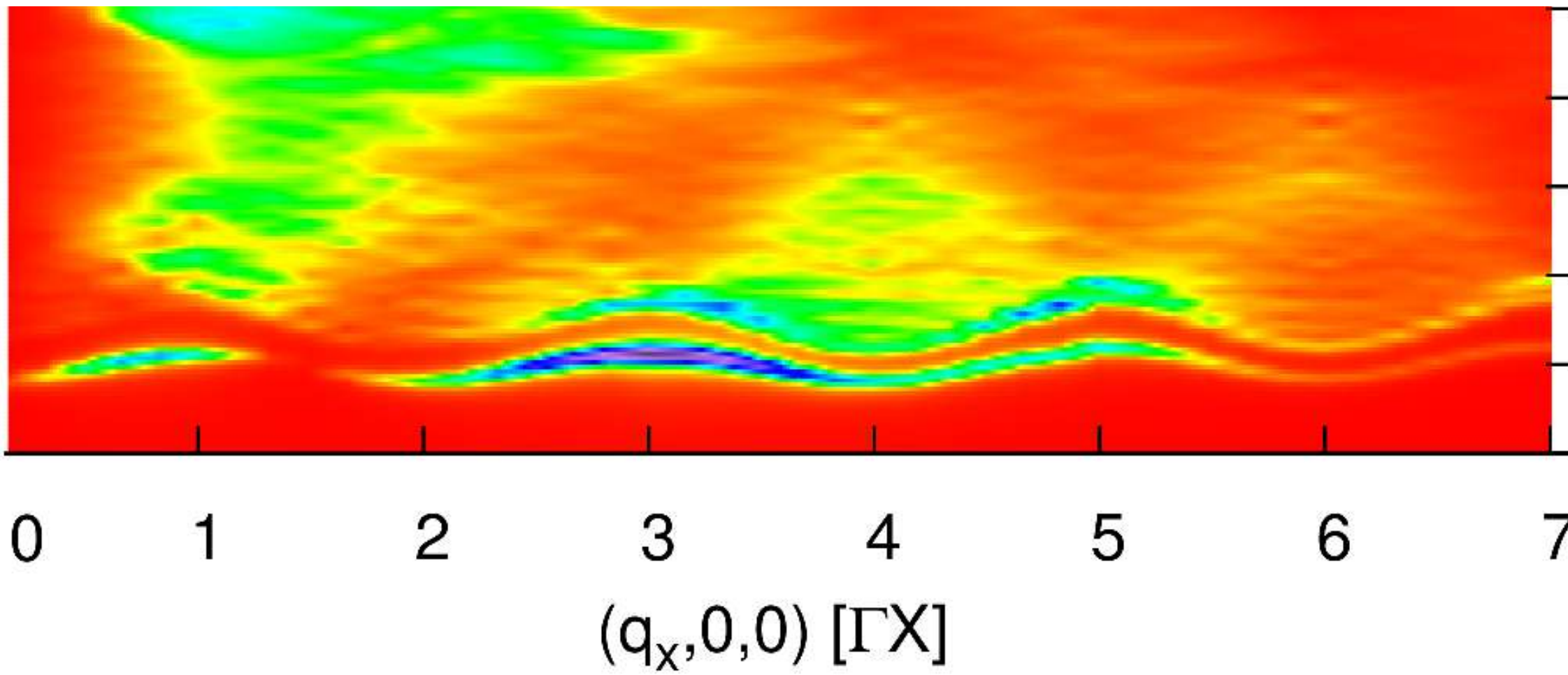


# Dynamical Structure factor of LiF



 P. Abbamonte et al. PNAS **105**, 12159 (2008);  
Chi-Cheng Lee et al. PRL **111**, 157401 (2013)

 M. Gatti and F. Sottile PRB **88**, 85425 (2013)

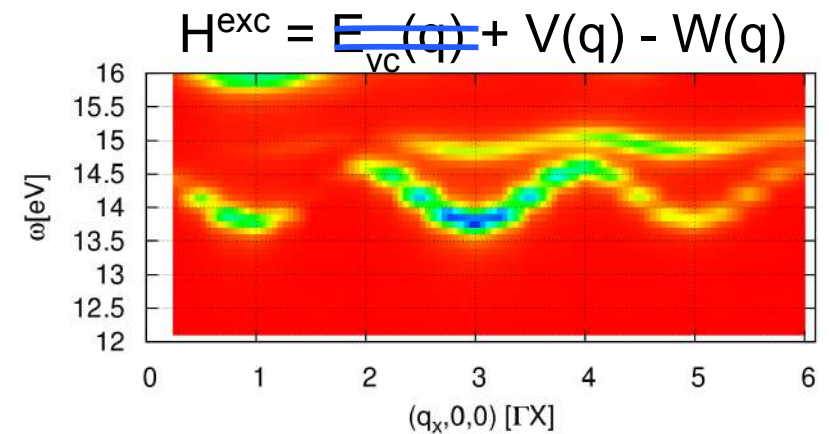
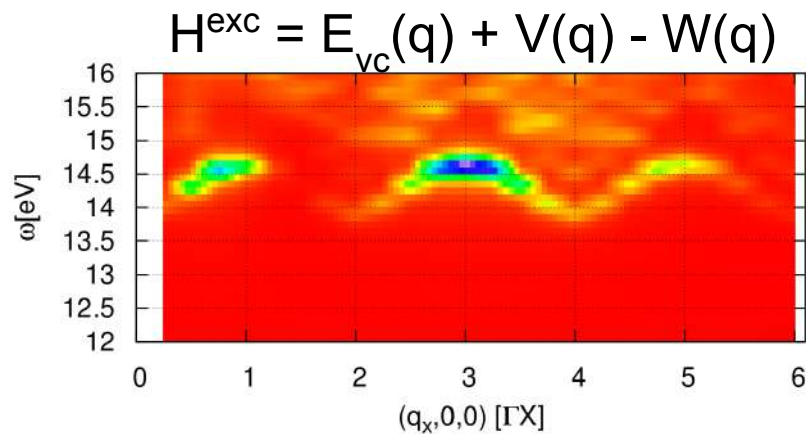


# Analysis of the exciton dispersion

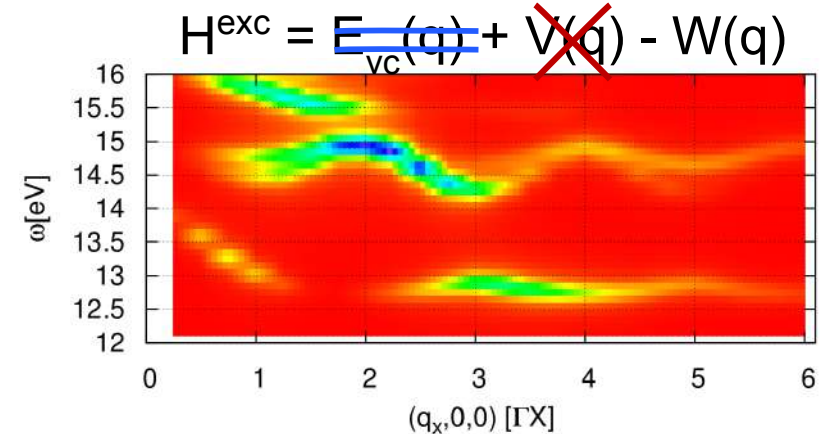
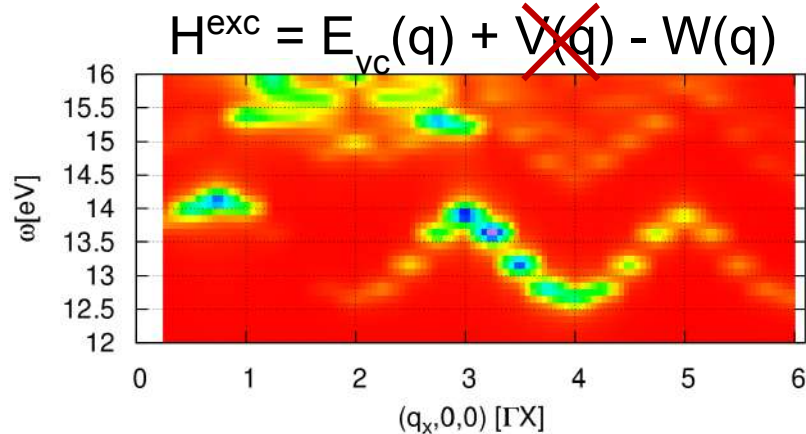
true bands

flat bands

singlet



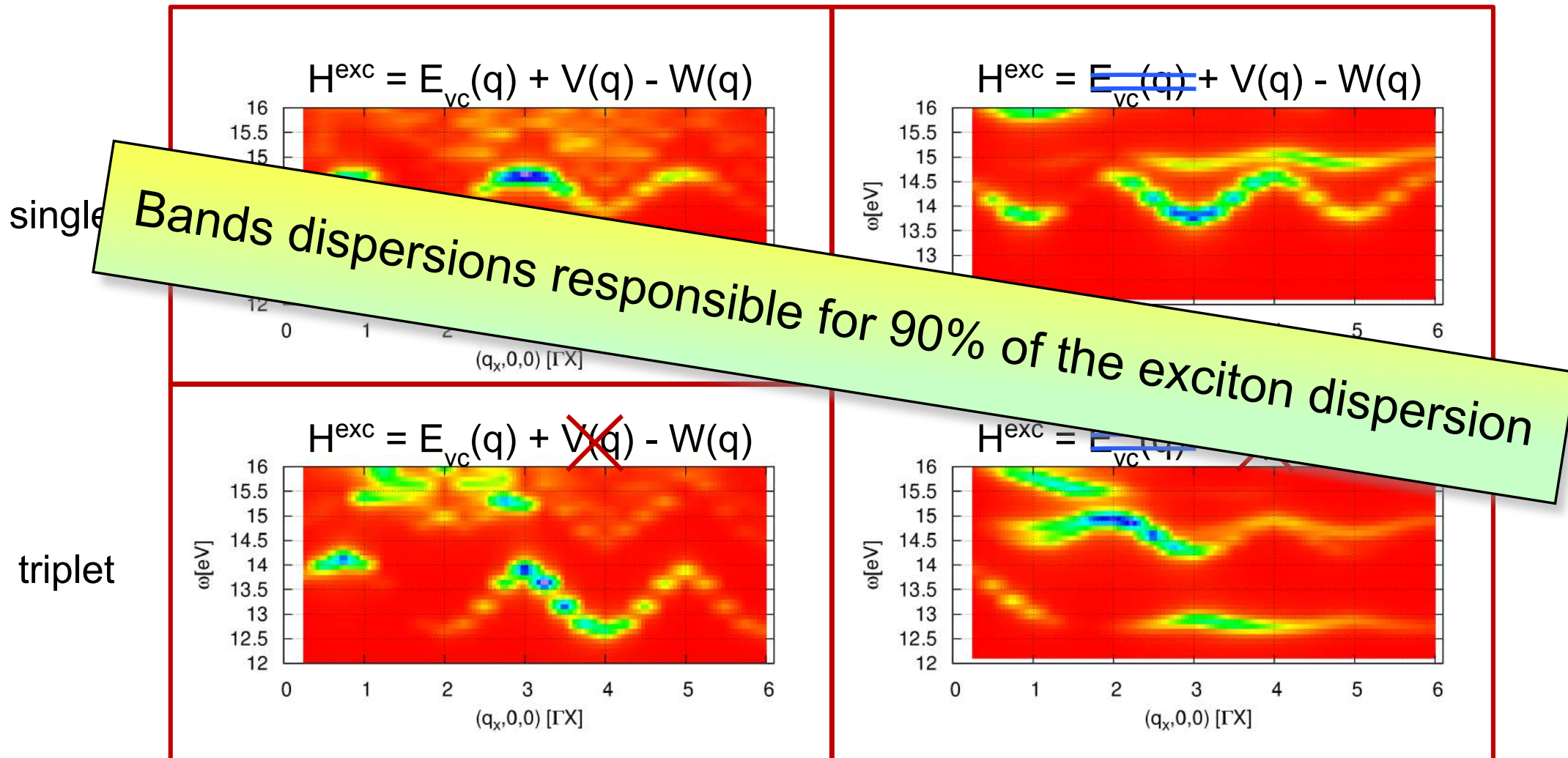
triplet



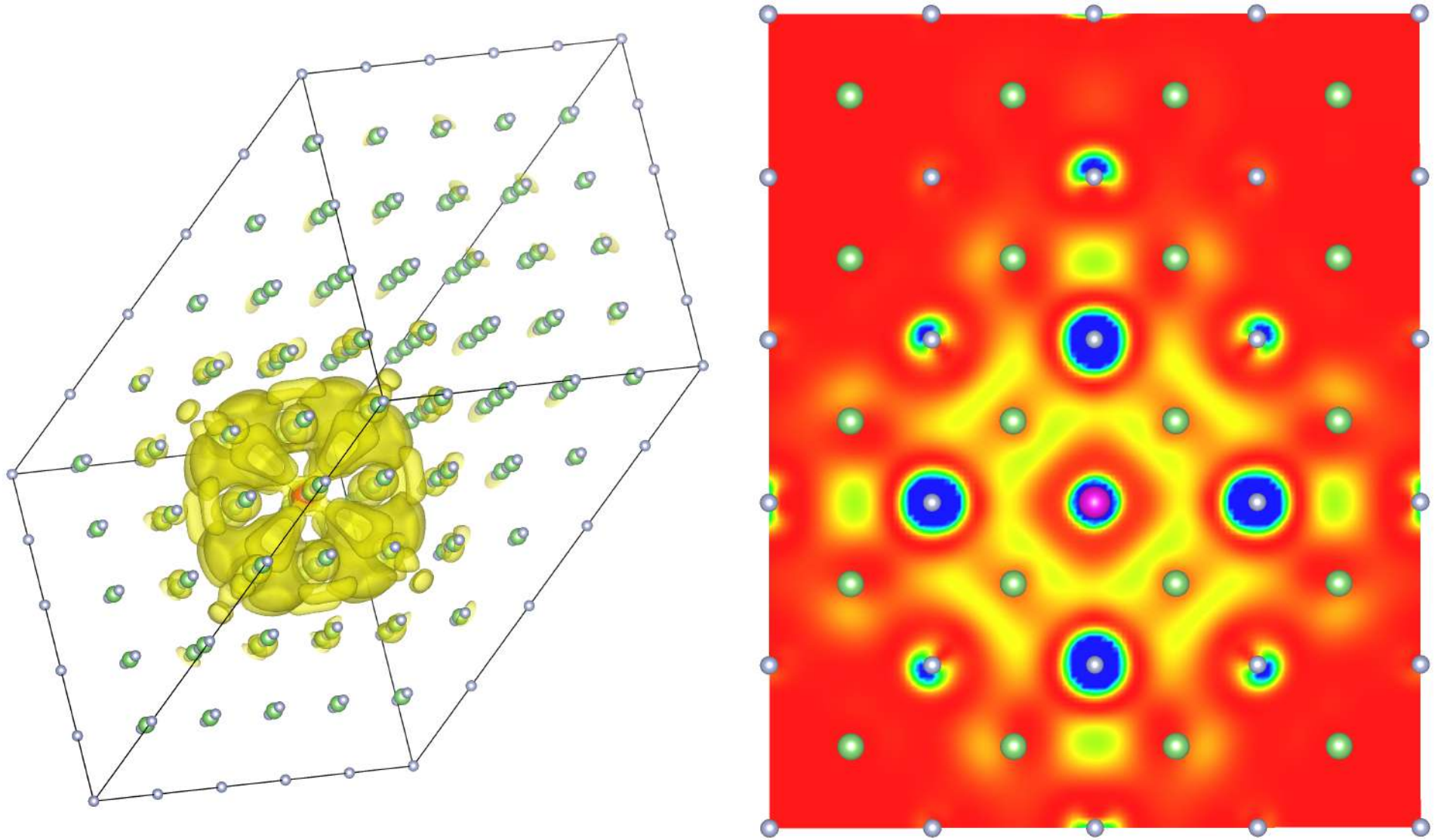
# Analysis of the exciton dispersion

true bands

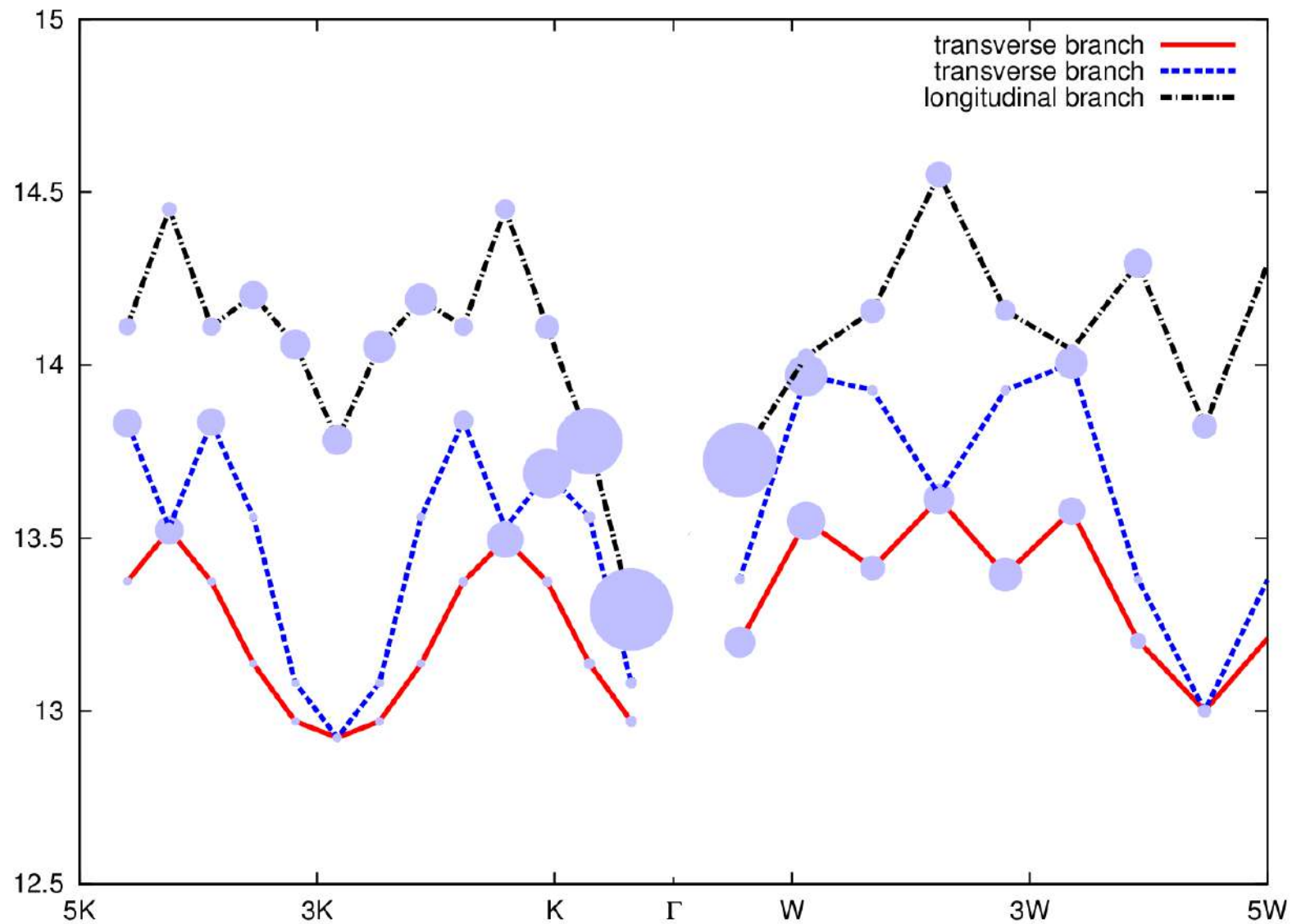
flat bands



# Excitonic wavefunction



# Excitonic bandstructure

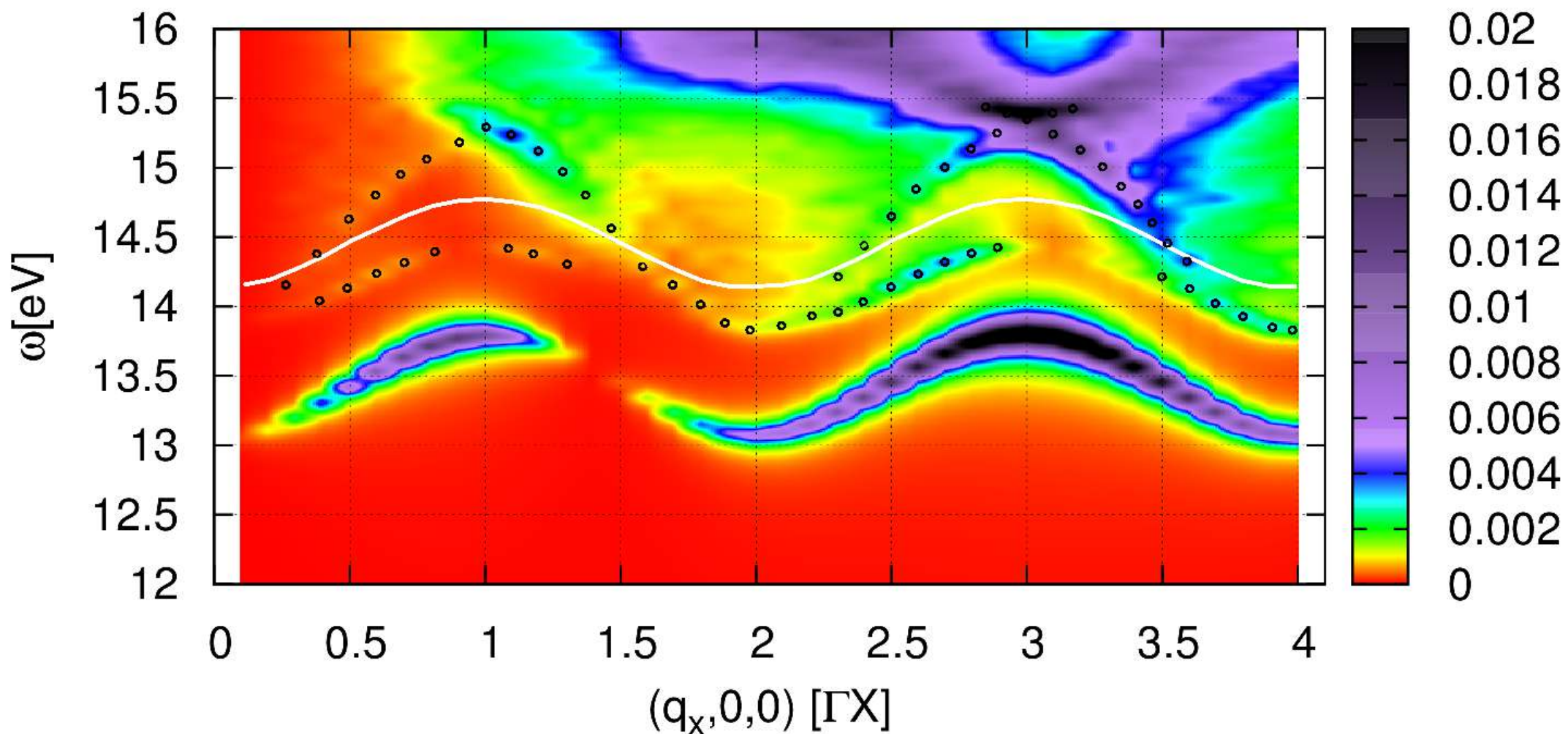




## **Analysis ...**

- mapping full momentum-energy dispersion
- analyse several features  
(localization, origin of the dispersion)
- full excitonic band-structure

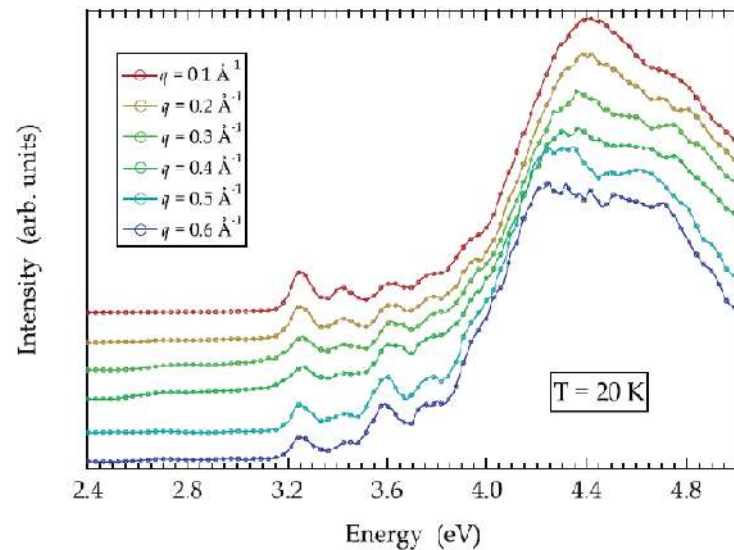
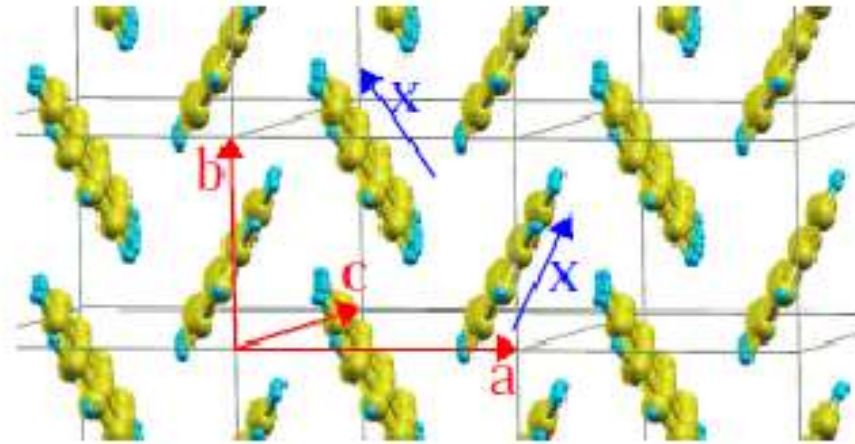
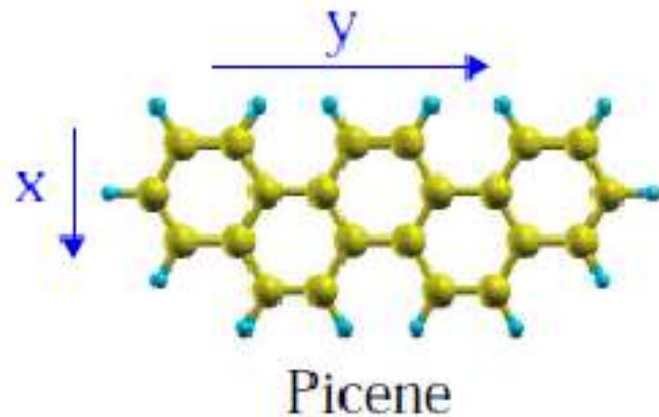
# Predictions for Solid Argon



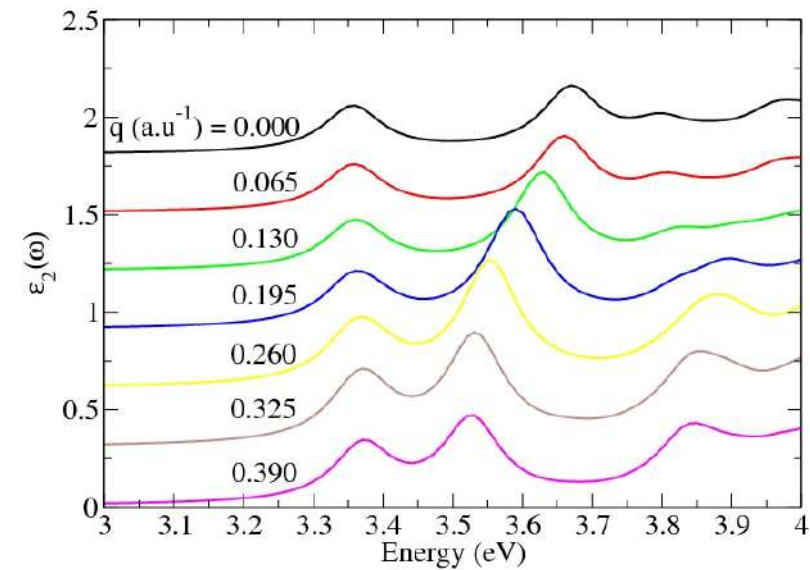
OK for big momentum transfer (with IXS)

What about for small  $q$  ? EELS ?

# The case of Molecular Solids



**EELS**



**BSE**

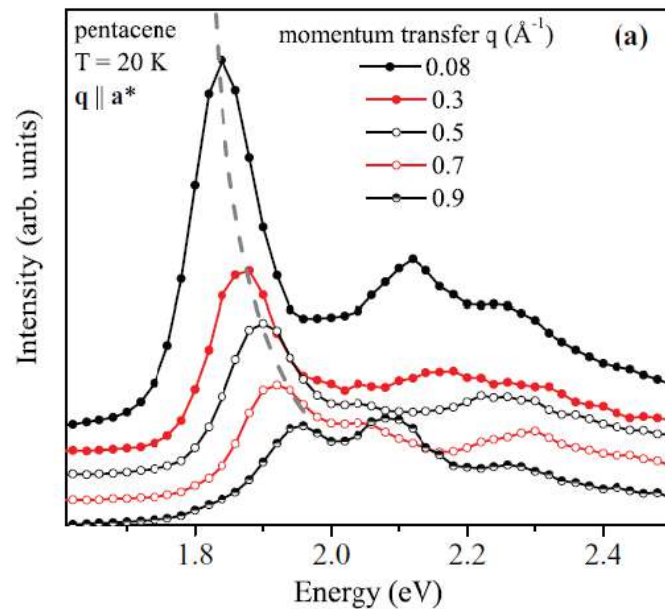
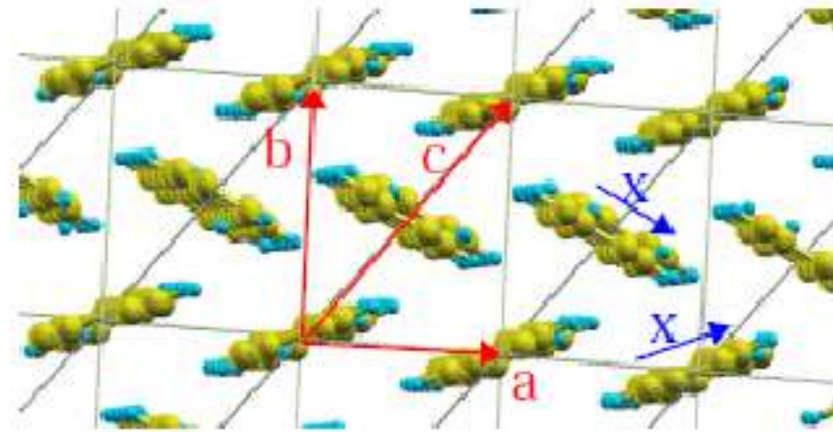
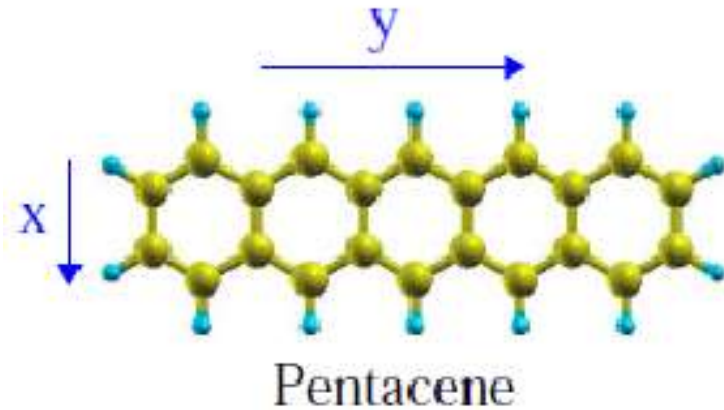


From F.Roth et al. PRB **83** (2011), JCP **136** (2012).

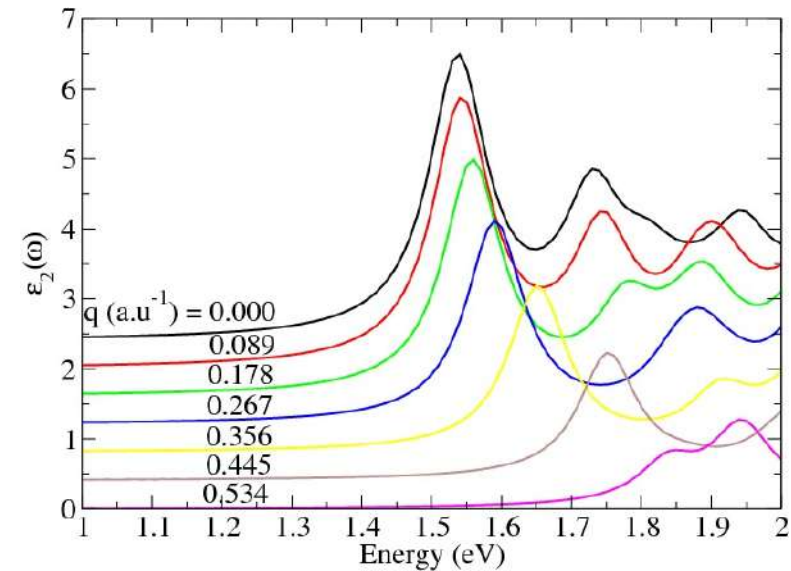


P. Cudazzo, M. Gatti, A. Rubio and F. Sottile, PRB **88**, 195152 (2013)

# The case of Molecular Solids



**EELS**



**BSE**

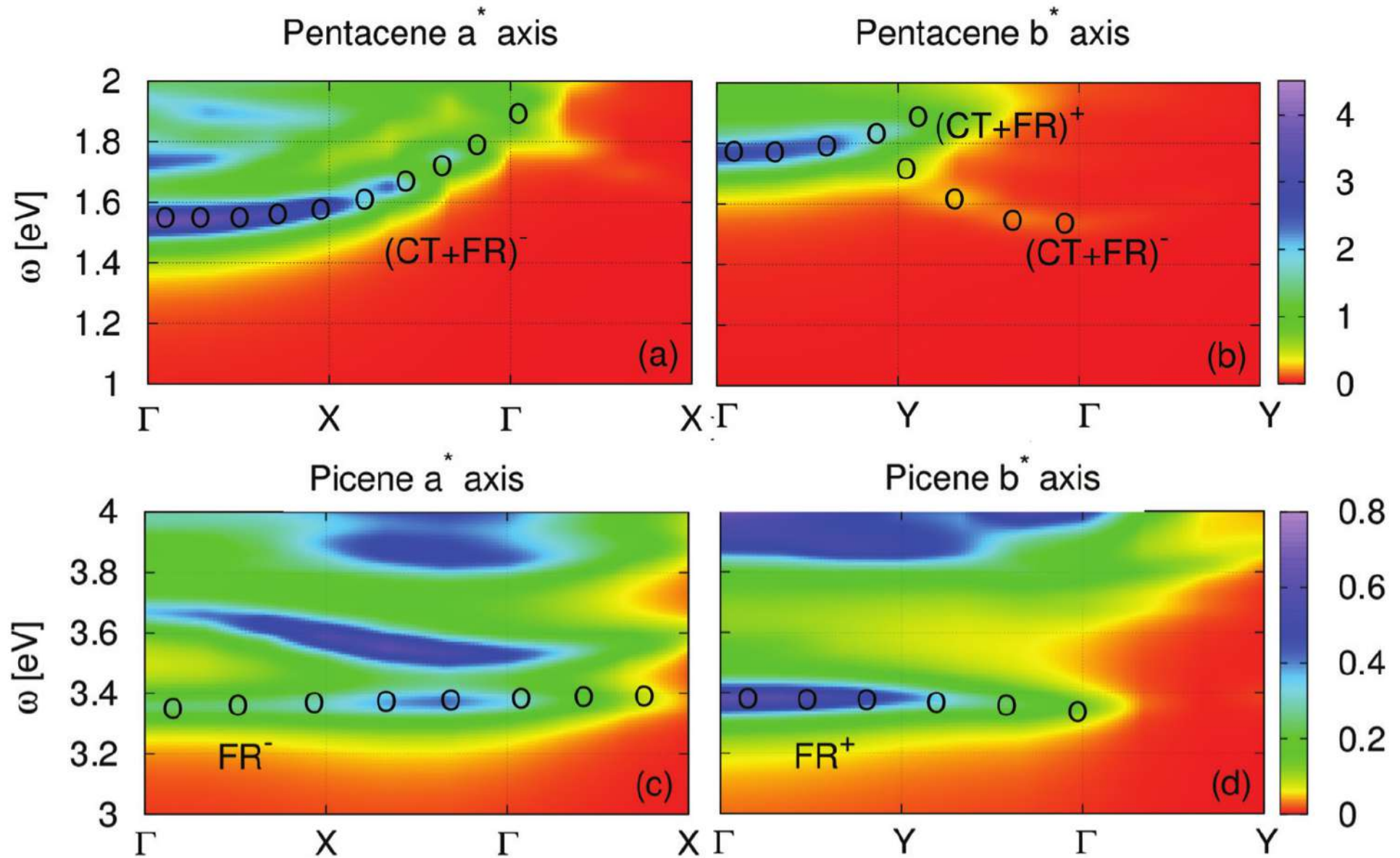


From F.Roth et al. PRB **83** (2011), JCP **136** (2012).

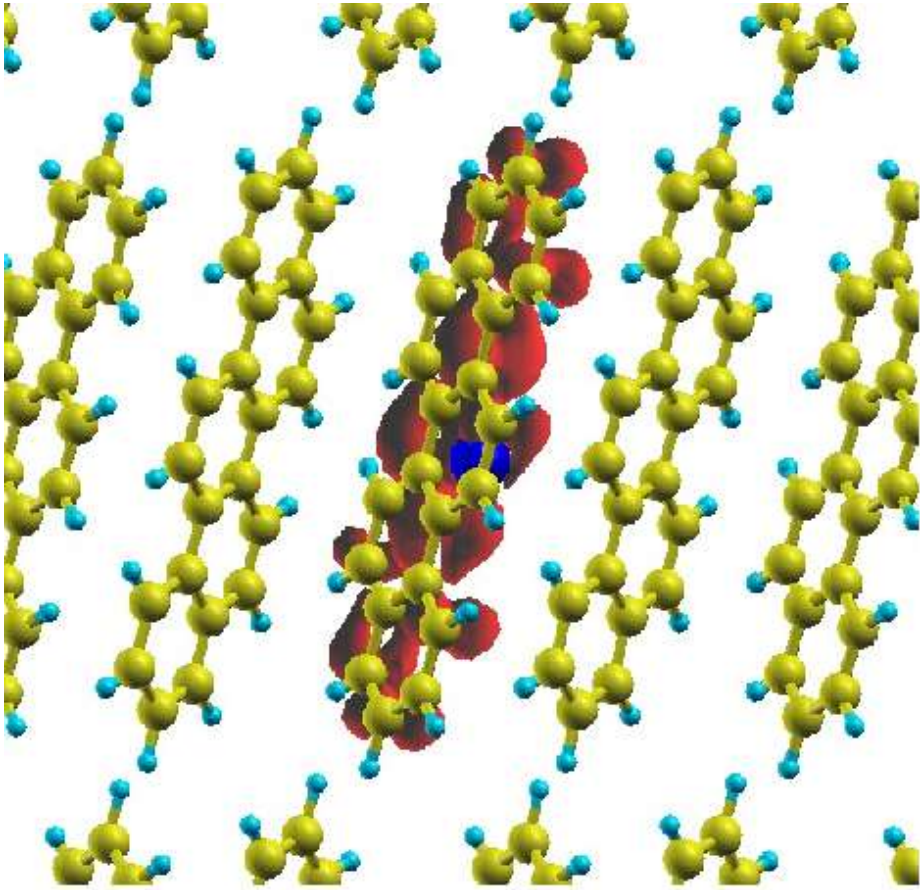


P. Cudazzo, M. Gatti, A. Rubio and F. Sottile, PRB **88**, 195152 (2013)

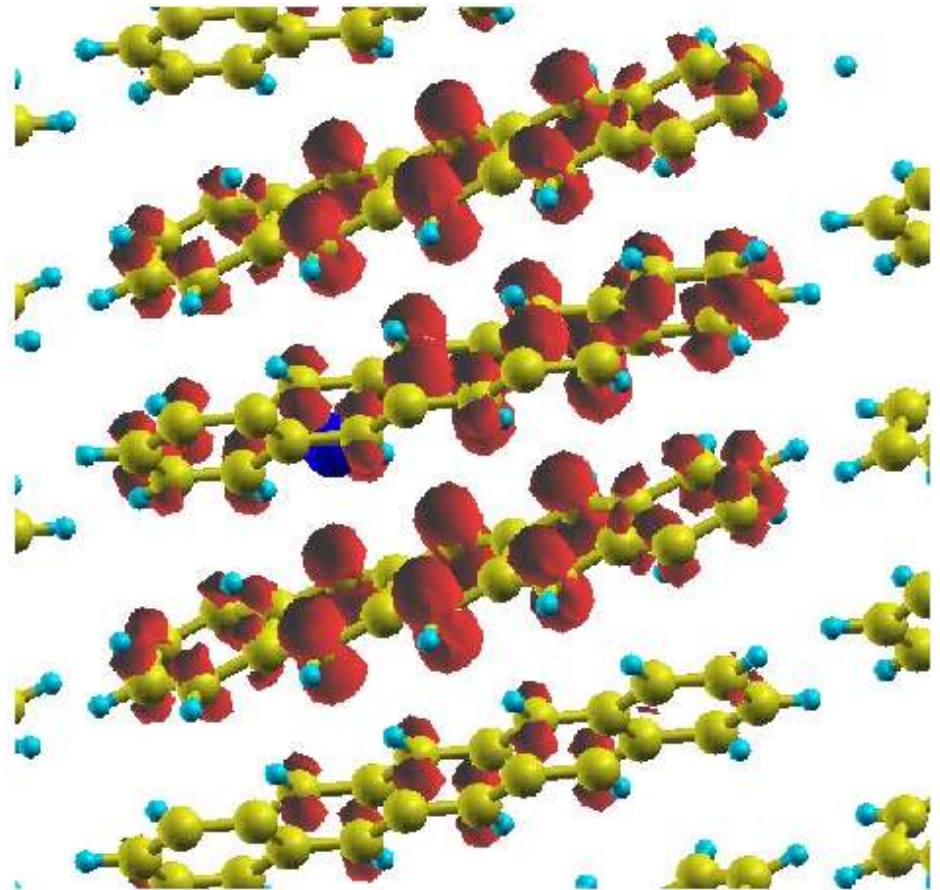
# The case of Molecular Solids



# The case of Molecular Solids

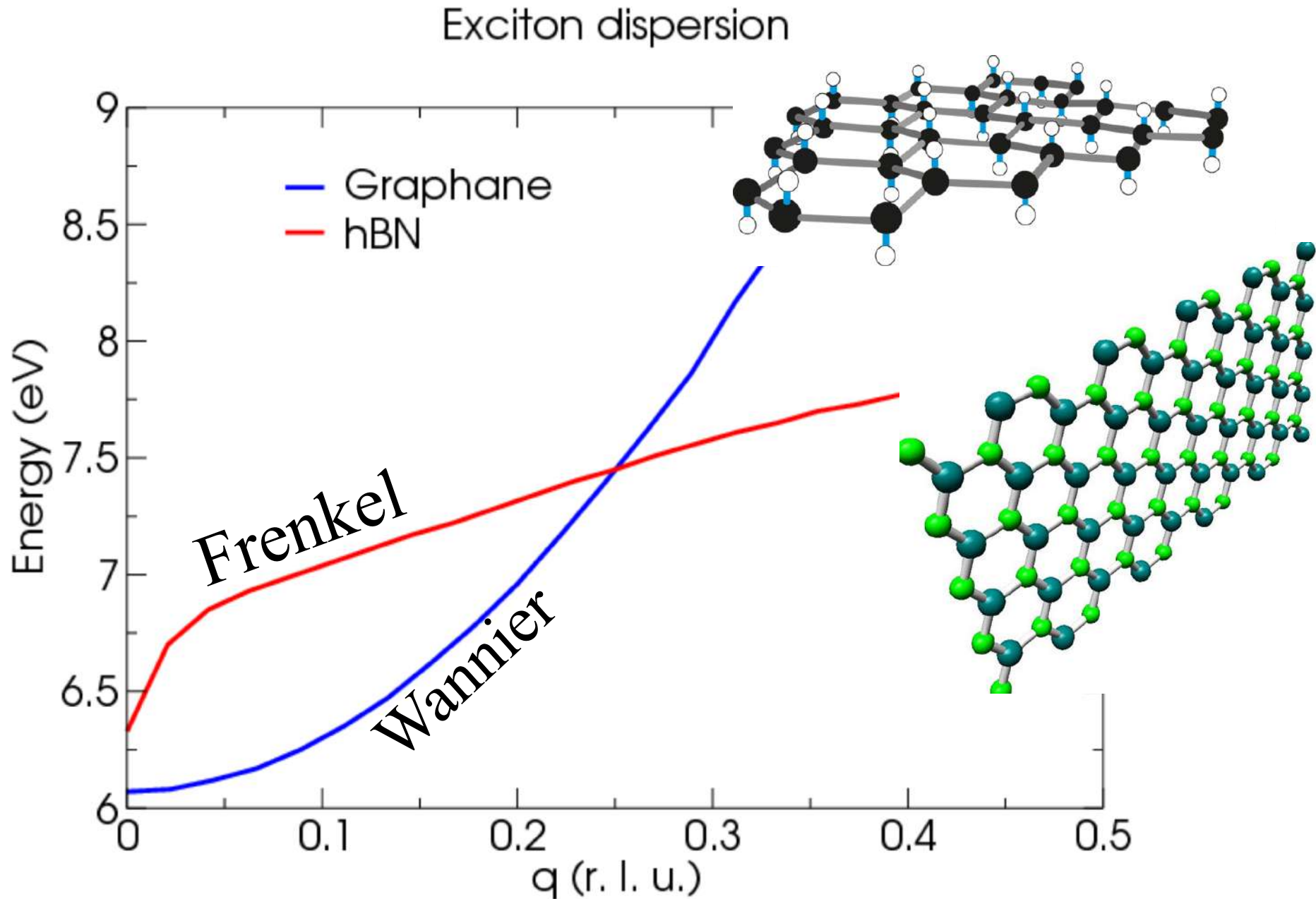


Picene



Pentacene

# Theoretical (preliminar) predictions of 2D exciton dispersion



Ongoing with Giorgia Fugallo and Pierluigi Cudazzo

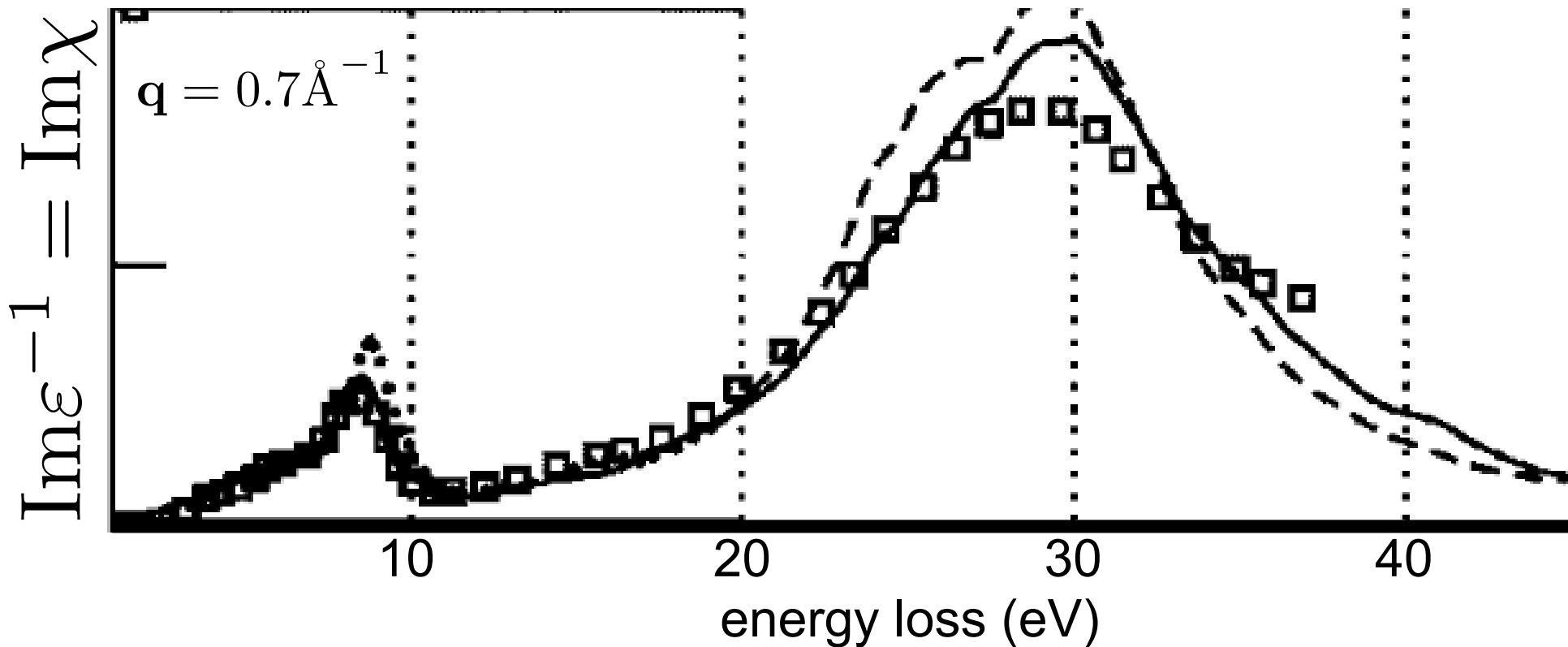


# Outline

- **Exciton Dispersion** Analysis and Predictions
- **Visualization of orbitals** Visualization and Proposition
- **Nanotubes from Graphene** Tools and Tricks
- **EELS for Photoemission** Exp. Complementarity

# Visualization of Plasmons

Graphite



 U.Büchner, Phys. Status Solidi B 81, 227 (1977).

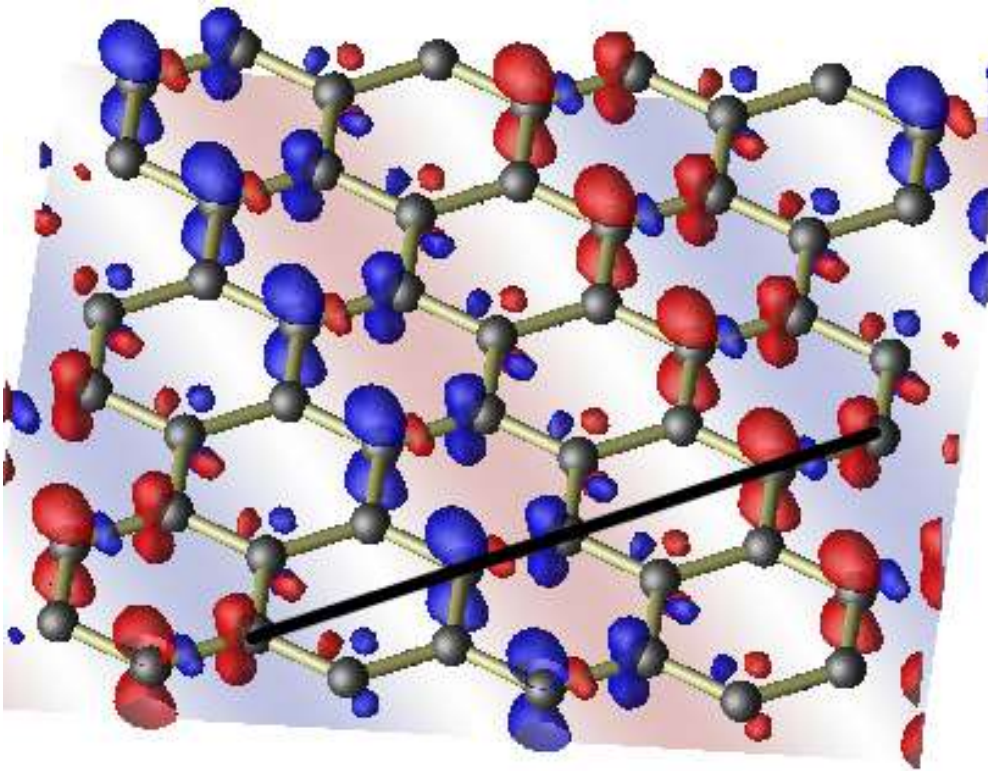
# Visualization of Plasmons

$$\rho_{\text{ind}} = \chi V_{\text{ext}}$$

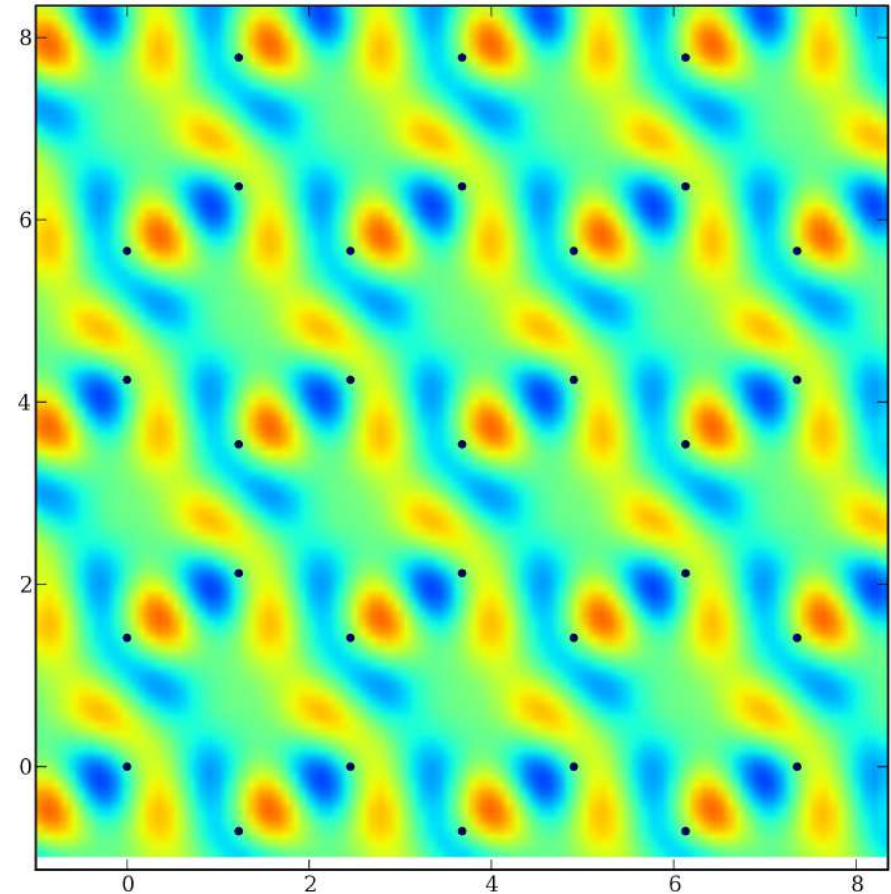
$$V_{\text{ext}} = e^{i(\mathbf{q} \cdot \mathbf{r} - \omega t)}$$

# Visualization of Plasmons

## $\pi$ Plasmon



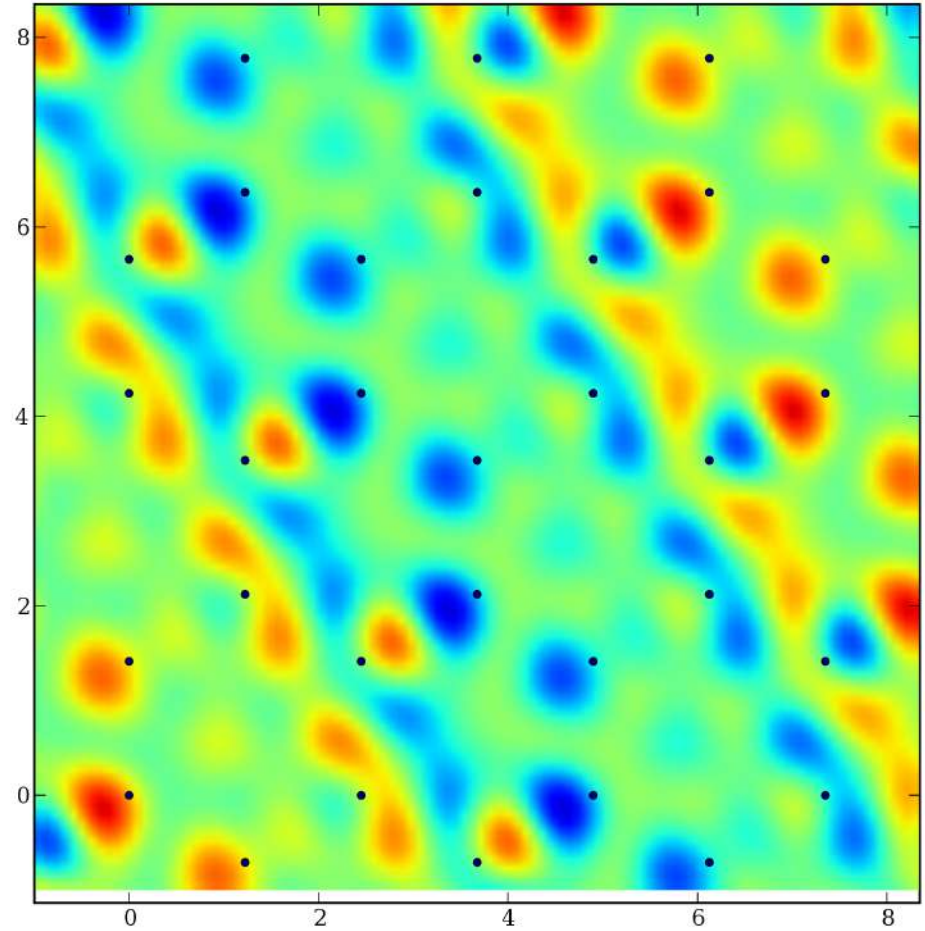
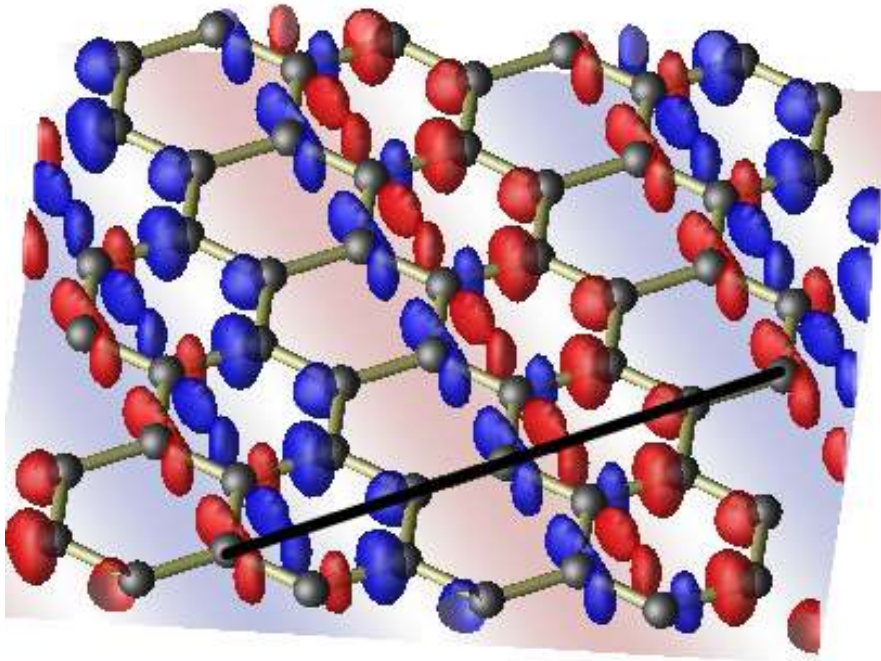
$$q = 0.7 \text{ \AA}^{-1} \quad \omega = 9 \text{ eV}$$



R. Hambach (PhD Thesis). G. Pegolotti (Master Thesis)

# Visualization of Plasmons

## $\pi+\sigma$ Plasmon

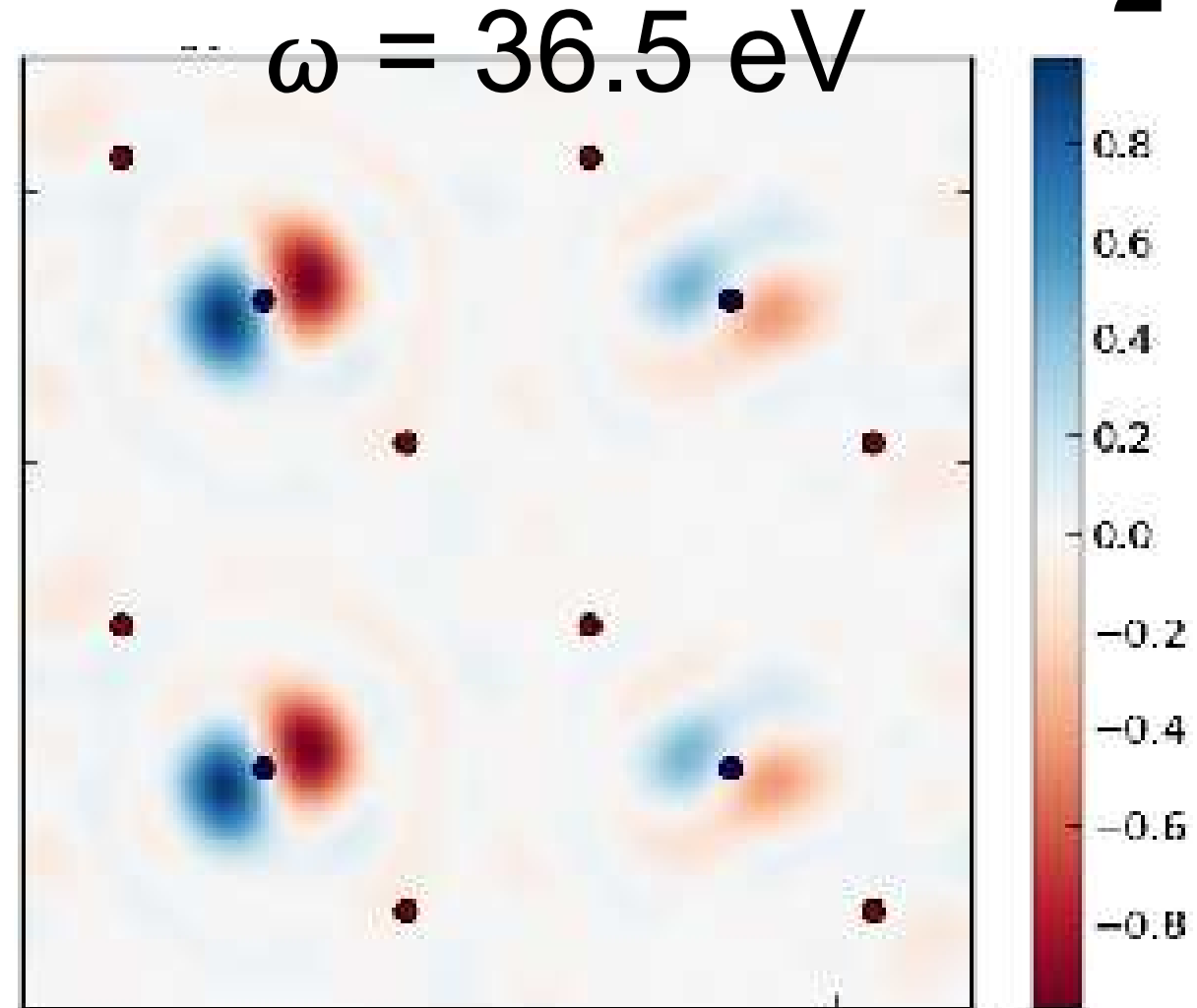
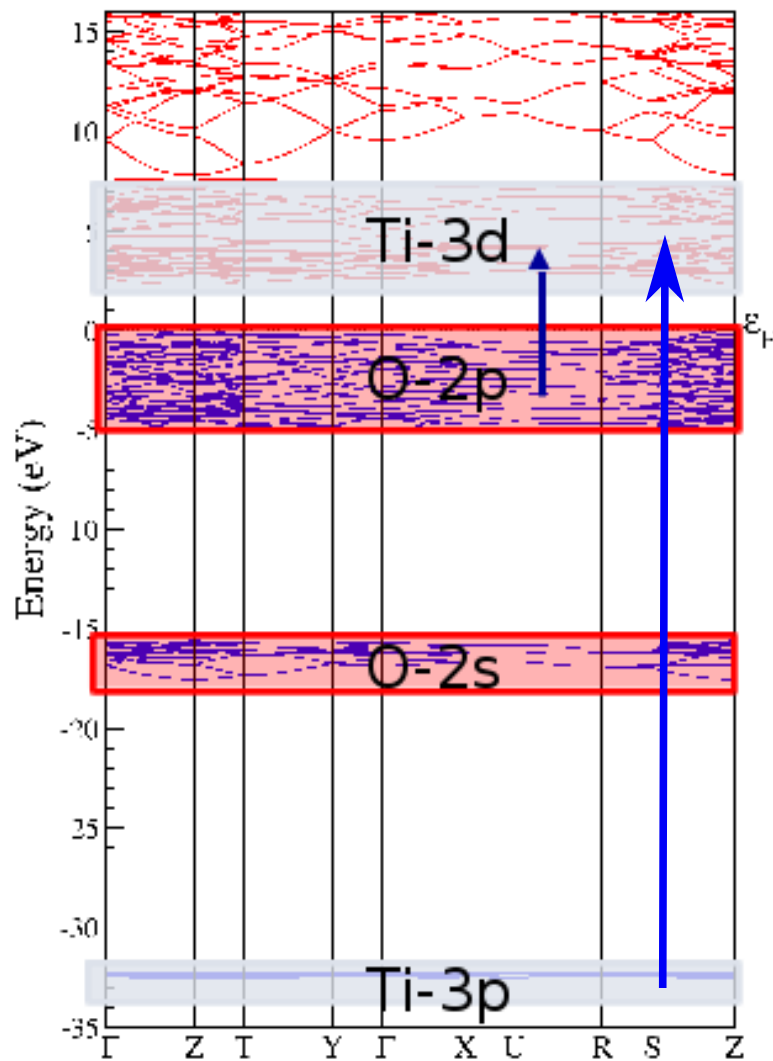


$$\mathbf{q} = 0.7 \text{\AA}^{-1} \quad \omega = 30 \text{ eV}$$



R. Hambach (PhD Thesis). G. Pegolotti (Master Thesis)

# Visualization of Orbitals :: $\text{TiO}_2$



L. Hung, C. Roedl, R. Hambach, L. Reining, FS (to be submitted)

# Proposition :: non-diagonal Response

$$\rho_{\text{ind}}^{\mathbf{q}}(\mathbf{r}) = \sum_{\mathbf{G}} \chi_{\mathbf{G}\mathbf{G}_0} e^{i(\mathbf{q}\cdot\mathbf{r} - \omega t)}$$

Interference of two plane-waves on the EELS detector,  
using Bragg diffraction, to measure  $\varepsilon^{-1}(\mathbf{q}, \mathbf{q} + \mathbf{G})$

Ongoing :: non-diagonal response of Silicon in  
collaboration with G.Monaco and S. Huotari

# Proposition :: non-diagonal Response

$$\rho_{\text{ind}}^{\mathbf{q}}(\mathbf{r}) = \sum_{\mathbf{G}} \chi_{\mathbf{G}\mathbf{G}_0} e^{i(\mathbf{q}\cdot\mathbf{r} - \omega t)}$$

Interference of two plane-waves on the EELS detector,  
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Measuring Circular Dichroism using STEM

See Xiaoxiao's poster.



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Visualization and Proposition

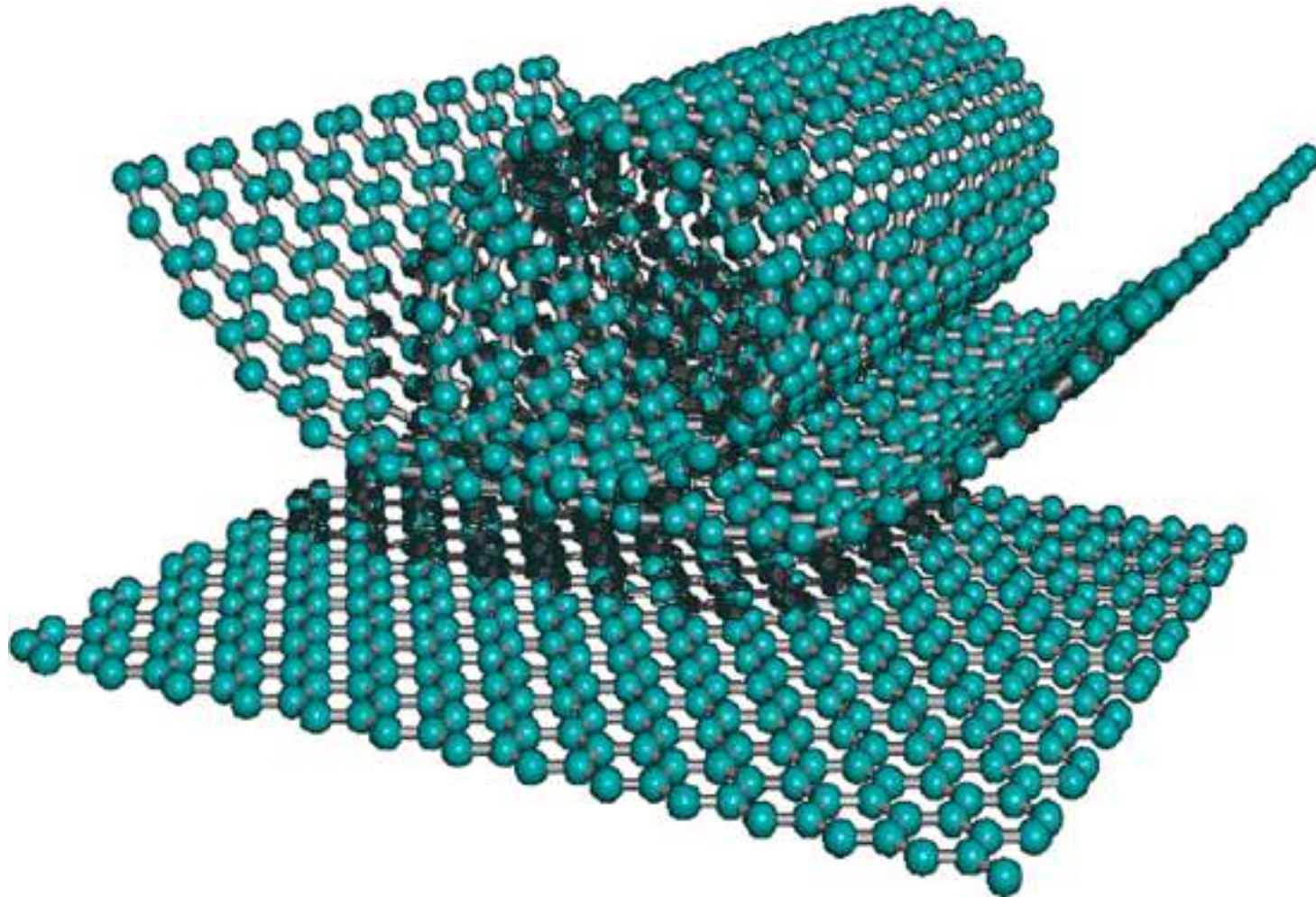
- **Nanotubes from Graphene**

Tools and Tricks

- **EELS for Photoemission**

Exp. Complementarity

# Nanotubes from Graphene

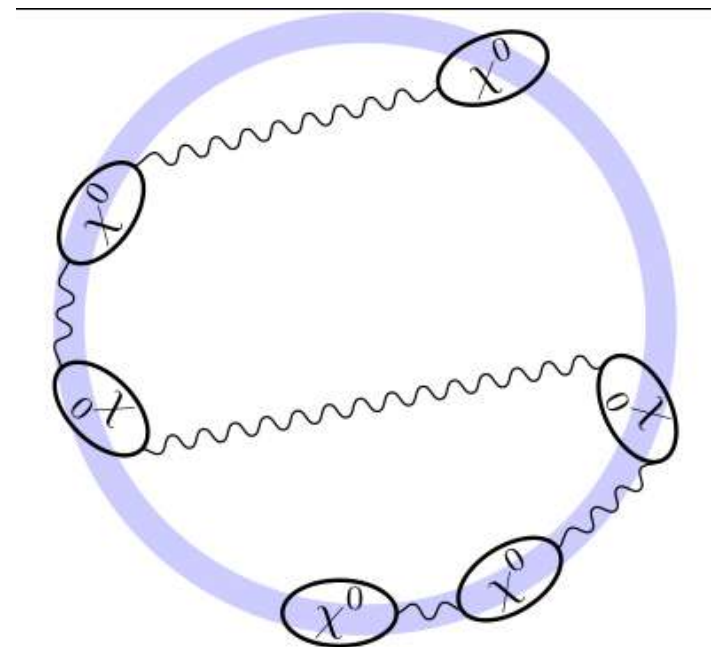
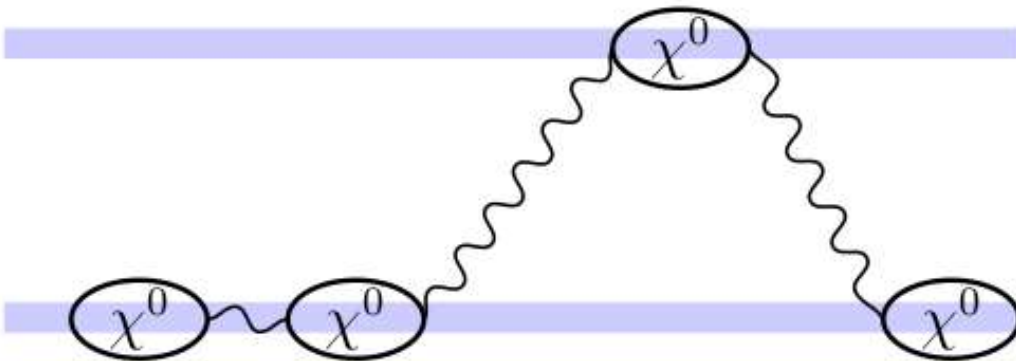


Can we *really* do that?

# Nanotubes from Graphene

$$\varepsilon^{-1} = 1 + v\chi \quad \chi = \chi^0 + \chi^0 v\chi$$

$\chi^0$  { cumbersome calculation  
local



# Zone Folding Method

real space: cylinder coordinates  $(\varrho, \varphi, z)$

$$\chi^0(\varrho, \varrho') \cdot \rho' \approx \chi_{\text{sheet}}^0(\mathbf{r}(\varrho), \mathbf{r}(\varrho')) \cdot R$$

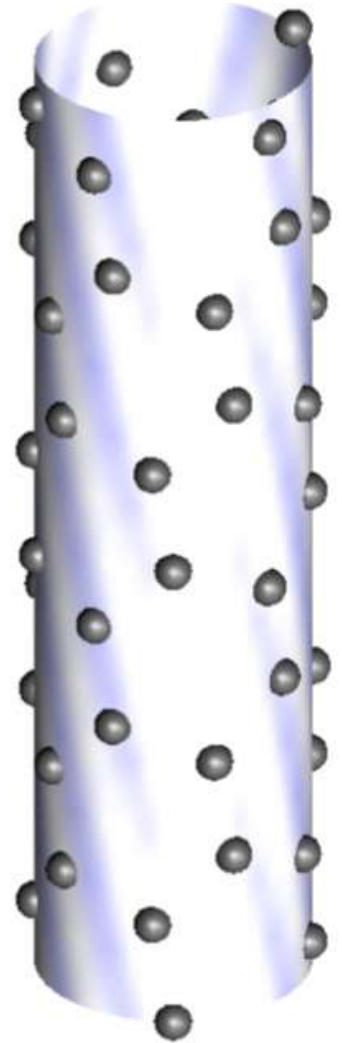
reciprocal space: helical momentum  $(m, p)$

$$\chi^0(mm'pp'; \varrho\varrho', \omega) \cdot \varrho' \approx \chi_{\text{sheet}}^0(q_x q'_x, q_y q'_y; zz', \omega)$$

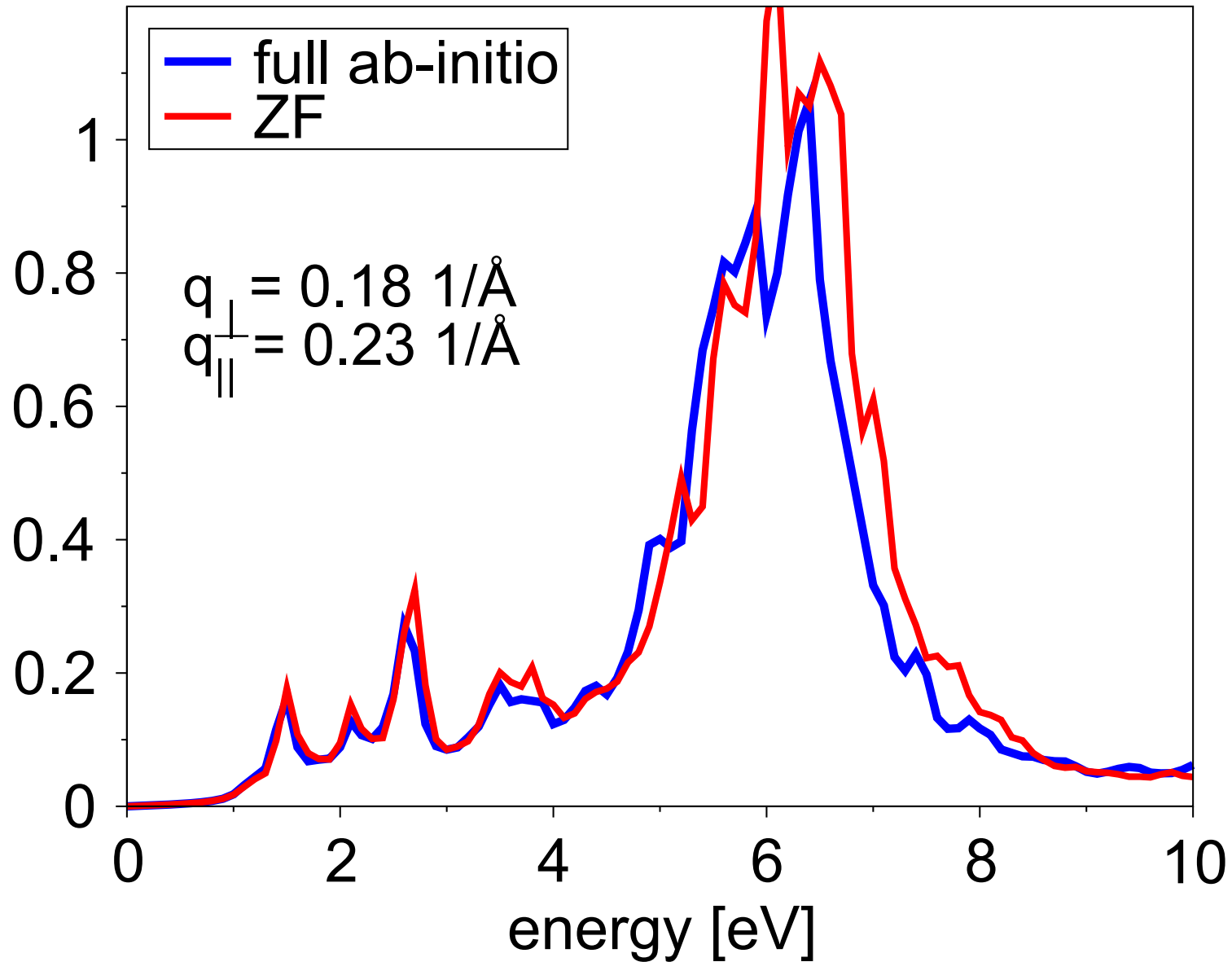
$m/R \leftrightarrow q_x$  azimuthal momentum

$p \leftrightarrow q_y$  on-axis momentum

$\varrho - R \leftrightarrow z$  radial position

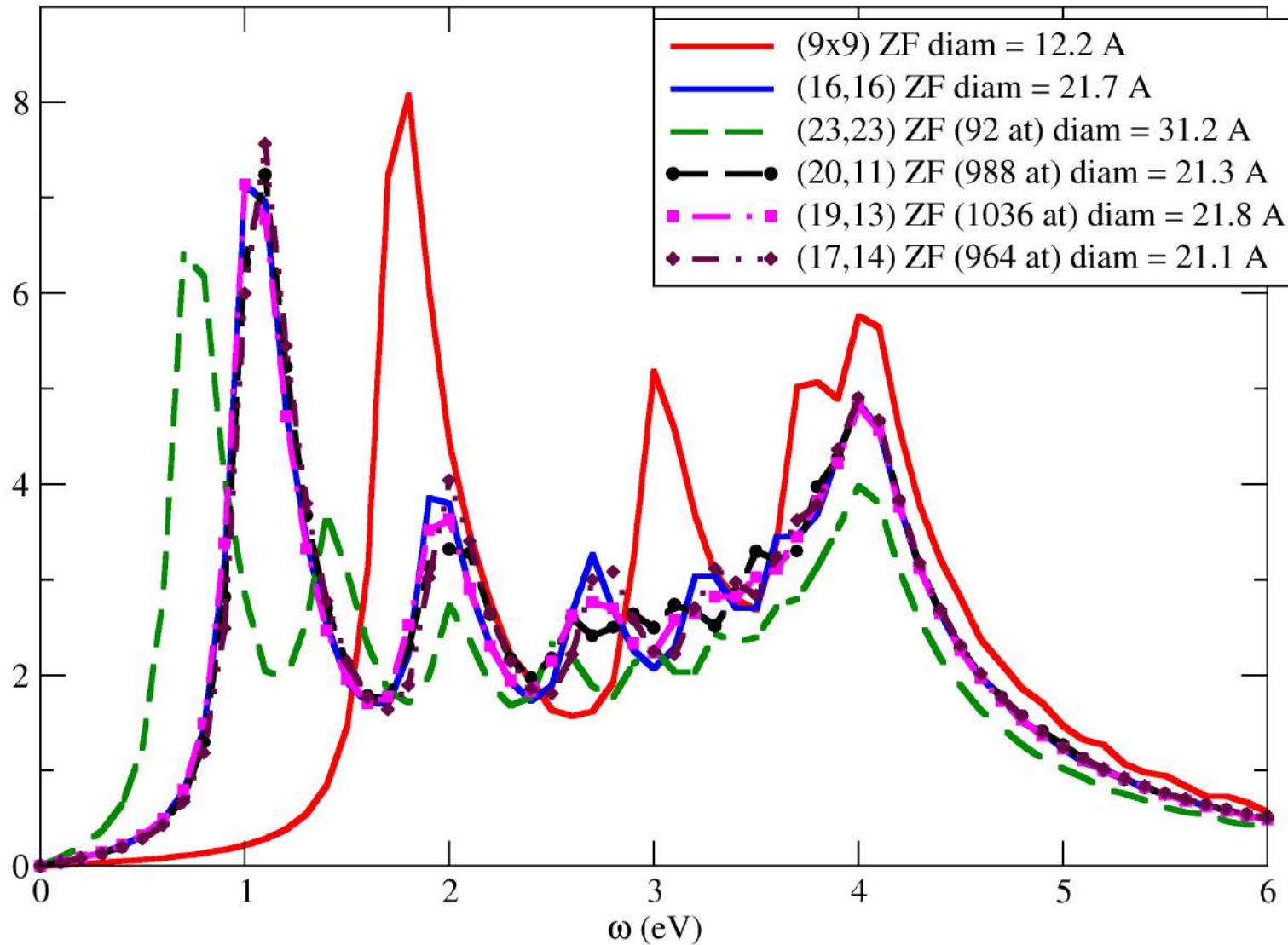


# Zone Folding Method



9x9  
SWCNT  
EELS

# Zone Folding Method



Prediction for bigger tubes: size matters

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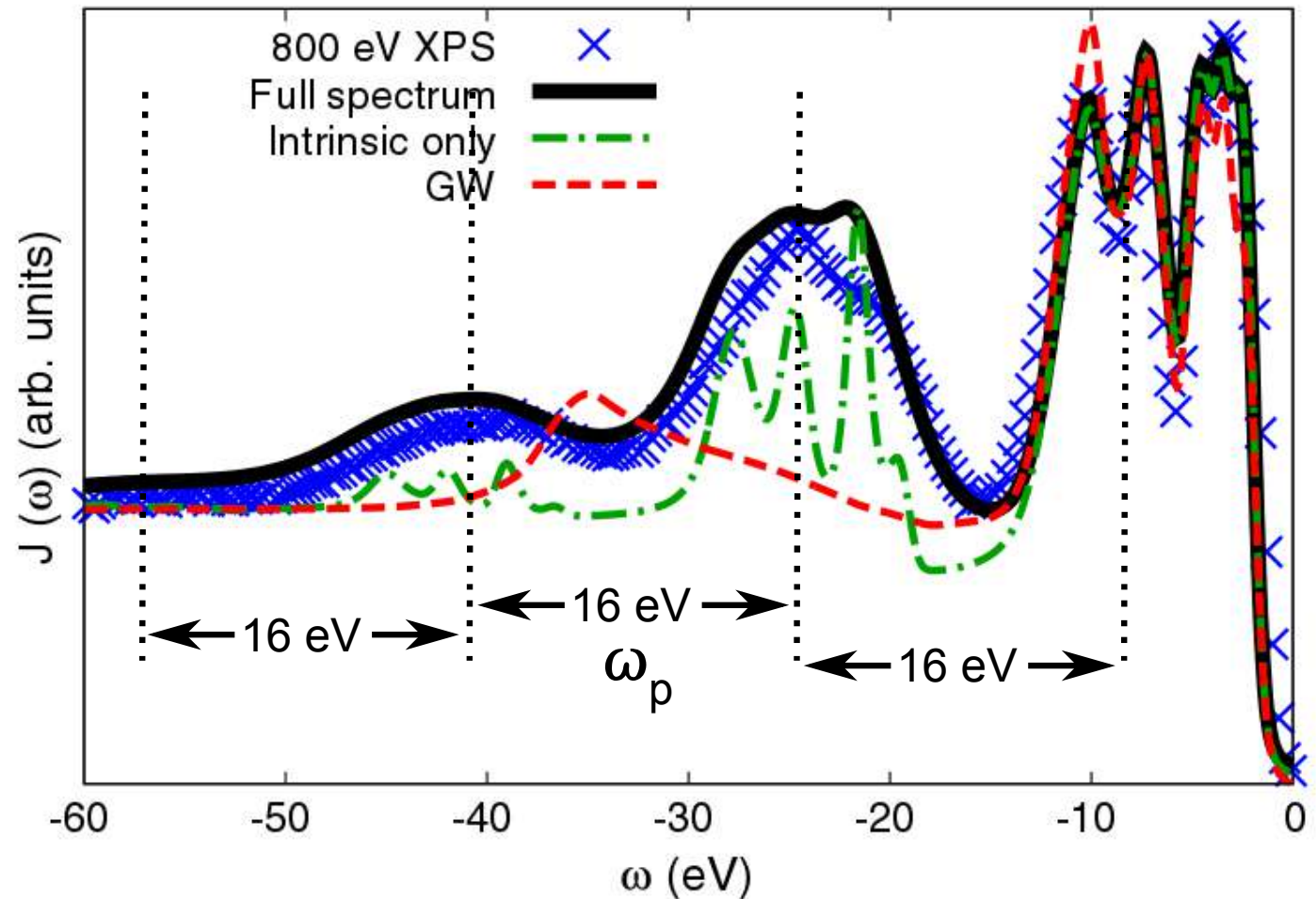
Exp. Complementarity

# EELS for Photoemission

## Silicon PES

### Plasmon Satellites

Main ingredient ::  
Loss function



M. Guzzo et al. PRL **107**, 166401 (2011).



# Summary

- **Hérésie 1**                      **Richness of valence electron excitations**
- **Hérésie 2**    **Momentum dispersion**
- **Hérésie 3**                      **Ideas and predictions (can) come from theory**

# Summary

- **Hérésie 1**                      **Richness of valence electron excitations**
- **Hérésie 2**    **Momentum dispersion**
- **Hérésie 3**                      **Ideas and predictions (can) come from theory**
- **Vérité fondamentale**                      **Dans une conf de microscopie il faut faire semblant de supporter l'équipe de France pour avoir un verre de Champagne de plus**

# Acknowledgements

M. Gatti (*exciton dispersion*)

P. Cudazzo and G. Fugallo (*2D exciton dispersion*)

M. Guzzo, L. Reining, J.Rehr and the Soleil TEMPO (*photoemission and eels*)

R. Hambach, G. Pegolotti, L. Hung (*visualization*)

R. Hambach, C. Giorgetti (*zone folding*)

